



OPEN NATIONAL TENDER FOR PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM (SMMS)

TENDER NO: CA/PROC/SCM/19/2023-2024

ISSUING DATE: 31ST OCTOBER 2023

HYBRID PRE-BIDDERS CONFERENCE: THURSDAY 16TH NOVEMBER 2023 FROM 9.00AM TO 11.00AM EAST AFRICAN TIME

CLOSING DATE: 29TH NOVEMBER 2023 AT 1030HRS EAST AFRICAN TIME

**Director General
Communications Authority of Kenya
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INVITATION TO TENDER COMMUNICATIONS AUTHORITY OF KENYA

TENDER FOR PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM

1. The Communications Authority of Kenya (CA) is the regulatory agency for the ICT industry in Kenya with responsibilities in Telecommunications, Cyber Security, E-commerce, Broadcasting and Postal/Courier Services. CA is also responsible for managing the country's numbering and frequency spectrum resources, administering the Universal Service Fund (USF) as well as safeguarding the interests of consumers of ICT services.
2. CA intends to maintain its existing Spectrum Management & Monitoring System (SMMS) by engaging a suitable supplier to provide upgrades and regular maintenance. The successful supplier shall maintain the system bi-annually and provide licenses for a period of five (5) years. In addition, the successful supplier shall supply wideband portable monitoring receivers with extended warranty.
3. The existing SMMS consists of the following: spectrum management and spectrum monitoring sub-systems. The Spectrum Management system is manufactured by ATDI Group of France, while the Spectrum Monitoring system is manufactured by Rohde & Schwarz GmbH of Germany.
4. The spectrum management sub-system comprises the following systems: ICS Web Portal, ICS Manager and ICS Telecom, providing a platform for frequency license application and processing, engineering analysis and automated web-based frequency application processing.
5. The Spectrum Monitoring sub-system consists of fixed, mobile and portable monitoring stations providing a platform for monitoring of radio frequencies and detection of radio emissions to ensure compliance with spectrum license conditions.
6. The Communications Authority of Kenya, therefore, invites sealed bids for **PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM.**
7. Tendering will be conducted under Open National competitive method *using* a standardized tender document. Tendering is open to all eligible, qualified and interested Tenderers.
8. There will be a hybrid pre-bidders conference on Thursday **16th November 2023 from 9.00am to 11. 00am**. The physical pre-bidders conference will be held at CA Centre meeting room 2. Bidders who wish to attend the conference virtually are requested to register their details through tenders@ca.go.ke on or before close of business on **Wednesday 15th November 2023** to receive the link for the virtual pre-bidder's conference.
9. Qualified and interested tenderers may obtain further information and inspect the Tender Documents during office hours between 9:00 am to 4:00 pm, Monday to Friday excluding Public Holidays at the CA Centre on Waiyaki Way, Procurement Division, 2nd floor – Wing A.
10. A complete set of tender documents may be purchased or obtained by interested tenders upon payment of a non-refundable fees of **Kshs.1, 000.00** payable to Communications Authority of Kenya.
11. Tender documents may be obtained electronically and downloaded from the Authority's Website <https://ca.go.ke/open-tenders> or Public Procurement Information Portal (PIIP) supplier portal <https://tenders.go.ke/Tenderdetails> *free of charge*.
12. Tenderers downloading documents from the designated Website shall advise the Procurement Entity that they have downloaded the tender documents and must forward their particulars immediately to **tenders@ca.go.ke** to facilitate any further clarification or addendum giving full contact addresses of the tenderer (*email, telephone number and postal address*).
13. The Tenderer shall chronologically and sequentially serialize all pages of the tender documents submitted in the sequence of 1, 2, 3.n (n being the last numerical page of the tender document).

14. The Tenderer shall provide a Tender Security of **Kshs.200, 000.00** which must be in form of a bank Guarantee from a reputable bank/financial institution recognized by the Central Bank of Kenya (CBK) or an insurance Bond from an Insurance Company Registered and Licensed by the Insurance Regulatory Authority (IRA) valid for 240 days from the date of tender opening.
15. Duly Completed tenders in a plain sealed envelope marked **PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM - CA/PROC/SCM/19/2023-2024** must be delivered to the address below on or before **29th November 2023 at 1030hrs East African Time**. Electronic Tenders *will not be* permitted.
16. Tenders will be opened immediately thereafter the deadline specified above or any deadline date and time specified later. Tenders will be publicly opened in the presence of the Tenderers' designated representatives who choose to attend at the address below.
17. Late tenders will be rejected.
18. The addresses referred to above are:

A. Address for obtaining further information and for purchasing tender documents

Director / Supply Chain Management
Communications Authority of Kenya
Telephone number: 0703042000/310
Email Address: tenders@ca.go.ke
Postal Address: P.O. Box 14448 Nairobi – 00800
Physical Address: CA Centre, Waiyaki Way, 2nd Floor, Wing A

B. Address for Submission of Tenders.

Communications Authority of Kenya
CA Centre, Waiyaki Way, Ground Floor

C. Address for Opening of Tenders.

Communications Authority of Kenya
CA Centre, Waiyaki Way, Ground Floor, at the Atrium



Christopher Wambua
Ag. Director General,
Communications Authority of Kenya,
P.O Box 14448, Nairobi 00800
Email. Tenders@ca.go.ke

PART 1 - TENDERING PROCEDURES

SECTION I - INSTRUCTIONS TO TENDERERS

A. General

1. Scope of Tender

- 1.1 This tendering document is for the delivery of Maintenance Services, as specified in Section V, Procuring Entity's Requirements. The name, identification and number of lots (contracts) of this ITT procurement are specified in the **TDS**.

2. Definitions

2.1 Throughout this tendering document:

- a) the term “in writing” means communicated in written form (e.g. by mail, e-mail, fax, including if specified in the **TDS**, distributed or received through the electronic-procurement system used by the Procuring Entity) with proof of receipt;
 - b) if the context so requires, “singular” means “plural” and vice versa; and
 - c) “Day” means calendar day, unless otherwise specified as “Business Day”. A Business Day is any day that is an official working day of the Procuring Entity. It excludes the Procuring Entity's official public holidays.
- 2.2 The successful Tenderer will be expected to complete the performance of the Services by the Intended Completion Date provided in the contract.

3. Fraud and Corruption

- 3.1 The Procuring Entity requires compliance with the provisions of the Public Procurement and Asset Disposal Act, 2015, Section 62 “Declaration not to engage in corruption”. The tender submitted by a person shall include a declaration that the person shall not engage in any corrupt or fraudulent practice and a declaration that the person or his or her sub-contractors are not debarred from participating in public procurement proceedings.
- 3.2 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any tenderer found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, Tenders shall be required to complete and sign the “Certificate of Independent Tender Determination” annexed to the Form of Tender.
- 3.3 Unfair Competitive Advantage-Fairness and transparency in the tender process require that the firms or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to this tender. To that end, the Procuring Entity shall indicate in the **TDS** and make available to all the firms together with this tender document all information that would in that respect give such firm any unfair competitive advantage over competing firms.
- 3.4 Unfair Competitive Advantage – Fairness and transparency in the tender process require that the Firms or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the contract being tendered for. The Procuring Entity shall indicate in the **TDS** firms (if any) that provided consulting services for the contract being tendered for. The Procuring Entity shall check whether the owners or controllers of the Tenderer are same as those that provided consulting services. The Procuring Entity shall, upon request, make available to any tenderer information that would give such firm unfair competitive advantage over competing firms.

3.5 Tenderers shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and their personnel, to permit the Procuring Entity to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, tender submission, proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Procuring Entity.

4. Eligible Tenderers

- 4.1 A Tenderer may be a firm that is a private entity, a state-owned entity or institution subject to ITT 4.6, or any combination of such entities in the form of an association or subcontracting arrangement or a Joint Venture (JV) under an existing agreement or with the intent to enter into such an agreement supported by a Form of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Tendering process and, in the event the JV is awarded the Contract, during contract execution. The maximum number of JV members shall be specified in the **TDS**.
- 4.2 Public Officers of the Procuring Entity, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to tender or be awarded a contract. Public Officers are also not allowed to participate in any procurement proceedings.
- 4.3 A Tenderer shall not have a conflict of interest. Any Tenderer found to have a conflict of interest shall be disqualified. A Tenderer may be considered to have a conflict of interest for the purpose of this Tendering process, if the Tenderer:
- a) Directly or indirectly controls, is controlled by or is under common control with another Tenderer; or
 - b) Receives or has received any direct or indirect subsidy from another Tenderer; or
 - c) Has the same legal representative as another Tenderer; or
 - d) Has a relationship with another Tenderer, directly or through common third parties, that puts it in a position to influence the Tender of another Tenderer, or influence the decisions of the Procuring Entity regarding this Tendering process; or
 - e) Or any of its affiliates participated as a consultant in the preparation of the Procuring Entity's Requirements (including Activities Schedules, Performance Specifications and Drawings) for the Maintenance services that are the subject of the Tender; or
 - f) Or any of its affiliates has been hired (or is proposed to be hired) by the Procuring Entity or Procuring Entity for the Contract implementation; or
 - g) Would be providing goods, works, or maintenance services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the TDS ITT 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
 - h) Has a close business or family relationship with a professional staff of the Procuring Entity or of the project implementing agency, who: (i) are directly or indirectly involved in the preparation of the tendering document or specifications of the contract, and/or the Tender evaluation process of such contract; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the procurement process and execution of the Contract.
- i.4 A firm that is a Tenderer (either individually or as a JV member) shall not participate in more than one Tender, except for permitted alternative Tenders. This includes participation as a subcontractor. Such participation shall result in the disqualification of all Tenders in which the firm is involved. A firm that is not a Tenderer or a JV member, may participate as a sub-contractor in more than one Tender.

- i.5 A Tenderer may have the nationality of any country, subject to the restrictions pursuant to ITT 4.9. A Tenderer shall be deemed to have the nationality of a country if the Tenderer is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or sub-consultants for any part of the Contract including related Services.
- i.6 A Tenderer that has been sanctioned by PPRA or are under a temporary suspension or a debarment imposed by any other entity of the Government of Kenya, shall be ineligible to be prequalified for, initially selected for, tender for, propose for, or be awarded a contract during such period of sanctioning. The list of debarred firms and individuals is available at the electronic address **info@ppra.go.ke**.
- i.7 Tenderers that are state-owned enterprises or institutions in Kenya may be eligible to compete and be awarded a Contract(s) only if they can establish that they: (i) are legally and financially autonomous; (ii) operate under commercial law; and (iii) are not under supervision of the Procuring Entity.
- i.8 A Tenderer under suspension from tendering as the result of the operation of a Tender-Securing Declaration or Proposal-Securing Declaration shall not be eligible to tender.
- i.9 Firms and individuals may be ineligible if (a) as a matter of law or official regulations, Kenya prohibits commercial relations with that country, or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.
- i.10 Foreign tenderers are required to source at least forty (40%) percent of their contract inputs (in supplies, subcontracts and labour) from national suppliers and contractors. To this end, a foreign tenderer shall provide in its tender documentary evidence that this requirement is met. Foreign tenderers not meeting this criterion will be automatically disqualified. Information required to enable the Procuring Entity determine if this condition is met shall be provided in for this purpose is be provided in "SECTION III - EVALUATION AND QUALIFICATION CRITERIA, Item 9".
- i.11 Pursuant to the eligibility requirements of ITT 4.10, a tender is considered a foreign tenderer, if it is registered in Kenya, has less than 51 percent ownership by nationals of Kenya and if it does not subcontract foreign contractors more than 10 percent of the contract price, excluding provisional sums. JVs are considered as foreign tenderers if the individual member firms are registered in Kenya have less 51 percent ownership by nationals of Kenya. The JV shall not subcontract to foreign firms more than 10 percent of the contract price, excluding provisional sums.
- i.12 The Procuring Entity may require tenderers to be registered with certain authorities in Kenya.
- Such registration shall be defined in the TDS, but care must be taken to ensure such registration requirement does not discourage competition, nor exclude competent tenderers. Registration shall not be a condition for tender, but where a selected tenderer is not so registered, the tenderer shall be given opportunity to register before signature of contract.
- i.13 The Competition Act of Kenya requires that firms wishing to tender as Joint Venture undertakings which may prevent, distort or lessen competition in provision of services are prohibited unless they are exempt in accordance with the provisions of Section 25 of the Competition Act, 2010. JVs will be required to seek for exemption from the Competition Authority. Exemption shall not be a condition for tender, but it shall be a condition of contract award and signature. A JV tenderer shall be given opportunity to seek such exemption as a condition of award and signature of contract. Application for exemption from the Competition Authority of Kenya may be accessed from the website www.cak.go.ke
- i.14 A Tenderer may be considered ineligible if he/she offers goods, works and production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harmful to human beings and to the environment shall not be eligible for procurement.

5. Qualification of the Tenderer

5.1 All Tenderers shall provide in Section IV, Tendering Forms, a preliminary description of the proposed work method and schedule, including drawings and charts, as necessary.

5.2 In the event that prequalification of Tenderers has been undertaken as stated in ITT 18.4, the provisions on qualifications of the Section III, Evaluation and Qualification Criteria shall not apply.

B. Contents of Tendering Document

6. Sections of Tendering Document

6.1 The tendering document consists of Parts 1, 2, and 3, which include all the sections indicated below and should be read in conjunction with any Addenda issued in accordance with ITT 9.

PART 1: Tendering Procedures

- i) Section I-Instructions to Tenderers (ITT)
- ii) Section II-Tender Data Sheet (TDS)
- iii) Section III-Evaluation and Qualification Criteria
- iv) Section IV – Tendering Forms

PART 2: Procuring Entity's Requirements

- v) Section V- Procuring Entity's Requirements

PART 3: Contract

- vi) Section VI- General Conditions of Contract (GCC)
- vii) Section VII- Special Conditions of Contract (SCC)
- viii) Section VIII- Contract Forms

6.2 The Invitation to Tender (ITT) or the notice to prequalify Tenderers, as the case may be, issued by the Procuring Entity is not part of this tendering document.

6.3 Unless obtained directly from the Procuring Entity, the Procuring Entity is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Tender meeting (if any), or Addenda to the tendering document in accordance with ITT 9. In case of any contradiction, documents obtained directly from the Procuring Entity shall prevail.

6.4 The Tenderer is expected to examine all instructions, forms, terms, and specifications in the tendering document and to furnish with its Tender all information or documentation as is required by the tendering document.

7. Site Visit

7.1 The Tenderer, at the Tenderer's own responsibility and risk, is encouraged to visit and examine the Site of the Required Services and its surroundings and obtain all information that may be necessary for preparing the Tender and entering into a contract for the Services. The costs of visiting the Site shall be at the Tenderer's own expense.

8. Pre-Tender Meeting and a pre-arranged pretender visit of the site of the works

8.1 The Procuring Entity shall specify in the **TDS** if a pre-tender conference will be held, when and where. The Procuring Entity shall also specify in the **TDS** if a pre-arranged pretender visit of the site of the works will be held and when. The Tenderer's designated representative is invited to attend a prearranged pretender visit of the site of the works. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.

8.2 The Tenderer is requested to submit any questions in writing, to reach the Procuring Entity not later than the period specified in the **TDS** before the meeting.

- 8.3 Minutes of the pre-Tender meeting and the pre-arranged pretender visit of the site of the works, if applicable, including the text of the questions asked by Tenderers and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Tenderers who have acquired the Tender Documents in accordance with ITT 6.3. Minutes shall not identify the source of the questions asked.
- 8.4 The Procuring Entity shall also promptly publish anonymized (no names) Minutes of the pre-Tender meeting and the pre-arranged pretender visit of the site of the works at the web page identified in the **TDS**. Any modification to the Tender Documents that may become necessary as a result of the preTender meeting shall be made by the Procuring Entity exclusively through the issue of an Addendum pursuant to ITT 10 and not through the minutes of the pre-Tender meeting. Nonattendance at the preTender meeting will not be a cause for disqualification of a Tenderer.

9. Clarification of Tender Documents

- 9.1 A Tenderer requiring any clarification of the Tender Document shall contact the Procuring Entity in writing at the Procuring Entity's address specified in the **TDS** or raise its enquiries during the preTender meeting and the pre-arranged pretender visit of the site of the works if provided for in accordance with ITT 8.4. The Procuring Entity will respond in writing to any request for clarification, provided that such request is received no later than the period specified in the **TDS** prior to the deadline for submission of tenders. The Procuring Entity shall forward copies of its response to all tenderers who have acquired the Tender Documents in accordance with ITT 6.3, including a description of the inquiry but without identifying its source. If so specified in the **TDS**, the Procuring Entity shall also promptly publish its response at the web page identified in the **TDS**. Should the clarification result in changes to the essential elements of the Tender Documents, the Procuring Entity shall amend the Tender Documents appropriately following the procedure under ITT 10.

10. Amendment of Tendering Document

- 10.1 At any time prior to the deadline for submission of Tenders, the Procuring Entity may amend the Tendering document by issuing addenda.
- 10.2 Any addendum issued shall be part of the tendering document and shall be communicated in writing to all who have obtained the tendering document from the Procuring Entity in accordance with ITT 6.3. The Procuring Entity shall also promptly publish the addendum on the Procuring Entity's webpage in accordance with ITT 8.1.
- 10.3 To give prospective Tenderers reasonable time in which to take an addendum into account in preparing their Tenders, the Procuring Entity shall extend, as necessary, the deadline for submission of Tenders, in accordance with ITT 24.2 below.

C. Preparation of Tenders

11. Cost of Tendering

- 11.1 The Tenderer shall bear all costs associated with the preparation and submission of its Tender, and the Procuring Entity shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Tendering process.

12. Language of Tender

- 12.1 The Tender as well as all correspondence and documents relating to the Tender exchanged by the Tenderer and the Procuring Entity shall be written in the English language. Supporting documents and printed literature that are part of the Tender maybe in another language, provided they are accompanied by an accurate translation of the relevant passages into the English language, in which case, for purposes of interpretation of the Tender, such translation shall govern.

13. Documents Comprising the Tender

13.1 The Tender shall comprise the following:

- a) **Form of Tender** prepared in accordance with ITT 14;
- b) **Schedules:** Schedules or Requirements and priced Activity Schedule completed in accordance with ITT 14 and ITT 16;
- c) **Tender Security or Tender-Securing Declaration** in accordance with ITT 21.1;
- d) **Alternative Tender:** if permissible in accordance with ITT 15;

- e) **Authorization:** written confirmation authorizing the signatory of the Tender to commit the Tenderer, in accordance with ITT 22.3;
- f) **Qualifications:** documentary evidence in accordance with ITT 19 establishing the Tenderer's qualifications to perform the Contract if its Tender is accepted;
- g) **Tenderer's Eligibility:** documentary evidence in accordance with ITT 19 establishing the Tenderer's eligibility to Tender;
- h) **Conformity:** documentary evidence in accordance with ITT 18, that the Services conform to the tendering document; and
- i) Any other document required **in the TDS.**

13.2 In addition to the requirements under ITT 12.1, Tenders submitted by a JV shall include a copy of the Joint Venture Agreement entered into by all members. Alternatively, a Form of intent to execute a Joint Venture Agreement in the event of a successful Tender shall be signed by all members and submitted with the Tender, together with a copy of the proposed Agreement. The Tenderer shall chronologically serialize pages of all tender documents submitted. The Tenderer shall furnish in the Form of Tender information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Tender.

14. Form of Tender and Activity Schedule

14.1 The Form of Tender and priced Activity Schedule shall be prepared using the relevant forms furnished in Section IV, Tendering Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITT 21.3. All blank spaces shall be filled in with the information requested.

15. Alternative Tenders

15.1 Unless otherwise indicated in the TDS, alternative Tenders shall not be considered. If alternatives are permitted, only the technical alternatives, if any, of the Most Advantageous Tenderer shall be considered by the Procuring Entity.

15.2 When alternative times for completion are explicitly invited, a statement to that effect will be included in the TDS and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.

15.3 When specified in the TDS, Tenderers are permitted to submit alternative technical solutions for specified parts of the Services, and such parts will be identified in the TDS, as will the method for their evaluating, and described in Section VII, Procuring Entity's Requirements.

16. Tender Prices and Discounts

16.1 The prices and discounts (including any price reduction) quoted by the Tenderer in the Form of Tender and in the Activity Schedule(s) shall conform to the requirements specified below.

16.2 All lots (contracts) and items must be listed and priced separately in the Activity Schedule(s).

16.3 The Contract shall be for the Services, as described in Appendix A to the Contract and in the Specifications (or Terms of Reference), based on the priced Activity Schedule, submitted by the Tenderer.

16.4 The Tenderer shall quote any discounts and indicate the methodology for their application in the Form of Tender in accordance with ITT 13.1.

16.5 The Tenderer shall fill in rates and prices for all items of the Services described in the in Specifications (or Terms of Reference), and listed in the Activity Schedule in Section VII, Procuring Entity's Requirements. Items for which no rate or price is entered by the Tenderer will not be paid for by the Procuring Entity when executed and shall be deemed covered by the other rates and prices in the Activity Schedule.

16.6 All duties, taxes, and other levies payable by the Service Provider under the Contract, or for any other cause, as of the date 28 days prior to the deadline for submission of Tenders, shall be included in the total Tender price submitted by the Tenderer.

16.7 If provided for in the TDS, the rates and prices quoted by the Tenderer shall be subject to adjustment during the performance of the Contract in accordance with and the provisions of Clause 6.6 of the General Conditions of Contract and/or Special Conditions of Contract. The Tenderer shall submit with the Tender all the information required under the Special Conditions of Contract and of the General Conditions of Contract.

16.8 For the purpose of determining the remuneration due for additional Services, a breakdown of the lumpsum price shall be provided by the Tenderer in the form of Appendices D and E to the Contract.

17. Currencies of Tender and Payment

17 The currency of the Tender and the currency of payments shall be Kenya Shillings.

18. Documents Establishing Conformity of Services

18.1 To establish the conformity of the Maintenance services to the tendering document, the Tenderer shall furnish as part of its Tender the documentary evidence that Services provided conform to the technical specifications and standards specified in Section VII, Procuring Entity's Requirements.

18.2 Standards for provision of the Maintenance services are intended to be descriptive only and not restrictive. The Tenderer may offer other standards of quality provided that it demonstrates, to the Procuring Entity's satisfaction, that the substitutions ensure substantial equivalence or are superior to those specified in the Section VII, Procuring Entity's Requirements.

19. Documents Establishing the Eligibility and Qualifications of the Tenderer

19.1 Tenderers shall complete the Form of Tender and all the Tendering Forms included in Section IV to establish their eligibility in accordance with ITT 4.

19.2 The documentary evidence of the Tenderer's qualifications to perform the Contract if its Tender is accepted shall establish to the Procuring Entity's satisfaction that the Tenderer meets each of the qualification criterion specified in Section III, Evaluation and Qualification Criteria.

19.3 In the event that prequalification of Tenderers has been undertaken as stated in the TDS, only Tenders from prequalified Tenderers shall be considered for award of Contract. The prequalified Tenderers should submit with their Tenders any information updating their original prequalification applications or, alternatively, confirm in their Tenders that the originally submitted prequalification information remains essentially correct as of the date of Tender submission.

19.4 Tenderers shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a Service provider or group of service providers qualifies for a margin of preference. Further the information will enable the Procuring Entity identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between tenderers, and there by help to prevent any corrupt influence in relation to the procurement process or contract management.

19.5 The purpose of the information described in ITT 18.1 above overrides any claims to confidentiality which a tenderer may have. There can be no circumstances in which it would be justified for a tenderer to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Procuring Entity as a justification for a Tenderer's failure to disclose, or failure to provide required information on its ownership and control.

19.6 The Tenderer shall provide further documentary proof, information or authorizations that the Procuring Entity may request in relation to ownership and control which information on any changes to the information which was provided by the tenderer under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.

19.7 All information provided by the tenderer pursuant to these requirements must be complete, current and accurate as at the date of provision to the Procuring Entity. In submitting the information required pursuant to these requirements, the Tenderer shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Procuring Entity.

19.8 If a tenderer fails to submit the information required by these requirements, its tenderer will be rejected. Similarly, if the Procuring Entity is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by a tenderer pursuant to these requirements, then the tender will be rejected.

19.9 If information submitted by a tenderer pursuant to these requirements, or obtained by the Procuring Entity

(whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the tenderer in relation to the procurement or contract management process, then:

- i) If the procurement process is still ongoing, the tenderer will be disqualified from the procurement process,
 - ii) If the contract has been awarded to that tenderer, the contract award will be set aside,
 - iii) The tenderer will be referred to the relevant law enforcement authorities for investigation of whether the tenderer or any other person have committed any criminal offence.
- 19.10 If a tenderer submits information pursuant to these requirements that is incomplete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 6.7 will ensue unless the tenderer can show to the reasonable satisfaction of the Procuring Entity that any such act was not material, or was due to genuine error which was not attributable to the intentional act, negligence or recklessness of the tenderer.
- 19.11 A Kenyan tenderer shall provide evidence of having fulfilled his/her tax obligations by producing a valid tax compliance certificate or tax exemption certificate issued by the Kenya Revenue Authority.

20. Period of Validity of Tenders

- 20.1 Tenders shall remain valid for the Tender Validity period specified in the TDS. The Tender Validity period starts from the date fixed for the Tender submission deadline date (as prescribed by the Procuring Entity in accordance with ITT 23.1). A Tender valid for a shorter period shall be rejected by the Procuring Entity as nonresponsive.
- 20.2 In exceptional circumstances, prior to the expiration of the Tender validity period, the Procuring Entity may request Tenderers to extend the period of validity of their Tenders. The request and the responses shall be made in writing. If a Tender Security is requested in accordance with ITT 20, it shall also be extended for a corresponding period. A Tenderer may refuse the request without forfeiting its Tender Security. A Tenderer granting the request shall not be required or permitted to modify its Tender, except as provided in ITT 19.3.

21. Tender Security

- 21.1 The Tenderer shall furnish as part of its Tender, either a Tender-Securing Declaration or a Tender security, as specified in the TDS, in original form and, in the case of a Tender Security, in the amount and currency specified in the TDS.
- 21.2 A Tender Securing Declaration shall use the form included in Section IV, Tendering Forms.
- 21.3 If a Tender Security is specified pursuant to ITT 20.1, from a reputable source, and an eligible country and shall be in any of the following forms at the Tenderer's option:
- i) cash;
 - ii) a bank guarantee;
 - iii) a guarantee by an insurance company registered and licensed by the Insurance Regulatory Authority listed by the Authority; or
 - iv) a guarantee issued by a financial institution approved and licensed by the Central Bank of Kenya,
- 21.4 If a Tender Security is specified pursuant to ITT 20.1, any Tender not accompanied by a substantially responsive Tender Security shall be rejected by the Procuring Entity as non-responsive.
- 21.5 If a Tender Security is specified pursuant to ITT 20.1, the Tender Security of unsuccessful Tenderers shall be returned as promptly as possible upon the successful Tenderer's signing the contract and furnishing the Performance Security pursuant to ITT 46.
- 21.6 The Tender Security of the successful Tenderer shall be returned as promptly as possible once the successful Tenderer has signed the Contract and furnished the required Performance Security. The Procurement Entity shall also return tender security to the tenderers where:
- a). The procurement proceedings are terminated
 - b). All tenders were determined non-responsive and

- c). Where a bidder declines to extend the tender validity period.
- 21.7 The Tender Security may be forfeited, or the Tender-Securing Declaration executed:
- a) if a Tenderer withdraws its Tender during the period of Tender validity specified by the Tenderer in the Form of Tender, or any extension thereto provided by the Tenderer; or
 - b) if the successful Tenderer fails to:
 - i) sign the Contract in accordance with ITT 45; or
 - ii) furnish a performance security in accordance with ITT 46.
- 21.8 The Tender Security or Tender-Securing Declaration of a JV must be in the name of the JV that submits the Tender. If the JV has not been legally constituted into a legally enforceable JV at the time of Tendering, the Tender security or Tender-Securing Declaration shall be in the names of all future members as named in the Form of intent referred to in ITT 4.1 and ITT 12.2.
- 21.9 If a Tender Security is not required in the **TDS**, pursuant to ITT 20.1, and
- a) if a Tenderer withdraws its Tender during the period of Tender validity specified by the Tenderer on the Form of Tender; or
 - b) if the successful Tenderer fails to:
 - i) sign the Contract in accordance with ITT 45; or
 - ii) furnish a performance security in accordance with ITT 46; the Procuring Entity may, if provided for in the TDS, declare the Tenderer ineligible to be awarded a contract by the Procuring Entity for a period of time as stated in the TDS.

22. Format and Signing of Tender

- 22.1 The Tenderer shall prepare one original of the documents comprising the Tender as described in ITT 12, bound with the volume containing the Form of Tender, and clearly marked "Original." In addition, the Tenderer shall submit copies of the Tender, in the number specified in the **TDS**, and clearly marked as "Copies." In the event of discrepancy between them, the original shall prevail.
- 22.2 Tenderers shall mark as "CONFIDENTIAL" information in their Tenders which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.
- 22.3 The original and all copies of the Tender shall be typed or written in indelible ink and shall be signed by a person or persons duly authorized to sign on behalf of the Tenderer. This authorization shall consist of a written confirmation as specified in the TDS and shall be attached to the Tender. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Tender where entries or amendments have been made shall be signed or initialled by the person signing the Tender.
- 22.4 In case the Tenderer is a JV, the Tender shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 22.5 Any inter-lineation, erasures, or overwriting shall be valid only if they are signed or initialled by the person signing the Tender.

D. Submission and Opening of Tenders

23. Sealing and Marking of Tenders

- 23.1 Depending on the sizes or quantities or weight of the tender documents, a tenderer may use an envelope, package or container. The Tenderer shall deliver the Tender in a single sealed envelope, or in a single sealed package, or in a single sealed container bearing the name and Reference number of the Tender, addressed to the Procuring Entity and a warning not to open before the time and date for Tender opening date. Within the single envelope, package or container, the Tenderer shall place the following separate, sealed envelopes:
- in an envelope or package or container marked "ORIGINAL", all documents comprising the Tender, as described in ITT 11; and

- in an envelope or package or container marked “COPIES”, all required copies of the Tender; and
- if alternative Tenders are permitted in accordance with ITT 13, and if relevant:
 - i) in an envelope or package or container marked “ORIGINAL –ALTERNATIVE TENDER”, the alternative Tender; and
 - ii) in the envelope or package marked “COPIES - ALTERNATIVE TENDER”, all required copies of the alternative Tender.

23.2 The inner envelopes or packages or containers shall:

- a) bear the name and address of the Procuring Entity.
- b) bear the name and address of the Tenderer; and
- c) bear the name and Reference number of the Tender.

23.3 If an envelope or package or container is not sealed and marked as required, the Procuring Entity will assume no responsibility for the misplacement or premature opening of the Tender. Tenders that are misplaced or opened prematurely will be rejected.

24. Deadline for Submission of Tenders

24 Tenders must be received by the Procuring Entity at the address and no later than the date and time specified in the **TDS**. When so specified in the **TDS**, Tenderers shall have the option of submitting their Tenders electronically. Tenderers submitting Tenders electronically shall follow the electronic Tender submission procedures specified in the **TDS**.

25 The Procuring Entity may, at its discretion, extend the deadline for the submission of Tenders by amending the tendering document in accordance with ITT 9, in which case all rights and obligations of the Procuring Entity and Tenderers previously subject to the deadline shall thereafter be subject to the deadline as extended.

25. Late Tenders

25.1 The Procuring Entity shall not consider any Tender that arrives after the deadline for submission of Tenders, in accordance with ITT 23. Any Tender received by the Procuring Entity after the deadline for submission of Tenders shall be declared late, rejected, and returned unopened to the Tenderer.

26. Withdrawal, Substitution and Modification of Tenders

26.1 A Tenderer may withdraw, substitute, or modify its Tender after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization (the power of attorney) in accordance with ITT 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Tender must accompany the respective written notice. All notices must be: 26.2 prepared and submitted in accordance with ITT 21 and ITT 22 (except that withdrawal notices do not require copies), and in addition, the respective envelopes shall be clearly marked “WITHDRAWAL,” “SUBSTITUTION,” or “MODIFICATION;” and

26.3 received by the Procuring Entity prior to the deadline prescribed for submission of Tenders, in accordance with ITT 23.

26.4 Tenders requested to be withdrawn in accordance with ITT 25.1 shall be returned unopened to the Tenderers.

26.5 No Tender may be withdrawn, substituted, or modified in the interval between the deadline for submission of Tenders and the expiration of the period of Tender validity specified by the Tenderer on the Form of Tender or any extension thereof.

27. Tender Opening

27.1 Except as in the cases specified in ITT 23 and ITT 25.2, the Procuring Entity shall, at the Tender opening, publicly open and read out all Tenders received by the deadline at the date, time and place specified **in the TDS** in the presence of Tenderers' designated representatives and anyone who choose to attend. Any specific electronic Tender opening

procedures required if electronic tendering is permitted in accordance with ITT 23.1, shall be as specified **in the TDS.**

- 27.2 First, envelopes marked “WITHDRAWAL” shall be opened and read out and the envelope with the corresponding Tender shall not be opened but returned to the Tenderer. If the withdrawal envelope does not contain a copy of the “power of attorney” confirming the signature as a person duly authorized to sign on behalf of the Tenderer, the corresponding Tender will be opened. No Tender withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Tender opening.
- 27.3 Next, envelopes marked “SUBSTITUTION” shall be opened and read out and exchanged with the corresponding Tender being substituted, and the substituted Tender shall not be opened, but returned to the Tenderer. No Tender substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Tender opening.
- 27.4 Next, envelopes marked “MODIFICATION” shall be opened and read out with the corresponding Tender. No Tender modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Tender opening.
- 27.5 Next, all remaining envelopes shall be opened one at a time, reading out: the name of the Tenderer and whether there is a modification; the total Tender Prices, per lot (contract) if applicable, including any discounts and alternative Tenders; the presence or absence of a Tender Security or Tender-Securing Declaration, if required; and any other details as the Procuring Entity may consider appropriate.
- 27.6 Only Tenders, alternative Tenders and discounts that are opened and read out at Tender opening shall be considered further. The Form of Tender and the priced Activity Schedule are to be initialled by representatives of the Procuring Entity attending Tender opening in the manner specified in the **TDS.**
- 27.7 The Procuring Entity shall neither discuss the merits of any Tender nor reject any Tender (except for late Tenders, in accordance with ITT 24.1).
- 27.8 The Procuring Entity shall prepare a record of the Tender opening that shall include, as a minimum:
- a) The name of the Tenderer and whether there is a withdrawal, substitution, or modification;
 - b) The Tender Price, per lot (contract) if applicable, including any discounts; and
 - c) Any alternative Tenders;
 - d) The presence or absence of a Tender Security or Tender-Securing Declaration, if one was required.
- 27.9 The Tenderers' representatives who are present shall be requested to sign the record. The omission of a Tenderer's signature on the record shall not invalidate the contents and effect of the record. A copy of the opening registers shall be distributed to all Tenderers upon request.
- E. Evaluation and Comparison of Tenders**

28. Confidentiality

- 28.1 Information relating to the evaluation of Tenders and recommendation of contract award, shall not be disclosed to Tenderers or any other persons not officially concerned with the Tendering process until information on the Intention to Award the Contract is transmitted to all Tenderers in accordance with ITT 41.
- 28.2 Any effort by a Tenderer to influence the Procuring Entity in the evaluation or contract award decisions may result in the rejection of its Tender.
- 28.3 Notwithstanding ITT 27.2, from the time of Tender opening to the time of Contract Award, if any Tenderer wishes to contact the Procuring Entity on any matter related to the Tendering process, it should do so in writing.

29 Clarification of Tenders

- 29.1 To assist in the examination, evaluation, and comparison of Tenders, and qualification of the Tenderers, the Procuring Entity may, at the Procuring Entity's discretion, ask any Tenderer for clarification of its Tender including breakdowns of the prices in the Activity Schedule, and other information that the Procuring Entity may require. Any clarification submitted by a Tenderer in respect to its Tender and that is not in response to a request by the Procuring

Entity shall not be considered. The Procuring Entity's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease, in the prices or substance of the Tender shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Procuring Entity in the evaluation of the Tenders, in accordance with ITT 32.

29.2 If a Tenderer does not provide clarifications of its Tender by the date and time set in the Procuring Entity's request for clarification, its Tender may be rejected.

30 Deviations, Reservations, and Omissions

31.1 During the evaluation of Tenders, the following definitions apply:

- a) "Deviation" is a departure from the requirements specified in the tendering document;
- b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the tendering document; and
- c) "Omission" is the failure to submit part or all of the information or documentation required in the tendering document.

31. Determination of Responsiveness

31.1 The Procuring Entity's determination of a Tender's responsiveness is to be based on the contents of the Tender itself, as defined in ITT 12.

31.2 A substantially responsive Tender is one that meets the requirements of the tendering document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that:

a) If accepted, would:

- i) Affect in any substantial way the scope, quality, or performance of the Maintenance services specified in the Contract; or
- ii) Limit in any substantial way, inconsistent with the tendering document, the Procuring Entity's rights or the Tenderer's obligations under the Contract; or

b) if rectified would unfairly affect the competitive position of other Tenderers presenting substantially responsive Tenders.

31.2 The Procuring Entity shall examine the technical aspects of the Tender submitted in accordance with ITT 17 and ITT18, in particular, to confirm that all requirements of Section VII, Procuring Entity's Requirements have been met without any material deviation or reservation, or omission.

31.3 If a Tender is not substantially responsive to the requirements of tendering document, it shall be rejected by the Procuring Entity and may not subsequently be made responsive by correction of the material deviation, reservation, or omission. Non-conformities, Errors and Omissions

31.4 Provided that a Tender is substantially responsive, the Procuring Entity may waive any non-conformities in the Tender.

31.5 Provided that a Tender is substantially responsive, the Procuring Entity may request that the Tenderer submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial non-conformities or omissions in the Tender related to documentation requirements. Requesting information or documentation on such non-conformities shall not be related to any aspect of the price of the Tender. Failure of the Tenderer to comply with the request may result in the rejection of its Tender.

31.6 Provided that a Tender is substantially responsive, the Procuring Entity shall rectify quantifiable nonmaterial non-conformities related to the Tender Price. To this effect, the Tender Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component in the manner specified in the **TDS**.

32. Arithmetical Errors

32.1 Corrected tender prices shall not be used in the evaluation of tenders or comparison of tender prices.

32.2 The tender sum as submitted and read out during the tender opening shall be absolute and final and shall not be the subject of correction, adjustment or amendment in any way by any person or entity.

32.3 Provided that the Tender is substantially responsive, the Procuring Entity shall handle errors on the following basis:

- a) Any error detected, if considered a major deviation that affects the substance of the tender, shall lead to disqualification of the tender as non-responsive.
- b) Any errors in the submitted tender arising from a miscalculation of unit price, quantity, subtotal and total bid price shall be considered as a major deviation that affects the substance of the tender and shall lead to disqualification of the tender as non-responsive. and
- c) If there is a discrepancy between words and figures, the amount in words shall prevail,

33. Conversion to Single Currency

33.1 For evaluation and comparison purposes, conversion of the currency to a single currency preference shall not apply.

34. Margin of Preference

34.1 The margin of preference on local service providers may be allowed if it is deemed that the services require the participation of foreign tenderers. If so allowed, it will be indicated in the **TDS**.

34.2 Where it is intended to reserve the contract to specific groups under Small and Medium Enterprises, or enterprise of women, youth and/or persons living with disability, who are appropriately registered as such by the authority to be specified in the **TDS**, a procuring entity shall ensure that the invitation to tender specifically indicates that only businesses/firms belonging to the specified group are eligible to tender as specified in the **TDS**. Otherwise, if not so stated, the invitation will be open to all tenderers.

35. Evaluation of Tenders

35.1 The Procuring Entity shall use the criteria and methodologies listed in this ITT and Section III, Evaluation and Qualification Criteria. No other evaluation criteria or methodologies shall be permitted. By applying the criteria and methodologies, the Procuring Entity shall determine the Most Advantageous Tender. This is the Tender of the Tenderer that meets the qualification criteria and whose Tender has been determined to be:

- a) Substantially responsive to the tendering document; and
 - b) The lowest evaluated cost.
- 35.2 In evaluating the Tenders, the Procuring Entity will determine for each Tender the evaluated Tender cost by adjusting the Tender price as follows:
- a) Price adjustment due to discounts offered in accordance with ITT 15.4;
 - b) converting the amount resulting from applying (a) and (b) above, if relevant, to a single currency in accordance with ITT 33;
 - c) price adjustment due to quantifiable nonmaterial non-conformities in accordance with ITT 31.3;
 - d) The additional evaluation factors are specified in Section III, Evaluation and Qualification Criteria.

35.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be considered in the Tender evaluation.

35.4 In the case of multiple contracts or lots, Tenderers are allowed to tender for one or more lots, and the methodology to determine the lowest evaluated cost of the lot (contract) and for combinations, including any discounts offered in the Form of Tender, is specified in Section III, Evaluation and Qualification Criteria.

36. Comparison of Tenders

36.1 The Procuring Entity shall compare the evaluated costs of all substantially responsive Tenders established in accordance with ITT 35.2 to determine the Tender that has the lowest evaluated cost.

37. Abnormally Low Tenders and Abnormally High

Tenders Abnormally Low Tenders

37.1 An Abnormally Low Tender is one where the Tender price, in combination with other elements of the Tender, appears so low that it raises material concerns as to the capability of the Tenderer in regards to the Tenderer's ability to perform the Contract for the offered Tender Price or that genuine competition between Tenderers is compromised.

37.2 In the event of identification of a potentially Abnormally Low Tender, the Procuring Entity shall seek written clarifications from the Tenderer, including detailed price analyses of its Tender price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the Tender document.

37.3 After evaluation of the price analyses, in the event that the Procuring Entity determines that the Tenderer has failed to demonstrate its capability to perform the Contract for the offered Tender Price, the Procuring Entity shall reject the Tender.

Abnormally High Tenders

37.4 An abnormally high tender price is one where the tender price, in combination with other constituent elements of the Tender, appears unreasonably too high to the extent that the Procuring Entity is concerned that it (the Procuring Entity) may not be getting value for money or it may be paying too high a price for the contract compared with market prices or that genuine competition between Tenderers is compromised.

37.5 In case of abnormally high tenders, the Procurement Entity should (a) review the specifications and conditions of tender or correctness of the estimate or (b) possibility of collusion, formation of cartels, or other forms of fraudulent and corrupt activity in the tendering processor (c) perception of the firms on the credibility of the Procuring Entity. The Procuring Entity shall treat abnormally low and high tenders in accordance with procedures provided for in this tender document

37.6 If the Procuring Entity determines that the Tender Price is abnormally too high because genuine competition between tenderers is compromised (often due to collusion, corruption or other manipulations), the Procuring Entity shall reject all Tenders and shall institute or cause competent Government Agencies to institute an investigation on the cause of the compromise, before retendering.

38. Unbalanced and/or Front-Loaded Tenders

38.1 If in the Procuring Entity's opinion, the Tender that is evaluated as the lowest evaluated price is seriously unbalanced and/or front-loaded, the Procuring Entity may require the Tenderer to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the tender prices with the scope of works, proposed methodology, schedule and any other requirements of the Tender document.

38.2 After the evaluation of the information and detailed price analyses presented by the Tenderer, the Procuring Entity may deem as appropriate:

- a) Accept the Tender; or
- b) Require that the total amount of the Performance Security be increased at the expense of the Tenderer to a level not exceeding 30% of the Contract Price; or
- c) agree on a payment mode that eliminates the inherent risk of the Procuring Entity paying too much for undelivered works; or
- d) reject the Tender.

39. Qualification of the Tenderer

39.1 The Procuring Entity shall determine to its satisfaction whether the Tenderer that is selected as having submitted the lowest evaluated cost and substantially responsive Tender is eligible and meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.

39.1 The determination shall be based upon an examination of the documentary evidence of the Tenderer's qualifications submitted by the Tenderer, pursuant to ITT18. The determination shall not take into consideration the qualifications of other firms such as the Tenderer's subsidiaries, parent entities, affiliates, subcontractors or any other firm(s) different from the Tenderer that submitted the Tender.

39.2 An affirmative determination shall be a prerequisite for the award of the Contract to the Tenderer. A negative determination shall result in disqualification of the Tender, in which event the Procuring Entity shall proceed to the Tenderer who offers a substantially responsive Tender with the next lowest evaluated cost to make a similar determination of that Tenderer's qualifications to perform satisfactorily.

40 Procuring Entity's Right to Accept Any Tender and to Reject Any or All Tenders

40.1 The Procuring Entity reserves the right to accept or reject any Tender and to annul the Tendering process and reject all Tenders at any time prior to Contract Award, without thereby incurring any liability to Tenderers. In case of annulment, all Tenders submitted and specifically, Tender securities shall be promptly returned to the Tenderers.

F. Award of Contract

41 Award Criteria

41.1 The Procuring Entity shall award the Contract to the successful tenderer whose tender has been determined to be the Lowest Evaluated Tender.

42. Notice of Intention to enter into a Contract/Notification of award

42.1 Upon award of the contract and Prior to the expiry of the Tender Validity Period, the Procuring Entity shall issue a Notification of Intention to Enter into a Contract/Notification of an award to all tenderers, which shall contain, at a minimum, the following information:

The name and address of the Tenderer submitting the successful tender;

- a) The Contract price of the successful tender;
- b) a statement of the reason(s) the tender of the unsuccessful tenderer to whom the letter is addressed was unsuccessful, unless the price information in (c) above already reveals the reason;
- c) the expiry date of the Stand still Period; and
- d) instructions on how to request a debriefing and/or submit a complaint during the standstill period;

43. Standstill Period

43.1 The Contract shall not be signed earlier than the expiry of a Standstill Period of 14 days to allow any dissatisfied tender to launch a complaint. Where only one Tender is submitted, the Standstill Period shall not apply.

43.2 Where a Standstill Period applies, it shall commence when the Procuring Entity has transmitted to each Tenderer the Notification of Intention to Enter into a Contract with the successful Tenderer.

44. Debriefing by the Procuring Entity

44.1 On receipt of the Procuring Entity's Notification of Intention to Enter into a Contract referred to in ITT 43, an unsuccessful tenderer may make a written request to the Procuring Entity for a debriefing on specific issues or concerns regarding their tender. The Procuring Entity shall provide the debriefing within five days of receipt of the request. .2 Debriefings of unsuccessful Tenderers may be done in writing or verbally. The Tenderer shall bear its own costs of attending such a debriefing meeting.

45. Letter of Award

45.1 Prior to the expiry of the Tender Validity Period and upon expiry of the Standstill Period specified in ITT 42.1, upon addressing a complaint that has been filed within the Standstill Period, the Procuring Entity shall transmit the Letter of Award to the successful Tenderer. The letter of the award shall request the successful tenderer to furnish the Performance Security within 21 days of the date of the letter.

46. Signing of Contract

46.1 Upon the expiry of the fourteen days of the Notification of Intention to enter into the contract and upon the parties meeting their respective statutory requirements, the Procuring Entity shall send the successful Tenderer the Contract Agreement.

46.2 Within fourteen (14) days of receipt of the Contract Agreement, the successful Tenderer shall sign, date, and return it to the Procuring Entity.

46.3 The written contract shall be entered into within the period specified in the notification of the award and before the expiry of the tender validity period.

47. Performance Security

47.1 Within twenty-one (21) days of the receipt of the Form of Acceptance from the Procuring Entity, the successful Tenderer, if required, shall furnish the Performance Security in accordance with the GCC 3.9, using for that purpose the Performance Security Form included in Section X, Contract Forms, or another form acceptable to the Procuring Entity. If the Performance Security furnished by the successful Tenderer is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Tenderer to be acceptable to the Procuring Entity. A foreign institution providing a bond shall have a correspondent financial institution located in Kenya unless the Procuring Entity has agreed in writing that a correspondent financial institution is not required.

47.2 Failure of the successful Tenderer to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Tender Security. In that event, the Procuring Entity may award the Contract to the Tenderer offering the next Most Advantageous Tender.

48. Publication of Procurement Contract

48.1 Within fourteen days after signing the contract, the Procuring Entity shall publish the awarded contract on its notice boards and websites; and on the Website of the Authority. At the minimum, the notice shall contain the following information:

- a) Name and address of the Procuring Entity;
- b) name and reference number of the contract being awarded, a summary of its scope and the selection method used;
- c) the name of the successful Tenderer, the final total contract price, and the contract duration.
- d) Dates of signature, commencement and completion of contract;
- e) Names of all Tenderers that submitted Tenders and their Tender prices as read out at Tender opening.

49. Adjudicator

49.1 The Procuring Entity proposes the person named **in the TDS** to be appointed as Adjudicator under the Contract, at an hourly fee specified **in the TDS**, plus reimbursable expenses. If the Tenderer disagrees with the proposed Adjudicator, the Tenderer should so state in the Tender. If in the Form of Acceptance, the Procuring Entity has not agreed on the appointment of the Adjudicator, the Adjudicator shall be appointed by the Appointing Authority designated in the Special Conditions of Contract at the request of either party.

50. Procurement-Related Complaints and Administrative Review

50.1 The procedures for making a Procurement-related Complaint area specified in the **TDS**.

50.2 A request for administrative review shall be made in the form provided under contract forms.

SECTION II - TENDER DATA SHEET (TDS)

The following specific data for the Maintenance Services to be procured shall complement, supplement, or amend the provisions in the Instructions to Tenderers (ITT). Whenever there is a conflict, the provisions herein shall prevail over those in ITT.

[Where an e-procurement system is used, modify the relevant parts of the TDS accordingly to reflect the eprocurement process].

[Instructions for completing the Tender Data Sheet are provided, as needed, in the notes in italics mentioned for the relevant ITT].

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
ITT Reference	A. General
ITT 1.1	The reference number of the Request for Tenders (ITT) is: CA/PROC/SCM/19/2023-2024 The Procuring Entity is: COMMUNICATIONS AUTHORITY OF KENYA The name of the ITT is: TENDER FOR PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM The number and identification of lots (contracts) comprising this ITT is: NOT APPLICABLE
ITT 2.1 (a)	Electronic –Procurement System- SHALL NOT BE USED
ITT 2.1	The Procuring Entity is the COMMUNICATIONS AUTHORITY OF KENYA , which is the regulatory agency for the ICT industry in Kenya with responsibilities in telecommunications, cyber security, e-commerce, broadcasting and postal/courier services. CA is also responsible for managing the country’s numbering and frequency spectrum resources, administering the universal service fund (USF) as well as safeguarding the interests of consumers of ICT services. The name of the Project is: TENDER FOR PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES OF SPECTRUM MANAGEMENT AND MONITORING SYSTEM
3.4	The firms (if any) that provided consulting services for the contract being tendered for are NOT APPLICABLE
ITT 4.1	The maximum number of members in the Joint Venture (JV) shall be: THREE
ITT 4.12	The Procuring Entity may require tenderers to be registered with NOT APPLICABLE
	B. Contents of Tendering Document

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
ITT 8.1	<p>For Clarification of Tender purposes only, the Procuring Entity's address is: Director / Supply Chain Management Communications Authority of Kenya P.O Box 14448-00800 Nairobi Tel: 0703042000/0703042000 Email: tenders@ca.go.ke Floor: CA Centre on Waiyaki Way, 2nd Floor –Wing A</p> <p>Requests for clarification should be received by the Procuring Entity no later than SEVEN DAYS BEFORE THE DATE OF TENDER OPENING Web page: www.ca.go.ke or Public Procurement Information portal: https://www.tenders.go.ke/website/tenders/Index</p>
ITT 8.2	The Firms or their Affiliates that provided consulting services for the contract being tendered for are NOT APPLICABLE
ITT 8.4	<p>The Procuring Entity shall publish <i>the</i> Minutes of the pre-Tender meeting and the pre-arranged pretender visit of the site of the works at the website</p> <p>A hybrid Pre-bidders conference SHALL take place on 16th November 2023 from 9.00am to 11.00am. A site visit conducted by bidder; Optional upon request</p>
ITT 9.1	The Procuring Entity will respond to the request for clarification SEVEN DAYS BEFORE THE TENDER CLOSING DATE and shall publish its response at the website https://ca.go.ke/open-tenders or Public Procurement Information portal: https://tenders.go.ke/Tenderdetails
	C. Preparation of Tenders
ITT 13.1 (i)	The Tenderer shall submit the following additional documents in its Tender: NONE
ITT 15.1	Alternative Tenders SHALL NOT BE considered.
ITT 15.2	<p>Alternative times for completion SHALL NOT BE permitted. If permitted, the range of acceptable completion time is: NOT APPLICABLE</p> <p>If alternative times for completion are permitted, the evaluation method will be as specified in Section III, Evaluation and Qualification Criteria.</p>
ITT 15.3	Alternative technical solutions shall be permitted for the following parts of the Services: NOT PERMITTED
ITT 16.7	<p>The prices quoted by the Tenderer SHALL NOT be subject to adjustment during the performance of the Contract.</p> <p>The rates are: For local currency _____ For Foreign currency _____</p>
ITT 19.3	Prequalification HAS NOT BEEN undertaken
ITT 20.1	The Tender validity period shall be 210 days

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
ITT 21.1	A Tender Security of <i>KSHS 200,000.00 SHALL BE</i> required. A Tender-Securing Declaration <i>SHALL NOT BE</i> required.
ITT 22.1	In addition to the original Tender, the number of copies is: <i>ONE</i>
ITT 22.3	The written confirmation of authorization to sign on behalf of the Tenderer shall consist of: <i>A DULY SIGNED AND STAMPED POWER OF ATTORNEY FOR THE PERSON SIGNING THE TENDER ON BEHALF OF THE TENDERER AND MUST BE WITNESSED BY THE COMMISSIONER FOR OATHS OR NOTARIZED BY NOTARY PUBLIC AND MUST BE ATTACHED TO THE TENDER. THE POWER OF ATTORNEY SHALL NOT BE REQUIRED WHERE THE PERSON SIGNING IS A DIRECTOR OF THE COMPANY AS INDICATED IN THE ATTACHED CR12.</i>
	D. Submission and Opening of Tenders
ITT 23.1	For <u>Tender submission purposes</u> only, the Procuring Entity’s address is: Attention: DIRECTOR GENERAL <i>Address: COMMUNICATIONS AUTHORITY OF KENYA, P.O.BOX 14448 NAIROBI - 00800, CA CENTRE, ALONG WAIYAKI WAY, GROUND FLOOR AT THE TENDER BOX</i>
ITT 24.1	The deadline for Tender submission is: Date: <i>29th November 2023</i> Time: 10.30 AM East African Time Tenderers SHALL NOT have the option of submitting their Tenders electronically.
ITT 26.1	The Tender opening shall take place at: Physical Address: <i>CA CENTRE BUILDING ALONG WAIYAKI WAY NAIROBI, GROUND FLOOR AT THE ATRIUM</i> Date: <i>29TH November 2023</i> Time: <i>10.30 AM East African Time</i>
ITT 27.1	The electronic Tender opening procedures shall be: <i>NOT APPLICABLE</i>
ITT 27.6	The Form of Tender and priced Activity Schedule shall be initialled by <i>ALL</i> representatives of the Procuring Entity conducting Tender opening.
ITT 31.7	Procuring Entity shall adjust the Tender Price for comparison purposes only in the following manner: <i>PRICE ADJUSTMENTS NOT ALLOWED.</i> adjustment shall be based on the _____ (<i>insert “average” or “highest”</i>) price of the item or component as quoted in other substantially responsive Tenders. If the price of the item or component cannot be derived from the price of other substantially responsive Tenders, the Procuring Entity shall use its best estimate. <i>NOT APPLICABLE</i>

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
ITT 38.1	As additional qualification measures, the Information System (or components/parts of it) offered by the Tenderer with the Best Evaluated Tender - <i>POST QUALIFICATION DUE DILIGENCE MAY BE CONDUCTED ON THE LOWEST EVALUATED BIDDER/S. DURING THE DUE DILIGENCE THE PROCURING ENTITY WILL ASSESS THE AUTHENTICITY OF THE SUBMITTED DOCUMENTATIONS/INFORMATION AND REFERENCES GIVEN. IF, FROM THE ASSESSMENT, IT IS ESTABLISHED THAT ANY OF THE INFORMATION/DOCUMENTATION PROVIDED IS FALSE THEN THE BIDDER SHALL BE DISQUALIFIED</i>
E. Evaluation and Comparison of Tenders	
ITT 34.1	The margin of preference allowed or not allowed: <i>NOT ALLOWED</i>
ITT 34.2	The invitation to tender is extended to the following group that qualifies for Reservations <i>NOT APPLICABLE</i>
F. Award of Contract	
ITT 49.1	The Adjudicator proposed by the Procuring Entity, <i>THE ADJUDICATOR, SHALL BE APPOINTED BY THE LAW SOCIETY OF KENYA</i> . The hourly fee for this proposed Adjudicator shall be <i>AS AGREED BY BOTH PARTIES</i> . The biographical data of the proposed Adjudicator is as follows: <i>TO BE DETERMINED</i>
ITT 50.1	The procedures for making a procurement-related complaint are available from the “Notification of Intention to Award - Paragraph 5” and from the PPRA website www.ppra.go.ke . If a tenderer wishes to make a Procurement-related Complaint, the Tenderer should submit its complaint following these procedures, in writing (by the quickest means available, that is, either by hand delivery or email to:
	For the attention: <i>PATRICK KANYOGO WANJUKI</i> Title/position: <i>DIRECTOR GENERAL</i> Procuring Entity: <i>PUBLIC PROCUREMENT REGULATORY AUTHORITY</i> Email address: <i>info@ppra.go.ke; complaints@ppra.go.ke</i> In summary, a Procurement-related Complaint may challenge any of the following: (i) the terms of the Tender Documents; and (ii) the Procuring Entity’s decision to award the contract.

SECTION III - EVALUATION AND QUALIFICATION CRITERIA

1. General Provision

Wherever a Tenderer is required to state a monetary amount, Tenderers should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:

- a) For construction turnover or financial data required for each Year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.
- b) Value of single Contract-Exchange rate prevailing on the date of the contract signature.
- c) Exchange rates shall be taken from the publicly available source identified in the ITT. Any error in determining the exchange rates in the Tender may be corrected by the Procuring Entity.

This section contains the criteria that the Employer shall use to evaluate tender and qualify tenderers. No other factors, methods or criteria shall be used other than specified in this tender document. The Tenderer shall provide all the information requested in the forms included in Section IV, Tendering Forms. The Procuring Entity should use **the Standard Tender Evaluation Report for Goods and Works** for evaluating Tenders.

Evaluation and contract award Criteria

The Procuring Entity shall use the criteria and methodologies listed in this Section (*parts A, B & C herein below*) to evaluate tenders and arrive at the Lowest Evaluated Tender. The tender that (i) meets the qualification criteria, (ii) has been determined to be substantially responsive to the Tender Documents, and (iii) is determined to have the Lowest Evaluated Tender price shall be selected for award of contract.

The tender evaluation will be undertaken through a four-stage process namely: -

- | | | | |
|------|----------------------------------|---|--|
| i. | Mandatory/Preliminary Evaluation | - | Pass or Fail basis |
| ii. | Technical Compliance Evaluation | - | Pass or Fail basis |
| iii. | Technical Capacity Evaluation | - | Pass Mark of 80 marks out of 100 marks |
| iv. | Financial Evaluation | - | Lowest Cost Evaluated Bidder |

2. The preliminary examination for Determination of Responsiveness

The Procuring Entity will start by examining all tenders to ensure they meet in all respects the eligibility criteria and other requirements in the ITT and that the tender is complete *in all* aspects in meeting the requirements of “*Part 2– Procuring Entity's Services Requirements*”, including checking for tenders with unacceptable errors, abnormally low tenders, abnormally high tenders and tenders that are front-loaded. The Standard Tender Evaluation Report for Goods and Works for evaluating Tenders provides clear guidelines on how to deal with the review of these requirements. Tenders that do not pass the Preliminary Examination will be considered irresponsible and will not be considered further.

A. MANDATORY EVALUATION CRITERIA

The mandatory evaluation criteria is as detailed in the table below: -

No.	Item Description	Pass/ Fail
1.	Attach a copy of the certificate of Incorporation / Registration	
2.	Attach a copy of KRA Tax Compliance Certificate valid as at the date of tender opening.	
3.	Provide a CR12 from the Registrar of Companies (for Limited Companies) or relevant Identification documents– showing 100% ownership by Kenyans. The tender is exclusively reserved to firms that Kenyans own 100% shares.	
4.	Submit audited accounts for the past three (3) years i.e. (2020, 2021 and 2022). An Active ICPAK member with a valid practicing license number must certify the Audited accounts. Indicate the practicing license number. Group accounts will not be accepted.	
5.	Provide a Tender Security of Kshs. 200,000.00 which must be in form of a bank Guarantee from a reputable bank/financial institution recognized by the Central Bank of Kenya (CBK) or an insurance Bond from an Insurance Company Registered and Licensed by the Insurance Regulatory Authority (IRA) valid for 240 days from the date of tender opening.	
6.	Attach a duly filled and signed Confidential Business Questionnaire as per the format provided in section IV.	
7.	Attach a duly filled and signed Form of Tender in the format provided in section IV including the selection of the appropriate option under item (I) in the Form.	
8.	Attach a duly filled and signed Form SD1 Self declaration confirming that the person/tenderer is not debarred in the matter of the Public Procurement and Asset Disposal Act 2015, in the format provided in section IV	
9.	Attach duly filled and signed Form SD2 Self Declaration confirming that the person/tenderer will not engage in any corrupt or fraudulent practice in the format provided in section IV.	
10.	Attach duly filled and signed Certificate of Independent Tender Determination in the format provided in section IV including the selection of the appropriate option under item (5) on the form	
11.	Attach a duly signed and stamped power of attorney for the person signing the tender on behalf of the tenderer and must be witnessed by the commissioner for oaths or notarized by Notary Public. The power of attorney shall not be required where the person signing is a director of the company as indicated in the attached CR12.	
12.	A statement confirming that the Tenderer is a manufacturer of the Spectrum Management System and Spectrum Monitoring System OR where the bidder is an Agent of a manufacturer of the Spectrum Management System and Spectrum Monitoring System the manufacturer of each system Must fill in and sign the applicable Manufacturer's Authorization form.	

NOTE: KEY: ✓ PASS: X: FAIL

Bid evaluation shall be on the basis of pass/fail and any bid failing in any of the (mandatory requirements) will not proceed to the Technical Compliance Evaluation Stage

B. TECHNICAL COMPLIANCE EVALUATION

At this stage, the bids that passed at the Mandatory Evaluation stage are to be subjected to the Technical Compliance Evaluation. All the requirements are mandatory and failure to meet any of them would lead to bidders being disqualified at this stage. It is further required that bidders **MUST** respond to each requirement on the space provided in the 3rd column of the table below. Bidders **MUST** provide explanation of compliance with reference to the Manufacturers data sheets/brochures **which must be attached** and provide with specific page numbers. In case of inconsistency, the datasheet information would take precedence.

Bid evaluation shall be on the basis of pass/fail and any bid failing in any of the Technical Compliance stage will not proceed to the Technical Capacity Evaluation Stage

A. Specifications for the Wideband Portable Monitoring Receiver

The minimum technical specifications for the Wideband Portable Monitoring Receivers is presented in the table below.

Technical Specifications for Wideband Portable Monitoring Receivers

No	FEATURE	MINIMUM SPECIFICATIONS	Compliance Statement & Reference page in the manufacturer's datasheets/brochures
1.1.	Frequency Range	9 kHz to 7.5 GHz	
1.2.	Real-Time Bandwidth	40 MHz and above	
1.3.	Scanning Speed	47 GHz/s and above	
1.4.	Scanning Modes	User Select Frequency Scan, Memory Scan, Panorama Scan	
1.5.	Controls	Gain Control: Automatic Gain Control (AGC) and Main Gain Control (MGC)	
		Frequency Control: Automatic Frequency Control (AFC)	
		Squelch	
1.6.	Analysis	Frequency domain analysis	
1.7.		Time domain analysis	
1.8.	Measurements	Level measurements (dBm)	
		Field strength measurements (dB μ V/m)	
		Center Frequency	
		Bandwidth (x dB and β %)	
1.9.	Display	Interference Analysis	
		Colour Display	
		Multiple markers on screen	
		Display of IF spectrum and spectrogram (waterfall display)	
		Polychrome Spectrum display of time behaviour (frequency of occurrence) of pulsed signals using colour coding (for all real-time bandwidths)	
	Operating language: English.		
1.10.	Demodulation modes	AM, USB, FM, LSB, PULSE, CW	
1.11.	Weight	Less than 4 kg	
1.12.	Battery Run Time	At least 3.5 hours.	

No	FEATURE	MINIMUM SPECIFICATIONS	Compliance Statement & Reference page in the manufacturer's datasheets/brochures
1.13.	Probes/Antenna	Appropriate directional antennas/probes required to cover the entire band of coverage 9 kHz to 7.5 GHz	
1.14.	Audio output	Inbuilt speakers and headphones output provision	
1.15.	Interfaces	LAN Port and USB port	
1.16.	Data Logging	Internal and external data logger for long-term recording	
1.17.	Power Supply	Plug in AC power supply 240 ±10 V (50/60Hz) with appropriate adapters, in-built high-performance battery pack.	
		External DC input by automotive cigarette DC adaptor	
1.18.	Case	Soft carrying bag and Holster with suitable straps or mechanism to facilitate portability	
		Appropriate Hard Carrier case for proper portability and equipment storage of both the monitoring receiver and antennas	
1.19.	Map	Open street maps or any license free maps	
1.20.	Accessories	Provide	
1.21.	Warranty	1 Year	
1.22.	Extended Warranty	4 Years	

B. Maintenance and Support Services

The Maintenance and Support services required are

1. Preventive maintenance to be performed on a bi-annual basis;
2. Corrective maintenance as and when required;
3. Firmware and software updates, upgrades and enhancements when available.

i. Spectrum Management Sub-System

The supplier shall provide maintenance for the existing Spectrum Management Sub-System which shall require upgrade of various components to the current industry standards.

a) ICS Manager (ICSM)

The specifications of the ICS Manager (ICSM) are provided in the Table below.

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.1.	Server Maintenance and support	The bidder shall provide maintenance and support for the Spectrum Management Sub-System bi-annually.	
2.2.	Redundancy	The successful bidder shall maintain redundancy of the spectrum management sub-system. The 4 servers of the system are virtualized to host the application and the databases for both the primary data centre and disaster recovery. The application and database are configured to have two (2) nodes; these nodes are in two (2) separate servers i.e. physical server 1 hosts application 1 and database 1; physical server 2 hosts application 2 and database 2, physical server three (3) hosts the disaster recovery application and database, physical server four (4) hosts the test/development environment	
2.3.	Fail-over and Disaster Recovery	The scope of the maintenance shall include the fail-over to enable fail-over mode in case of a failure in one node or a physical server. The configuration is done at the system/ node level as well as the physical server level. The application and database servers are configured to communicate and sync with each other to allow business continuity in case of a node or physical server failure. These application and database servers / nodes should run in high availability. The Authority has a Disaster Recovery centre. The successful bidder shall maintain the disaster recovery server to ensure replication of the application and the database between the primary data centre to the Disaster Recovery centre	
2.4.	Database	The management sub system is based on a web-based client-server architecture with a stable version of enterprise Microsoft SQL Server or Oracle database system. The database is structured with relational data tables and database procedures for storage, access and administration of data for spectrum management.	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.5.	Spectrum License Application Processing	<p>The system supports the data entry of an application for licenses for radiocommunication services in accordance with the spectrum license application workflow process at CA.</p> <p>The system supports application for a new license, modification to an existing licence, or modification to a pending application. The applications are processed sequentially, to check that all the requirements pertaining to the application are provided and issues standard correspondences reports to the applicant The system supports progression of an application to a licence and allows issuance of a licence embedded with security features, and allows printing. The system supports submission of request for international coordination, including all the data required by ITU or by bilateral agreements with neighbouring administrations in the cases where it is required.</p>	
2.6.	Licence processing	<p>The system supports preparation and issuance of licenses for the following Radiocommunication services, but not limited to:</p> <ol style="list-style-type: none"> i. Stations in Aeronautical Service; ii. Stations in Maritime Service; iii. Stations in the Land Mobile Service; iv. Stations in the Fixed Service (Terrestrial Fixed microwave stations (radio links)); v. Stations in the Mobile Service; vi. Stations in the Fixed-Wireless Service; vii. Stations in the Space Service; viii. Stations in Broadcasting Service; ix. Stations in the Amateur Service; x. Stations in Citizen Band. 	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.7.		<p>The system performs the following licence management activities, but not limited to:</p> <ol style="list-style-type: none"> i. Assignment of frequencies; ii. Assignment of sets of pre-determined frequencies for stations in the aeronautical and maritime mobile services; iii. Issuance of licences; iv. Modification of parameters of existing licences; v. Generation renewal invoices for licences due for renewal; vi. Renewal of existing licences; vii. Adjustment of fees for existing licences; viii. Termination of licences; ix. Control and track the processing of licence applications to ensure that the correct applications are available at each stage of the workflow cycle, and that applications are automatically progressed to the next stage as each process is completed; x. Querying of database for technical and license information; xi. Extensive management reporting capabilities; xii. Provides a convenient manner for printing of licenses. 	
2.8.	Frequency and Channelization Plan Administration	<p>The system supports the following:</p> <ol style="list-style-type: none"> i. Creation and maintenance of the Kenya National Table of Frequency Allocation (TOFA) plan and ITU Table of Frequency Allocation including the relevant parts of the ITU Radio Regulations; ii. Creation and maintenance of channelization plans, including utility for the automated generation of channelization plans with user entered parameters; iii. Management, storage and retrieval of TOFA footnotes; iv. Retrieval of frequency channel pairs and applicable footnotes with user specified parameters; v. Access to the TOFA, channelization plans, footnotes, and other system-maintained databases on user supplied parameters; vi. Provide the allocation table in a user-friendly colour coded graphical display; vii. Utility that a frequency assignment can be checked against the plan to ensure assignment for specified services. 	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.9.	Spectrum License Fee processing	<p>The system provides for the management of spectrum related financial tasks, such as production of invoices, recording of payments and production of financial statements in statistical and or individual format.</p> <p>The system provides for recording of fee payments against any number of invoices, payment status associated with licensing functions and integrated with the licensing process. The system produces billing data conforming with specified formats that shall integrate with the accounting software. Billing amounts shall be automatically calculated by the system based on the current spectrum fee schedule.</p> <p>The system provides functions to query invoices and payment records and include a payment reminder function shall be provided to send reminders to applicants or licence holders for overdue invoices, reprint function. The system supports extensive management reporting.</p> <p>It also allows the maintenance and modification of</p> <ul style="list-style-type: none"> ● spectrum fee schedule; ● fee calculations for all transactions with charges and automatic interface to invoice generation. <p>It also includes the capability of entering new fee schedules into the system, while the existing schedule remains in force, and switching over to a user specified new schedule on a user selected date.</p>	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.10.	Report Generation	<p>The system provides for extensive dynamic querying of the database and production of statistics and reports in graphic or text form.</p> <p>The system has a number of standard reports and standard letters and supports the production of customized reports as specified by the Client.</p> <p>The system allows analysis of technical status data and process history data.</p> <p>The reports include, but are not limited to;</p> <ul style="list-style-type: none"> i. List of assigned frequency(ies) and licensees ii. List of licenses in a particular area iii. List of licences assigned to a licensee iv. Technical information of the licenses, stations, antennas v. Status of specific license application, licence validity, fee payment status vi. List of applications pending issuance vii. List of licences approved, modified, cancelled within a particular time frame, area viii. Frequency allocation report ix. Licensed station report x. Engineering analysis results xi. Notices xii. Database records xiii. Licence general reports xiv. Frequency assignment report xv. Record summary xvi. Transaction activity reports xvii. Expiration and renewal notice xviii. Summary status reports xix. Status reports xx. Custom reports <p>The system is capable of exporting reports to other formats, such as: Microsoft Excel, pdf, html.</p>	
2.11.	Complaint processing	<p>The system shall support the efficient processing of interference complaints in accordance with the Client's procedures and be able to generate a monitoring task for the technical verification of stations and review the monitoring results and production of complaint resolution reports</p>	
2.12.	Reference tables processing	<p>The system supports the review, update and printing of all reference tables used by the system with the appropriate user rights to enable viewing or modification.</p>	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.13.	Transaction Processing	<p>The system allows creation of a record in the database, registering the date, time and identity of staff who performs each transaction, such as administrative approval of a licence, for instance.</p> <p>The system is capable of preventing a staff from repeating a transaction that has already been completed once. The transaction processing implemented by the system guarantees the integrity, reliability and recoverability of the database.</p>	
2.14.	Record keeping	<p>The system supports a database containing frequency assignment data and information concerning individual licence holders. The data is in conformity with the data requirements specified in the ITU-R Recommendation ITU-R SM.1413 to ensure compatibility of definitions and formats for future coordination and notification purposes.</p> <p>The system includes a high performance, Relational Database Management System (RDBMS) for distributed client server operation. It has a built-in audit trail function for database transactions, and it allows multilevel security access protection.</p> <p>The RDBMS software provides the following features:</p> <ul style="list-style-type: none"> i. Security: Defined roles for authorized persons to read, write or modify each database object. ii. Replication/scalability: The RDBMS engine supports replication in order to increase the availability of the database in remote locations in order to handle future growth. iii. Reliability/integrity: The RDBMS engine guarantees that every transaction that is executed successfully will not be lost despite a system failure. iv. Multi-user: The RDBMS engine allows multiple users to be connected to the database and handle concurrent access to the information. A large number of users can be connected to the system. 	
2.15.	Engineering analysis	<p>The system includes an engineering analysis application to perform various analysis including electromagnetic compatibility (EMC), interference analysis, broadcasting analysis, and to process the results before frequency assignment</p>	
2.16.	Equipment approval/ registry process	<p>The system can integrate with equipment authorization, certification, type acceptance, or type approval processes of the Client. It provides for equipment registry functionality used to ensure that new applications entered into the system for approval are using approved or acceptable equipment</p>	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.17.	Integration with Monitoring System	<p>The system integrates with and provides spectrum management data to the spectrum monitoring system and processes information from the monitoring system.</p> <p>The integration includes, but is not limited to;</p> <ol style="list-style-type: none"> i. verification of technical and operational characteristics of transmitters; ii. the detection and location of unauthorized transmitters; iii. the identification and resolution of interference problems; iv. the validation of propagation and sharing models; v. support for inspection function to <ul style="list-style-type: none"> • produce inventory report of all equipment (transmitters, receivers, antenna, filters, etc.) and frequency assignments expected to be found (on the basis of the licence granted) for each station inspected; • record inspection results of the station; • record future inspection requirements for the station; • produce reports of stations requiring inspection according to planned inspection date and geographic area. 	
2.18.	Submission of notices to the BR	<p>The system is capable of automatically identifying applications requiring the submission of notices to the ITU BR in accordance with current relevant Radio Regulation procedures (e.g. Articles in RR Chapter III, Appendices 30, 30A and 30B, Resolutions 49 and 552) and creating appropriate coordination/notification data information suitable for the preparation of notices to the ITU BR</p> <p>The system is capable of printing on request the frequency assignments for internal verification and draw the notice to the attention of the spectrum manager if the request is inappropriate. It shall create appropriate entries in the system for tracking notification progress.</p>	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.19.	International frequency coordination	<p>The system is capable of identifying licence applications requiring international frequency coordination and automatically creates a coordination document to be used for coordination with other administrations.</p> <p>The system is capable of checking if the area of interference is crossing a border using the ITU-Digitized World Map (IDWM) and ITU BR IFIC.</p> <p>The system supports the identification of licence applications requiring border coordination. For this purpose, the field strength coverage in the neighbouring countries' transmitters is calculated, as well as interference. In this manner, the system supports interference calculations and forms for coordination.</p> <p>The system can automatically identify whether stations under evaluation are located in a coordination zone using a GIS-based map.</p> <p>It also provides a query function to enable online viewing of coordination data and status of selected coordination records using user specified parameters.</p>	
2.20.	Graphical User interface and Operating System	<p>The graphical user interfaces is in English language. The user interface is graphical with extensive use of user-friendly toolbars, help features, customizable menus to aid a user perform all functionalities based on their roles. The Client's staff use standard computer workstations (PCs), with Microsoft windows platform in a networked environment to access and use the management subsystem.</p>	
2.21.	System customization	<p>The system is customized to meet the needs of the Client such as, but not limited to;</p> <ol style="list-style-type: none"> i. geographic definitions – regions, province and cities; ii. license forms and system letter templates; iii. migration of data from existing database; iv. tariffs and fees' calculation. v. spectrum plan; vi. licensing processes and procedures workflows; vii. reports; 	

	SPECIFICATIONS	DESCRIPTION	Compliance Statement & Reference page in the bidder's proposal
2.22.	Geographic map display functions	<p>The system interfaces with Digital Map used by the engineering analysis tools for the storage, processing and display of geographic data and terrain/topography data in standard formats.</p> <p>The spectrum management system is capable of storing, processing and displaying the digital map data with no degradation in accuracy.</p> <p>The system enables the placing of transmitters on the map and viewing of transmitters within selected geographical area.</p>	

b) ICS Telecom

The specifications of the ICS Telecom system are provided in the table below.

No	Technical Specifications	Compliance Statement & Reference page in the bidder's proposal
3.1.	Licenses & support for four (4) concurrent standalone users.	
3.2.	Calculation of coverage area, field strength, field strength contour & network coverage.	
3.3.	Advanced simulation - creating 3D coverage and traffic and interference analysis.	
3.4.	GIS data conversion – Efficient data conversion to and from ArcGIS formats.	
3.5.	Automated frequency assignment and optimization.	
3.6.	Cartographic library – for import or export to other formats.	
3.7.	Antenna design system - for simulating 3D antenna patterns.	
3.8.	Network modelling - to simulate dynamic scenarios.	
3.9.	Propagation model tuning to modify analysis between predictions & actual measurements.	
3.10.	Capability to display additional vectors, including infrastructure, Clutter, Land usage Model and buildings.	
3.11.	Electromagnetic compatibility analysis & service co-existence management.	
3.12.	Mobile Network design, planning and optimisation, including 2G-GSM, GSM-R, 3G UMTS, 4G-LTE, 5G and IoT.	
3.13.	Planning for digital broadcasting services: DTT and DAB.	
3.14.	Planning for Trunk Radio Network Planning for VHF-PMR and TETRA.	
3.15.	Import of ITU frequency plans, allocations, channel allotments & frequency assignments.	
3.16.	Population/subscriber and network traffic analysis.	
3.17.	White space spectrum availability calculations.	
3.18.	Planning for dynamic spectrum allocations/frequency sharing management.	
3.19.	Capability for technical analysis for international coordination of terrestrial (mobile, broadcasting) and satellite plans.	

No	Technical Specifications	Compliance Statement & Reference page in the bidder's proposal
3.20.	Include propagation models ITU-R, 3GPP, and Cost.	
3.21.	Full universal deterministic propagation model [30 MHz – 300 GHz]	
3.22.	Provision of high-resolution maps of at least 30m & 90m (countrywide) or better.	
3.23.	Provision of maps for neighboring countries/areas close to the border (x-km from the border line) to facilitate cross-border coordination.	
3.24.	Terrain classification data 30m & 90 m resolution (countrywide) or better for Digital Terrain Model (DTM) and Digital Elevation Model (DEM).	
3.25.	<p>Interference analysis including intermodulation: The solution shall enable EMC electromagnetic compatibility analysis for radio communication services, including the following interference criteria:</p> <ul style="list-style-type: none"> a. C/I (Carrier Over Interference) b. C/N+I (Carrier Over Interference + Noise) c. S/N (Signal over Noise) d. DL / UL SNIR (Signal over Noise and interference) e. IRF (Interference Rejection factor) f. TD (Threshold degradation) g. Protection Margin h. TIL (Threshold Interference Level ITU-R ISR.847) i. PFD (Power Flux Density) j. I/N (Interference over Noise) k. UFS (Usable Field Strength) l. NFS (Nuisance Field Strength) m. $\Delta T/T$ (p' gt' gr) / KLT - ITU Radio Regulations Appendix 8) n. $E_c/I_0, E_b/N_0, E_c/N_t$ 	
3.26.	Feature to compute the shortest path between two given stations in terms of line of sight and free ellipsoid, using existing stations and/or automatically added new stations.	
3.27.	Ability to model multiple technologies (operating in HF to SHF bands) concurrently in a single project.	
3.28.	Provide a database of cartography.	
3.29.	Raster and vector layers, Bing, Google, Open Street Map, World topography.	
3.30.	Multi-map/multi-resolution integrated GIS.	
3.31.	Seamless Integration with Spectrum Management system	
3.32.	Seamless Integration with GIS Software	
3.33.	Support direct import and export of shapefiles.	

c) **ICS Web Portal**

The supplier shall upgrade and maintain the ICS Web portal as per the specifications provided in the table below.

No.	SPECIFICATIONS	DESCRIPTION	Compliance statement & Reference page in manufacturers datasheets /brochures
4.1.	General Features	a. The Web portal should enable online license submission and synchronise with the ICS Manager database to manage functions such as end-to-end workflows and processes for license applications and processing.	

No.	SPECIFICATIONS	DESCRIPTION	Compliance statement & Reference page in manufacturers datasheets /brochures
		<p>b. The system architecture should feature a three-tiered, client-server layout, with each tier being logically separate. The application should interface with the users and should have no direct links to the DB for security and scalability.</p>	
		<p>c. The application server is the middle or link layer, which features the business logic, license processing and technical capabilities. This layer should deploy multiple instances of the solution to provide horizontal scaling for improved performance.</p>	
		<p>d. The database server (or data layer) should store data with connections provided at the application server level. The supplier should deploy a fault-tolerant, high-performance configuration that enables the system to operate without fault.</p>	
		<p>e. The portal should feature a customisable user interface, to allow modification to suit the Authority's needs</p>	
4.2.	Spectrum License Application Processing	<p>f. The portal shall support the data entry of an application for licences for radiocommunication services in accordance with the spectrum licence application workflow process at CA. This includes application for new licence, modification to an existing licence, or modification to a pending application. The applications shall be processed sequentially, to check that all the requirements pertaining to the application are provided and issue standard reports to the applicant, if any of the checks fail, the system must indicate the nature of the problem and/ or what is missing. Once all necessary requirements are met, the system should allow the progression of an application to a license and allow the issuance of the license embedded with security features and allow printing.</p>	

No.	SPECIFICATIONS	DESCRIPTION	Compliance statement & Reference page in manufacturers datasheets /brochures
4.3.	Licence processing	<p>The upgraded web portal should have the capability to support spectrum administration and license processing, including the following features.</p> <ul style="list-style-type: none"> i. General Features: <ul style="list-style-type: none"> ● Device Models. ● Type Approvals. ● Equipment Configurations & Antennas. ii. Fixed Services <ul style="list-style-type: none"> ● Fixed Microwave Links. iii. Satellite Services <ul style="list-style-type: none"> ● VSAT. ● Fixed Satellite Earth Stations. iv. Terrestrial: <ul style="list-style-type: none"> ● Mobile, Land Mobile, BFWA, ALARM, PRN, Trunk Stations, Other terrestrial stations. ● Aircraft Stations. ● Ship/Maritime Stations. ● Amateur Stations. v. Broadcast Services: <ul style="list-style-type: none"> ● DVB-T Stations. ● FM Sound Broadcasting Stations. ● T-DAB stations. 	

No.	SPECIFICATIONS	DESCRIPTION	Compliance statement & Reference page in manufacturers datasheets /brochures
4.4.		<p>The portal shall have capability to perform the following licence management activities, but not limited to:</p> <ul style="list-style-type: none"> i. Assignment of frequencies; ii. Assignment of sets of pre-determined frequencies for stations in the aeronautical and maritime mobile services; iii. Issuance of licences; iv. Modification of parameters of existing licences; v. Renewal an existing licence; vi. Termination of licences; vii. Control and track the processing of licence applications to ensure that the correct applications are available at each stage of the workflow cycle, and that applications are automatically progressed to the next stage as each process is completed; viii. Querying of database for technical and license information; ix. Should have capability of inserting digital signatures on generated documents. The ICS web portal must be supplied with security features to enable processing of a secure license and all other documents 	
4.5.	Interference reporting	The portal shall support the submission of frequency interference complaints and viewing of status of resolution.	
4.6.	Submission of returns	The portal shall support submission of network returns	
4.7.	International Frequency Coordination	The portal shall be support production of requests for international coordination, including all the data required by ITU or by bilateral agreements with neighbouring administrations in the cases where it is required.	
4.8.	Report Generation	<p>The portal shall have the capability to query the ICS Manager, display dashboards to internal CA users and extensive management reporting capabilities.</p> <p>The portal shall have a number of standard reports and standard letters and shall also support the production of customized reports as specified by the Client. The system shall allow analysis of technical status data and process history data. The system shall be capable of exporting reports to other formats, such as: Microsoft Excel, pdf, html.</p>	
4.9.	User Alerts	The portal shall support notification alerts to users	

No.	SPECIFICATIONS	DESCRIPTION	Compliance statement & Reference page in manufacturers datasheets /brochures
4.10.	Multiple User Access and Roles	The portal shall allow for authenticated log on by authorized persons based on their roles which shall be built into the design. Access rights shall be configurable by the system administrator. Based on their roles, the portal shall be capable of restricting update access to only those staff who have the proper security access role.	
4.11.	Equipment approval/ registry process	The portal shall integrate with equipment authorization, certification, type acceptance, or type approval processes. It shall provide for equipment registry functionality that shall be used to ensure that new applications entered into the system for approval are using approved or acceptable equipment	
4.12.	Graphical User interface	The graphical user interfaces shall be in English language. The user interface shall be graphical with extensive use of user-friendly toolbars, help features, customizable menus to aid a user perform all functionalities based on their roles.	
4.13.	Portal customization	The portal should be customized to meet the needs of the Authority	
4.14.	Geographic map display functions	The portal shall interface with existing Digital Maps and display geographic data and terrain/topography data in standard formats. The spectrum management system shall be capable of storing, processing and displaying the digital map data with no degradation in accuracy. The system shall enable the placing of transmitters on the map and viewing of transmitters within selected geographical area.	
4.15.	Online help facilities	The portal must allow for online support and Frequently Asked Questions (FAQs) to assist users.	
4.16.	Integration	Integration with the following existing systems (ICS Manager, Licensing Management System, Email system, Authentication system, engineering analysis tools) and future systems such as Customer Relationship Module, Document Management System, Business Intelligence & Analytics.	
4.17.	Documentation	A complete user's manuals (hard, soft and online) shall be supplied in English. The manual shall include a description of all operating features of the ICS web portal in sufficient detail.	

ii. Spectrum Monitoring Sub-system

The maintenance and support services for the Fixed, Mobile and Portable Station shall be carried out at the Client's premises in different locations

a) Technical Compliance for Maintenance of Spectrum Monitoring Subsystem

No.	Description of components for maintenance	Compliance Statement & Reference page in bidder's proposal
5.1.	Eight Fixed VHF/UHF stations and associated infrastructures located in Nairobi (3), Mombasa (2), Nakuru, Kisumu and Eldoret (20 MHz to 3 GHz);	
5.2.	Three Fixed HF stations and associated infrastructures located in Nairobi, Kitale and Garissa (9 kHz to 30 MHz);	
5.3.	Four Mobile Monitoring Stations in Nairobi, Mombasa, Kisumu and Eldoret (20 MHz to 6 GHz) and associated infrastructures;	
5.4.	Portable monitoring equipment (radio receivers and spectrum analyzers);	
5.5.	Control centres in Nairobi and Mombasa;	
5.6.	Auxiliary support infrastructure comprising Antenna towers, Antennas, equipment shelter, cabling, switching devices and alarm detection, network and network devices; and	
5.7.	Spectrum Monitoring software.	

C. TECHNICAL CAPACITY EVALUATION

The bidders that will qualify at the Technical Compliance Evaluation stage shall be subjected to the Technical Capacity Evaluation. The maximum scores attainable at the technical capacity evaluation stage shall be one hundred (100 out of one hundred 100). Bidders who attain Eighty (80) marks and above out of one hundred (100) will qualify to proceed to the Financial Evaluation stage.

The bidder must demonstrate their capacity to perform maintenance and support services by meeting the following requirements

Requirement	Description	Marks
6.1. Experience of the firm	<p>Bidders must provide a brief description of at least two (2) sites where they have provided maintenance and support of Spectrum Management & Monitoring system in the last five (5) years. The description must detail the works undertaken for spectrum management subsystems and spectrum monitoring subsystems, the organization name and address, contact person(s), contact details, and contract amount. <i>(2 Marks for each description up to a maximum of 8 Marks).</i></p> <p>The bidders must submit recommendation letters for the reference sites provided above where they have provided the maintenance services for spectrum management subsystems and spectrum monitoring subsystems. <i>(2 marks for each recommendation letter, up to a maximum of 8 marks).</i></p> <p>The bidders must also provide signed copies of maintenance contract from the references provided above. <i>(2 marks for each contract up to a maximum of 8 Marks).</i></p>	24
6.2. Human Resource Capacity	<p>Bidders must provide a list of at least four (4) staff (two staff for each subsystem), indicating the tasks that will be assigned to each during the support and maintenance period.</p> <p>The technical team proposed for assignment should have relevant academic qualifications; a degree in any discipline in engineering, relevant technical knowledge, certification to perform maintenance and support of Spectrum Management System from ATDI and Spectrum Monitoring System from Rohde & Schwarz, and with at least Five (5) years of experience in maintenance of similar systems.</p> <ul style="list-style-type: none"> <i>i. Provide a Curriculum Vitae signed by proposed personnel indicating projects undertaken with respective client name (2 marks for each CV up to a maximum of 8 Marks)</i> <i>ii. Attach certified copy of Degree certificate for each technical staff (2 marks for each certificate up to a maximum of 8 Marks)</i> <i>iii. Must meet minimum five (5) years' experience in the implementation and maintenance of similar projects (2 marks for each staff with 5 years' experience up to a maximum of 8 Marks)</i> <i>iv. Attach a certified copy of qualification to perform maintenance from ATDI to ascertain competence in maintenance and support of Spectrum Management (3 marks for each certificate up to a maximum of 6 marks).</i> <i>v. Attach a certified copy of qualification to perform maintenance from Rohde & Schwarz to ascertain competence in maintenance and support of Spectrum Monitoring Systems (attach certificate for each technical staff (3 marks for each certificate up to a maximum of 6 marks).</i> 	36

Requirement	Description	Marks
6.3. Service Level Agreement (SLA)	<p>The Authority will enter into a Service Level Agreement for performance on maintenance and support of the spectrum management system and spectrum monitoring system.</p> <p>In this regard, the Bidder must submit a detailed Service Level Agreement (SLA) incorporating the following: -</p> <ol style="list-style-type: none"> 1. Scope of the service (On-site bi-annual maintenance, Preventive Maintenance, corrective maintenance, upgrades and updates, manned telephone support); 2. Factory repair during the maintenance period; 3. Reporting Requirements; 4. Contractors' obligation; 5. Clients' obligations; 6. Payments terms; 7. Warranty and Liabilities of the suppliers; 8. Proposed training of clients staff and training schedule covering the training period; 9. Proposed test and quality assurance sub-plan; and 10. Proposed warranty defect Repair and technical support services sub-plan (<i>1 Mark for each SLA deliverable</i>) 	20
6.4. Customer Support	Bidders must Provide evidence of the existence of active Customer Support services within their organization that will support system upgrades indicating at least two senior staff with their qualifications, the number of years they have worked with the organization	10
6.5. Financial Capacity	<p>Bidders must demonstrate that they have the financial capacity to provide the required services by providing information to prove financial viability</p> <p>Financial Capacity as per submitted audited accounts for the past three years 2020, 2021, and 2022- Maximum (7 marks) as shown below: Average Annual Turnover</p> <ol style="list-style-type: none"> 1. KES 50,000,000 and Above (<i>7 marks</i>) 2. Below KES 50,000,000 (<i>No marks</i>) <p>Liquidity ratio (Audited accounts)</p> <p>Quick ratio=Current Assets/Current Liabilities: A ratio of 1:1 and above – (<i>3 marks</i>) Below a ratio of 1:1 = (<i>1 marks</i>)</p>	10

NOTE: - POST QUALIFICATION DUE DILIGENCE MAY BE CONDUCTED ON THE LOWEST EVALUATED BIDDER/S. DURING THE DUE DILIGENCE THE PROCURING ENTITY WILL ASSESS THE AUTHENTICITY OF THE SUBMITTED DOCUMENTATIONS/INFORMATION AND REFERENCES GIVEN. IF, FROM THE ASSESSMENT, IT IS ESTABLISHED THAT ANY OF THE INFORMATION/DOCUMENTATION PROVIDED IS FALSE THEN THE BIDDER SHALL BE DISQUALIFIED

The bidders that qualified at the technical Capacity evaluation stage would be subjected to financial evaluation and the lowest evaluated bidder would be recommended for award on the tender.

D. FINANCIAL PROPOSALS

The firms that will qualify at the technical capacity evaluation stage, shall be subjected to financial evaluation with the lowest evaluated bidder being recommended for award of the tender. The bidders will submit their financial proposal in the following format

No.	Description of Services	Quantity	Unit cost inclusive of taxes Bi -Annual	Total cost inclusive of taxes
A.	Provision of Upgrade and maintenance of the system			
1.	Upgrade of Spectrum Management Subsystem- Once	1		
2.	Maintenance of Spectrum Management Subsystem for 5 years- Bi- Annual	1		
3.	Maintenance of Spectrum Monitoring Subsystem for 5 years- Bi- Annual	1		
B.	Supply and Delivery			
1.	Wideband portable monitoring receivers - Once	2		
2.	Provision of 4-year extended warranty for the wideband portable monitoring receivers above – Once	2		
C.	Training			
1.	Initial Five (5) days training on the Spectrum Management Sub system for at least 15 users at CA premises- Once	Lot		
2.	Final Five (5) days training on the Spectrum Management Sub system for at least 15 users at CA premises- Once	Lot		
3.	Five (5) days training on the Spectrum Monitoring Sub system for 15 users on CA premises- Once	Lot		
Total Cost (Kshs.) Inclusive of taxes				

Notes on Financial submission

- i. The financial bid should be detailed, comprising of the unit and the total cost of all items proposed including payment of duties/taxes, inspection and transportation of the equipment to delivery at the CA Centre, Nairobi Kenya and the mentioned site in the invitation to tender.
- ii. The tender sum quoted must be in **KENYA SHILLINGS** and inclusive of all incidental costs and applicable taxes.
- iii. Bidders are to ensure that their bids are arithmetic error free

- a. *In accordance with ITT 35.4. Tenderers are evaluated on the basis of the lowest total evaluated tenderer identified in the financial proposal.*

Other specific additional criteria- if permitted under ITT 36.5 (f) the relevant evaluation method, if any, shall be as follows: -

- i. *Prices quoted must be in KENYA SHILLINGS and inclusive of all incidental costs and applicable taxes.*
- ii. *Bidders MUST ensure that their bids are arithmetic error free*
- iii. *Bidders MUST ensure that all calculations are estimated to two decimal places.*

3. Tender Evaluation (ITT 34) Price evaluation: in addition to the criteria listed in ITT 34.2 (a)–(d), the following criteria shall apply: **Alternative Completion Times**, if permitted under ITT 13.2, will be evaluated as follows: N/A

- i) **Alternative Technical Solutions** for specified parts of the Works, if permitted under ITT 13.4, will be evaluated as follows: N/A

- ii) **Other Criteria**; if permitted under ITT 34.2 (e):

Multiple Contracts NOT APPLICABLE

Multiple contracts will be permitted in accordance with ITT 35.4. Tenderers are evaluated on the basis of Lots, and the lowest evaluated tenderer is identified for each Lot. The Procuring Entity will select one Option of the two Options listed below for the award of Contracts.

OPTION 1

- i) If a tenderer wins only one Lot, the tenderer will be awarded a contract for that Lot, provided the tenderer meets the Eligibility and Qualification Criteria for that Lot.
- ii) If a tenderer wins more than one Lot, the tenderer will be awarded contracts for all won Lots, provided the tenderer meets the aggregate Eligibility and Qualification Criteria for all the Lots. The tenderer will be awarded the combination of Lots for which the tenderer qualifies, and the others will be considered for award to the second lowest the tenderers.

OPTION 2

The Procuring Entity will consider all possible combinations of won Lots [contract(s)] and determine the combinations with the lowest evaluated price. Tenders will then be awarded to the Tenderer or Tenderers in the combinations provided the tenderer meets the aggregate Eligibility, and Qualification Criteria for all the won Lots.

19. **Alternative Tenders (ITT 14) NOT APPLICABLE**

An alternative if permitted under ITT 13.1, will be evaluated as follows:

The Procuring Entity shall consider Tenders offered for alternatives as specified in Part 2- Procuring Entity's requirements. Only the technical alternatives, if any, of the Tenderer with the Best Evaluated Tender conforming to the basic technical requirements shall be considered by the Procuring Entity.

20. **Margin of Preference NOT APPLICABLE**

Apply Margin of Preference, if so allowed to all evaluated and accepted tender as follows.

If the TDS so specifies, the Procuring Entity will grant a margin of preference of fifteen percent (15%) to be loaded on evaluated prices of foreign tenderers, where the percentage of shareholding of Kenyan citizens is less than fifty-one percent (51%).

Contractors applying for such preference shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a particular contractor or group of contractors qualifies for a margin of preference.

After Tenders have been received and reviewed by the Procuring Entity, responsive Tenders shall be assessed to ascertain their percentage of shareholding of Kenyan citizens. Responsive tenders shall be classified into the following groups:

21. *Group A*: tenders offered by Kenyan Contractors and other Tenderers where Kenyan citizens hold shares of over fifty one percent (51%).

ii) *Group B*: tenders offered by foreign Contractors and other Tenderers where Kenyan citizens hold shares of less than fifty one percent (51%).

All evaluated tenders in each group shall, as a first evaluation step, be compared to determine the lowest tender, and the lowest evaluated tender in each group shall be further compared with each other. If, as a result of this comparison, a tender from Group A is the lowest, it shall be selected for the award. If a tender from Group B is the lowest, an amount equal to the percentage indicated in Item 3.1 of the respective tender price, including unconditional discounts and excluding provisional sums and the cost of day works, if any, shall be added to the evaluated price offered in each tender from Group B. All tenders shall then be compared using new prices with added prices to Group B and the lowest evaluated tender from Group A. If the tender from Group A is still the lowest tender, it shall be selected for award. If not, the lowest evaluated tender from Group B based on the first evaluation price shall be selected.

22. **Post qualification and Contract award (ITT 38.1), more specifically,**

a) In case the tender was subject to post-qualification, the contract shall be awarded to the lowest evaluated tenderer, subject to confirmation of prequalification data, if so required.

b) In case the tender was not subject to post-qualification, the tender that has been determined to be the lowest evaluated tenderer shall be considered for contract award, subject to meeting each of the following conditions.

The Tenderer shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow of Kenya Shillings.

i) Minimum average annual services turnover of [*insert amount*] Kenya Shillings equivalent calculated as total certified payments received for contracts in progress and /or completed within the last [*insert of years*].

ii) At least (*insert number*) of contract(s) of a similar nature executed within Kenya, the East African Community or abroad that have been satisfactorily and substantially completed as a prime contractor, joint venture member or sub-contractor, each of minimum value Kenya shillings equivalent.

Contractor's Representative and Key Personnel, which are specified as

The contractor's key equipment is listed on the table "Contractor's Equipment" below and more specifically listed as [specify requirements for each lot as applicable]

Other conditions depending on their seriousness.

a. History of non-performing contracts:

Tenderer and each member of JV, in case of a joint venture, shall demonstrate that non-performance of a contract did not occur because of the default of the Tenderer or the member of a JV in the last (*specify years*). The required information shall be furnished in the appropriate form.

b. Pending Litigation

Financial position and prospective long-term profitability of the Single Tenderer, and in the case the Tenderer is a JV, of each member of the JV shall remain sound according to criteria established with respect to Financial Capability under Paragraph (i) above if all pending litigation will be resolved against the Tenderer. The tenderer shall provide information on pending litigations in the appropriate form.

c. Litigation History

There shall be no consistent history of court/arbitral award decisions against the Tenderer in the last (*specify years*). All parties to the contract shall furnish the information in the appropriate form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the years specified. A consistent history of awards against the Tenderer or any member of a JV may result in the rejection of the tender.

SECTION IV – TENDERING FORMS

23. FORM OF TENDER

(Amended and issued pursuant to PPRA CIRCULAR No. 02/2022)

INSTRUCTIONS TO TENDERERS

All italicized text is to help the Tenderer in preparing this form.

The Tenderer must prepare this Form of Tender on stationery with its letterhead clearly showing the Tenderer's complete name and business address. Tenderers are reminded that this is a mandatory requirement.

Tenderer must complete and sign CERTIFICATE OF INDEPENDENT TENDER DETERMINATION and the SELF DECLARATION FORMS OF THE TENDERER as listed under (s) below.

Date of this Tender submission:*[insert date (as day, month and year) of Tender submission]* **Tender Name and Identification:** *[insert identification]* **Alternative No.:** *[insert identification No if this is a Tender for an alternative]*

24. **To:** *[Insert the complete name of Procuring Entity]* **No reservations: We have examined and have no reservations about the tendering document, including Addenda issued in accordance with ITT 9;**

- b) **Eligibility:** We meet the eligibility requirements and have no conflict of interest in accordance with ITT 4;
- c) **Tender-Securing Declaration:** We have not been suspended nor declared ineligible by the Procuring Entity based on the execution of a Tender-Securing Declaration or Proposal-Securing Declaration in Kenya in accordance with ITT 4.7;
- d) **Conformity:** We offer to provide the Maintenance services in conformity with the tendering document of the following: *[insert a brief description of the Maintenance services];*
- e) **Tender Price:** The total price of our Tender, excluding any discounts offered in item (f) below, is: *[Insert one of the options below as appropriate]*
- f) **Option 1,** In case of one lot: Total price is: *[insert the total price of the Tender in words and figures, indicating the various amounts and the respective currencies];* Or

Option 2, in case of multiple lots: (a) Total price of each lot [insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]; and (b) Total price of all lots (sum of all lots) [insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies];

- g) **Discounts:** The discounts offered and the methodology for their application are:
 - i) The discounts offered are: *[Specify in detail each discount offered.]* ii) The exact method of calculations to determine the net price after the application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts];*
- h) **Tender Validity Period:** Our Tender shall be valid for the period specified in TDS 19.1 (as amended if applicable) from the date fixed for the Tender submission deadline (specified in TDS 23.1 (as amended if applicable), and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- i) **Performance Security:** If our Tender is accepted, we commit to obtain a Performance Security in accordance with the tendering document;

- j) **One Tender Per Tenderer:** We are not submitting any other Tender (s) as an individual Tenderer, and we are not participating in any other Tender (s) as a Joint Venture member or as a subcontractor, and meet the requirements of ITT 4.3, other than alternative Tenders submitted in accordance with ITT 14; k) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under Ke'ya's official regulations or pursuant to a decision of the United Nations Security Council;
- l) **State-owned enterprise or institution:** *[select the appropriate option and delete the other] [We are not a state- owned enterprise or institution]/[We are a state-owned enterprise or institution but meet the requirements of ITT 4.6];*
- m) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the Tendering process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity].*

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

[Delete if not appropriate, or amend to suit] We confirm that we understand the provisions relating to the Standstill Period as described in this tendering document and the *Procurement Regulations*.

- n) **Binding Contract:** We understand that this Tender, together with your written acceptance thereof included in your Form of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- o) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Tender, the Most Advantageous Tender or any other Tender that you may receive; and
- p) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.
- q) **Collusive practices:** We hereby certify and confirm that the tender is genuine, non-collusive and made with the intention of accepting the contract if awarded. To this effect we have signed the "Certificate of Independent Tender Determination" attached below.
- r) **Code of Ethical Conduct:** We undertake to adhere by the Code of Ethical Conduct for Suppliers, Contractors and Service Providers, copy available from (*specify website*) during the procurement process and the execution of any resulting contract.
- s) **Beneficial Ownership Information:** We commit to provide to the procuring entity the Beneficial Ownership Information in conformity with the Beneficial Ownership Disclosure Form upon receipt of notification of intention to enter into a contract in the event we are the successful tenderer in this subject procurement proceeding.
- t) We, the Tenderer, have duly completed, signed and stamped the following Forms as part of our Tender:
- Tenderer's Eligibility; Confidential Business Questionnaire – to establish we are not in any conflict to interest.
 - Certificate of Independent Tender Determination - to declare that we completed the tender without colluding with other tenderers.

- c) Self-Declaration of the Tenderer- to declare that we will, if awarded a contract, not engage in any form of fraud and corruption.

Further, we confirm that we have read and understood the full content and scope of fraud and corruption as informed in “**Appendix 1- Fraud and Corruption**” attached to the Form of Tender.

Name of the Tenderer: *.....[insert complete name of person signing the Tender]

Name of the person duly authorized to sign the Tender on behalf of the Tenderer:
**[insert complete name of person duly authorized to sign the Tender]

Title of the person signing the Tender: [insert complete title of the person signing the Tender] **Signature of the person named above:**[insert signature of person whose name and capacity are shown above] **Date signed**.....[insert date of signing] **day of**.....[insert month], [insert year]

25.) **TENDER'S ELIGIBILITY- CONFIDENTIAL BUSINESS QUESTIONNAIRE**

Instruction to Tenderer

26. Tender is instructed to complete the particulars required in this Form, *one form for each entity if Tender is a JV*. Tenderer is further reminded that it is an offence to give false information on this Form) **Tenderer's details**

	ITEM	DESCRIPTION
1	Name of the Procuring Entity	
2	Reference Number of the Tender	
3	Date and Time of Tender Opening	
4	Name of the Tenderer	
5	Full Address and Contact Details of the Tenderer.	1. Country 2. City 3. Location 4. Building 5. Floor 6. Postal Address 7. Name and email of contact person.
6	Current Trade License Registration Number and Expiring date	
7	Name, country and full address (<i>postal and physical addresses, email, and telephone number</i>) of Registering Body/Agency	
8	Description of Nature of Business	
9	Maximum value of business which the Tenderer handles.	
10	State if Tenders Company is listed in stock exchange, give name and full address (<i>postal and physical addresses, email, and telephone number</i>) of state which stock exchange	

General and Specific Details

b) **Sole Proprietor**, provide the following details

Name in full _____ Age _____ Nationality _____

Country of Origin _____ Citizenship _____

c) **Partnership**, provide the following details

	Names of Partners	Nationality	Citizenship	% Shares owned
1				
2				
3				

d) **Registered Company**, provide the following details.

i) Private or public Company

State the nominal and issued capital of the Company: - Nominal Kenya Shillings (Equivalent) Issued Kenya Shilling

ii) s _____
(Equivalent)

iii) Give details of Directors as follows.

	Names of Director	Nationality	Citizenship	% Shares owned
1				
2				
3				

(e) **DISCLOSURE OF INTEREST-Interest of the Firm in the Procuring Entity.**

i) Are there any person/persons in.....(Name of Procuring Entity) who has/have an interest or relationship in this firm?
Yes/No.....

If yes, provide details as follows.

	Names of Person	Designation in the Procuring Entity	Interest or Relationship with Tenderer
1			
2			

27.) **Conflict of interest disclosure**

	Type of Conflict	Disclosure YES OR NO	If YES provide details of the relationship with Tenderer
1	Tenderer is directly or indirectly controlled by or is under common control with another tenderer.		
2	Tenderer receives or has received any direct or indirect subsidy from another tenderer.		
3	Tenderer has the same legal representative as another tenderer		

	Type of Conflict	Disclosure YES OR NO	If YES provide details of the relationship with Tenderer
4	Tender has a relationship with another tenderer, directly or through common third parties, that puts it in a position to influence the tender of another tenderer or influence the decisions of the Procuring Entity regarding this tendering process.		
5	Any of the Tenderer's affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the tender.		
6	Tenderer would be providing goods, works, Non consulting services or consulting services during implementation of the contract specified in this Tender Document.		
7	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity		
	Type of Conflict	Disclosure YES OR NO	If YES provide details of the relationship with Tenderer
	who are directly or indirectly involved in the preparation of the Tender document or specifications of the Contract, and/or the Tender evaluation process of such contract.		
8	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who would be involved in the implementation or supervision of such Contract.		

28.

) **Certification**

On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.

Full Name _____

Title or Designation _____

(Signature)

(Date)

29. **CERTIFICATE OF INDEPENDENT TENDER DETERMINATION**

I, the undersigned, in submitting the accompanying Letter of Tender to the _____ [*Name of Procuring Entity*] for: _____ [*Name and number of tender*] in response to the request for tenders made by: _____ [*Name of Tenderer*], do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of _____ [Name of Tenderer], that:

1. I have read and understood the contents of this Certificate;
2. I understand that the Tender will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am the authorized representative of the Tenderer with authority to sign this Certificate and to submit the Tender on behalf of the Tenderer;
4. For the purposes of this Certificate and the Tender, I understand that the word “competitor” shall include any individual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:
 - a) Has been requested to submit a Tender in response to this request for tenders;
 - b) could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;
5. The Tenderer discloses that **[check one of the following, as applicable]:**
 - a) The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;
 - b) The Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document(s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or arrangements;
6. In particular, without limiting the generality of paragraphs (5) (a) or (5) (b) above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - a) prices;
 - b) methods, factors or formulas used to calculate prices;
 - c) the intention or decision to submit, or not to submit, a tender; or
 - d) The submission of a tender which does not meet the specifications of the request for Tenders; except as specifically disclosed pursuant to paragraph (5) (b) above;
7. In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this request for tenders relates, except as specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph (5) (b) above;
8. the terms of the Tender have not been, and will not be, knowingly disclosed by the Tenderer, directly or indirectly, to any competitor prior to the date and time of the official tender opening or of

the awarding of the Contract, whichever comes first, unless otherwise required by law or as specifically disclosed pursuant to paragraph (5) (b) above

Name _____ Title _____ Date _____

[Name, title and signature of the authorized agent of Tenderer and Date]

(iii) SELF-DECLARATION FORMS FORM SD 1

SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015.

I,of Post Office Box.....being a resident of.....in the Republic of.....do hereby make a statement as follows: -

THAT I am the Company Secretary/Chief Executive/Managing Director/Principal Officer/Director of (*insert name of the Company*) who is a Bidder in respect of **Tender No.** for.....(*insert tender title/description*) for..... (*insert name of the Procuring entity*) and duly authorized and competent to make this statement.

2. THAT the aforesaid Bidder, its Directors and subcontractors have not been debarred from participating in procurement proceeding under Part IV of the Act

3. THAT what is deponed to herein above is true to the best of my knowledge, information and belief

.....
.....

(Title)

.....
.....

(Signature)

(Date)

Bidder Official Stamp

FORM SD2

SELF-DECLARATION THAT THE PERSON/TENDERER WILL NOT ENGAGE IN ANY CORRUPT OR FRAUDULENT PRACTICE.

I, of P. O. Box.....being
a resident of.....in the Republic
of.....do hereby make a statement as follows: -

1. THAT I am the Chief Executive/Managing Director/Principal Officer/Director of.....
..... (insert name of the Company) who is a Bidder in respect of Tender
No..... for (insert tender title/description) for
(insert name of the Procuring entity) and duly authorized and competent to make this statement.

2. THAT the aforesaid Bidder, its servants and/or agents /subcontractors will not engage in any corrupt
or fraudulent practice and has not been requested to pay any inducement to any member of the Board,
Management, Staff and/or employees and/or agents of (*insert name of the Procuring
entity*) which is the procuring entity

THAT the aforesaid Bidder, its servants and/or agents/subcontractors have not offered any
inducement to any member of the Board, Management, Staff and/or employees and/or agents
of..... (*name of the procuring entity*)

3.

THAT the aforesaid Bidder will not engage /has not engaged in any corrosive practice with other
bidders participating in the subject tende

4.

THAT what is deponed to herein above is true to the best of my knowledge, information and belief

5.

.....
(Title) (Signature) (Date)

Bid'er's Official Stamp

DECLARATION AND COMMITMENT TO THE CODE OF ETHICS

I..... (person) on behalf of (*Name of the Business/Company/Firm*)
.....declare that I have read and fully understood the contents of the Public
Procurement & Asset Disposal Act, 2015, Regulations and the Code of Ethics for persons
participating in Public Procurement and Asset Disposal and my responsibilities under the
Code.

I do hereby commit to abide by the provisions of the Code of Ethics for persons participating in
Public Procurement and Asset Disposal.

Name of Authorized
signatory.....

Sign.....

Position.....
.....

Office address.....

Telephone..... E-mail.....

Name of the
Firm/Company.....
...

Date.....
.....

(Company Seal/ Rubber Stamp where applicable)

Witness

Name.....

Sign.....

Date.....

30.) **APPENDIX 1-FRAUD AND CORRUPTION**

31. *(Appendix 1 shall not be modified. Purpose*

32. **The Government of Ke'ya's Anti-Corruption and Economic Crime laws and their sanction policies and procedures, Public Procurement and Asset Disposal Act (no. 33 of 2015) and its Regulation, and any other Kenya Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya. Requirements**

The Government of Kenya requires that all parties, including Procuring Entities, Tenderers (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents (whether declared or not); and any of their Personnel, involved and engaged in procurement under Ke'ya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts, and refrain from Fraud and Corruption and fully comply with Ke'ya's laws and Regulations as per paragraphs 1.1 above.

Ke'ya's public procurement and asset disposal act (*no. 33 of 2015*) under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices and *Conflicts* of Interest in procurement, including consequences for offences, committed. A few of the provisions noted below highlight Ke'ya's policy of no tolerance for such practices and behaviour:

- 1) A person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
- 2) A person referred to under subsection (1) who contravenes the provisions of that subsection commits an offence;
- 3) Without limiting the generality of the subsection (1) and (2), the person shall be: -
 - a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
 - b) if a contract has already been entered into with the person, the contract shall be voidable;
- 4) The voiding of a contract by the procuring entity under subsection (7) does not limit any legal remedy the procuring entity may have;
- 5) An employee or agent of the procuring entity or a member of the Board or committee of the procuring entity who has a conflict of interest with respect to a procurement: -
 - a) Shall not take part in the procurement proceedings;
 - b) Shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
 - c) shall not be a subcontractor for the tenderer to whom was awarded the contract or a member of the group of tenderers to whom the contract was awarded, but the subcontract or appointed shall meet all the requirements of this Act.
- 6) An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to the procuring entity;
- 7) If a person contravenes subsection (1) with respect to a conflict of interest described in subsection (5) (a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated, and all costs incurred by the public entity shall be made good by the awarding officer. Etc.

In compliance with Ke'ya's laws, *regulations* and policies mentioned above, the Procuring Entity:

- i) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:

ii)“corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of another party improperly

“fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation

iii) ;

iv) “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence the actions of another party improperly;

v) “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence the actions of a party improperly;

vi) “obstructive practice” is:

- Deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede the investigation by the Public Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by the Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
- acts intended to materially impede the exercise of the P’RA's or the appointed authority's inspection and audit rights provided for under paragraph 2.3 e. below.

(b) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows“

- c) "fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of the procuring entity or the tenderer or the contractor, and includes collusive practices amongst tenderers prior to or after tender submission designed to establish tender prices at artificial non-competitive levels and to deprive the procuring entity of the benefits of free and open competition.
- d) Rejects a proposal for award¹ of a contract if PPRA determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- e) Pursuant to the Ke'ya's above stated Acts and Regulations, may sanction or debar or recommend to appropriate authority(ies) for sanctioning and debarment of a firm or individual, as applicable under the Acts and Regulations;

¹ For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in A consultancy, and tendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

- f) Requires that a clause be included in Tender documents and Request for Proposal documents requiring (i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers, and their Sub-contractors, Subconsultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect² all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and
- g) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a “Self-Declaration Form” as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

[The Tenderer shall fill in this Form in accordance with the instructions indicated below. No alterations to its format shall be permitted and no substitutions shall be accepted.]

Date: [insert date (as day, month and year) of Tender submission].

ITT No.: [insert number of Tendering process]

Alternative _____ No.: _____
[insert identification No if this is a Tender for an alternative]

2. TENDERER INFORMATION FORM

1. Tenderer’s Name <i>[insert Tenderer’s legal name]</i>
2. In case of JV, legal name of each member: <i>[insert legal name of each member in JV]</i>
3. Tenderer’s actual or intended country of registration: <i>[insert actual or intended country of registration]</i>
4. Tenderer’s year of registration: <i>[insert Tenderer’s year of registration]</i>

² *Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by the Procuring Entity to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.*

33. Attached are copies of original documents of *[check the box(es) of the attached original documents]*
- “ Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITT 4.4.
 - “ In case of JV, Form of intent to form JV or JV agreement, in accordance with ITT 4.1.
 - “ A current tax clearance certificate or tax exemption certificate in case of Kenyan tenderers issued by the Kenya Revenue Authority in accordance with ITT 4.14.
 - “ In case of state-owned enterprise or institution, in accordance with ITT 4.6 documents establishing: Legal and financial autonomy
 - Operation under commercial law
 - Establishing that the Tenderer is not under the supervision of the agency of the Procuring Entity
8. Included are the organizational chart and a list of Board of Directors.

34. OTHER FORM. TENDE'ER'S JV MEMBERS INFORMATION FORM

[The Tenderer shall fill in this Form in accordance with the instructions indicated below. The following table shall be filled in for the Tenderer and for each member of a Joint Venture]].

Date..... *[insert date (as day, month and year) of Tender submission]*

ITT No.: *[insert number of Tendering process]*

Alternative No.: *[insert identification No if this is a Tender for an alternative]*

1. Tenderer's Name: <i>[insert Tenderer's legal name]</i>
2. Tenderer's JV Member's name: <i>[insert JV's Member legal name]</i>
3. Tenderer's JV Member's country of registration: <i>[insert JV's Member country of registration]</i>
4. Tenderer's JV Member's year of registration: <i>[insert JV's Member year of registration]</i>
<p>35. Attached are copies of original documents of <i>[check the box(es) of the attached original documents]</i></p> <ul style="list-style-type: none"> .. Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITT 4.4. .. In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and that they are not under the supervision of the Procuring Entity, in accordance with ITT 4.6. <p>8. Included are the organizational chart and a list of Board of Directors.</p>

4. FORM OF TENDER SECURITY- [Option 1–Demand Bank Guarantee]

Beneficiary: _____

Request for Tenders No:

Date: _____

TENDER GUARANTEE No.: _____

Guarantor: _____

1. We have been informed that _____ (here inafter call“d "the Applic”nt") has submitted or will submit to the Beneficiary its Tender (here inafter cal”ed" the Ten”er") for the execution of _____ under Request for Tenders No. _____ (“the ITT”).

Furthermore, we understand that, according to the Benefici’ry's conditions, Tenders must be supported by a Tender guarantee

2. .

At the request of the Applicant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ upon receipt by us of the Benefici’ry'

3. s

36. complying demand, supported by the Benefici’ry's statement, whether in the demand itself or a separate signed document accompanying or identifying the demand, stating that either the Applicant:) has withdrawn its Tender during the period of Tender validity set forth in the Applic’nt's Letter of Tender (“the Tender Validity Period”), or any extension thereto provided by the Applicant; or) having been notified of the acceptance of its Tender by the Beneficiary during the Tender Validity Period or any extension there to provided by the Applicant, (i) has failed to execute the contract agreement, or (ii) has failed to furnish the Performance.

4. This guarantee will expire: (a) if the Applicant is the successful Tenderer, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security and, or (b) if the Applicant is not the successful Tenderer, upon the earlier of (i) our receipt of a copy of the Benefici’ry's notification to the Applicant of the results of the Tendering process; or (ii) thirty days after the end of the Tender Validity Period.

Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date

5. .

[signature(s)]

Note: All italicized text is for use in preparing this form and shall be deleted from the final product.

5. FORM OF TENDER SECURITY [Option 2–Insurance Guarantee]

TENDER GUARANTEE No.: _

1. Whereas [Name of the tenderer] (hereinafter called “the tenderer”) has submitted its tender dated [Date of submission of tender] for the [Name and/or description of the tender] (hereinafter called “the Tender”) for the execution of_ under Request for Tenders No. (“the ITT”).

2. KNOW ALL PEOPLE by these presents that WE of [Name of Insurance Company] having our registered office at (hereinafter called “the Guarantor”), are bound unto [Name of Procuring Entity] (hereinafter called “the Procuring Entity”) in the sum of (Currency and guarantee amount) for which payment well and truly to be made to the said Procuring Entity, the Guarantor binds itself, its successors and assigns, jointly and severally, firmly by these presents.

Sealed with the Common Seal of the said Guarantor this ___day of _____ 20 ___.

3. NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if the Applicant

a) has withdrawn its Tender during the period of Tender validity set forth in the Princi'al's Letter of Tender (“the Tender Validity Period”), or any extension thereto provided by the Principal; o

having been notified of the acceptance of its Tender by the Procuring Entity during the Tender Validity Period or any extension thereto provided by the Principal; (i) failed to execute the Contract agreement; or (ii) has failed to furnish the Performance Security, in accordance with the Instructions to tenderers (“ITT”) of the Procuring Ent’ty's Tendering document

b)

then the guarantee undertakes to immediately pay to the Procuring Entity up to the above amount upon receipt of the Procuring Ent’ty's first written demand, without the Procuring Entity having to substantiate its demand, provided that in its demand the Procuring Entity shall state that the demand arises from the occurrence of any of the above events, specifying which event(s) has occurred.

4. This guarantee will expire: (a) if the Applicant is the successful Tenderer, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security and, or (b) if the Applicant is not the successful Tenderer, upon the earlier of (i) our receipt of a copy of the Benefici’ry's notification to the Applicant of the results of the Tendering process; or (ii)twenty-eight days after the end of the Tender Validity Period

Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date

[Date]

[Signature of the Guarantor]

[Witness]

[Seal]

Note: All italicized text is for use in preparing this form and shall be deleted from the final product.

6. FORM OF TEND-R - SECURING DECLARATION

[The Tenderer shall fill in this Form in accordance with the instructions indicated.]

Date:.....[date (as day, month and year)] ITT
 No.:.....[number of Tendering process] Alternative
 No.:.....[insert identification No if this is a Tender for an alternative]

To:.....[complete name of Procuring Entity] We, the undersigned, declare that: We understand that, according to your conditions, Tenders must be supported by a Tender-Securing Declaration.

We accept that we will automatically be suspended from being eligible for Tendering or submitting proposals in any contract with the Procuring Entity for the period of time of [number of months or years] starting on [date], if we are in breach of our obligation(s) under the Tender conditions, because we:

- a) Have withdrawn our Tender during the period of Tender validity specified in the Form of Tender; or
- b) Having been notified of the acceptance of our Tender by the Procuring Entity during the period of Tender validity, (i) fail to sign the Contract agreement; or (ii) fail or refuse to furnish the Performance Security, if required, in accordance with the ITT.

We understand this Tender Securing Declaration shall expire if we are not the successful Tenderer, upon the earlier of (i) our receipt of your notification to us of the name of the successful Tenderer; or (ii) twenty-eight days after the expiration of our Tender.

Name of the Tenderer* _____
 _____ Name of the person duly authorized to sign the Tender on behalf of the Tenderer** _____
 _____ Title of the person signing the Tender _____
 _____ Signature of the person named above _____
 _____ Date signed _____ day of _____.

*: In the case of the Tender submitted by joint venture specify the name of the Joint Venture as Tenderer
 **: Person signing the Tender shall have the power of attorney given by the Tenderer attached to the Tender

[Note: In case of a Joint Venture, the Tender-Securing Declaration must be in the name of all members to the Joint Venture that submits the Tender.]

37.QUALIFICATION FORM. FOREIGN TENDERERS 40% RULE

Pursuant to ITT 3.9, a foreign tenderer must complete this form to demonstrate that the tender fulfils this condition.

ITEM	Description of Work Item	Describe location of Source	COST in K. shillings	Comments, if any
A	Local Labor			
1				
2				
3				
4				
5				

B	Sub contracts from Local sources			
1				
2				
3				
4				
5				
C	Local materials			
1				
2				
3				
4				
5				
D	Use of Local Plant and Equipment			
1				
2				
3				
4				
5				
E	Add any other items			
1				
2				
3				
4				
5				
6				
	TOTAL COST LOCAL CONTENT		XXXXX	

38. . **FORM EQU: EQUIPMENT**

The Tenderer shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Tenderer.

Item of equipment		
Equipment information	Name of manufacturer	Model and power rating
	Capacity	Year of manufacture
Current status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

Omit the following information for equipment owned by the Tenderer.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

Representative and Key Personnel Schedule

Tenderers should provide the names and details of the suitably qualified Contractor's Representative and Key Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

Tender's/Contractor' Representative and Key Personnel.

1.	Title of position: Contractor's Representative	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
2.	Title of position: [_____]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
3.	Title of position: [_____]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
4.	Title of position: [_____]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
5.	Title of position: <i>[insert title]</i>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

40.

FORM P-R - 2:

Resume and Declaration - Contractor's Representative and Key Personnel.

Name of Tenderer _____

Position [#1]: [title of position from Form PER-1]		
Personnel information	Name:	Date of birth:
	Address:	E-mail:
	Professional qualifications:	
	Academic qualifications: Academic qualifications:	
	Language proficiency: [language and levels]	reading and writing skills and levels of speaking, reading and writing skills]
	Details	
	Address of Procuring Entity:	
	Telephone:	Contact (manager / personnel officer):
	Fax:	
	Job title:	Years with present Procuring Entity:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience
[main project details]	[role and responsibilities on the project]	[time in role]	[describe the experience relevant to this position]

Declaration

I, the undersigned..... [insert either "Contractor's Representative" or "Key Personnel" as applicable], certify that to the best of my knowledge and belief, the information contained in this Form PER-2 correctly describes myself, my qualifications and my experience. I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the Tender:

Commitment	Details
Commitment to duration of contract:	[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]

Time commitment:	<i>[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]</i>
------------------	--

I understand that any misrepresentation or omission in this Form may:

- a) be taken into consideration during Tender evaluation;
- b) result in my disqualification from participating in the Tender;
- c) result in my dismissal from the contract.

Name of Contractor's Representative or Key Personnel: _____

[insert name]

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Tenderer:

Signature: _____

Date: (day month year): _____

TENDERERS QUALIFICATION WITHOUT PREQUALIFICATION

41. **To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Tenderer shall provide the information requested in the corresponding Information Sheets included hereunder. FORM EL I -1.1**

Tenderer Information Form

Date: _____
ITT No. and title: _____

Tende'er's name
In case of Joint Venture (JV), name of each member:
Tende'er's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Tende'er's actual or intended year of incorporation:
Tende'er's legal address [in country of registration]:
Tende'er's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____

42. . **FORM E-I - 1.2**

Tende'er's JV Information Form

(to be completed for each member of Tende'er's JV)

Date: _____

ITT No. and title: _____

Tenderer's JV name:
JV member's name:
JV member's country of registration:
JV member's year of constitution:
JV member's legal address in country of constitution:
JV member's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____

43. **FORM CON -2**

Historical Contract Non-Performance, Pending Litigation and Litigation

History. Tende'er's Name: _____

Date: _____

JV Mem'er's Name_ ITT No. and title

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
* Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.1.			
* Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, requirement 2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, exchange rate and Kenya Shilling equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/number, and any other identification]</i> Name of Procuring Entity: <i>[insert full name]</i> Address of Procuring Entity: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
* No pending litigation in accordance with Section III, Evaluation and Qualification Criteria, SubFactor 2.3.			
* Pending litigation in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), Kenya Shilling Equivalent (exchange rate)
		Contract Identification: _____ Name of Procuring Entity: _____ Address of Procuring Entity: _____ Matter in dispute: _____ Party who initiated the dispute: _____ Status of dispute: _____	
		Contract Identification: Name of Procuring Entity: Address of Procuring Entity: Matter in dispute: Party who initiated the dispute: Status of dispute:	

Litigation History in accordance with Section III, Evaluation and Qualification Criteria	
* No Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Subfactor 2.4.	
* Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Subfactor 2.4 as indicated below.	

Year of	Outcome as	Contract Identification	Total Contract Amount
---------	------------	-------------------------	-----------------------

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), Kenya Shilling Equivalent (exchange rate)
award	percentage of Net Worth		(currency), Kenya Shilling Equivalent (exchange rate)

44. . FORM FIN –3.1:

Financial Situation and Performance

Tender's Name: _____
Date: _____
JV Member's Name _____
ITT No. and title: _____

6.4.1. Financial Data

Type of Financial information in _____ (currency)	Historic information for previous _____ years, _____ (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year 4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

*Refer to ITT 15 for the exchange rate

Sources of Finance

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (Kenya Shilling equivalent)
1		
2		
3		

Financial documents

The Tenderer and its parties shall provide copies of financial statements for _____years pursuant Section III, Evaluation and Qualifications Criteria, Sub-factor 3.1. The financial statements shall:

- a) reflect the financial situation of the Tenderer or in case of JV member, and not an affiliated entity (such as parent company or group member).
- b) Be independently audited or certified in accordance with local legislation.
- c) Be complete, including all notes to the financial statements.
- d) Correspond to accounting periods already completed and audited.

Attached are copies of financial statements³ for the years required above; and complying with the requirements

³ *If the most recent set of financial statements is for a period earlier than 12 months from the date of Tender, the reason for this should be justified.*

15. FORM FIN –3.2:

Average Annual Construction Turnover

Tende'ers

Name:

Date: _____

JV Mem'er's Name _____

ITT No. and title:

Annual turnover data (construction only)			
Year	Amount Currency	Exchange rate	Kenya equivalent Shilling
<i>[indicate year]</i>	<i>[insert amount and indicate currency]</i>		
Average Annual Construction Turnover *			

* See Section III, Evaluation and Qualification Criteria, Sub-Factor 3.2.

16. FORM FIN -3.3:

Financial Resources

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contractor contracts as specified in Section III, Evaluation and Qualification Criteria.

Financial Resources		
No.	Source of financing	Amount (Kenya Shilling equivalent)
1		
2		
3		

17. FORM FIN -3.4:

Current Contract Commitments / Works in Progress

Tenderers and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

No.	Name of Contract	Procuring Entity's Contact Address, Tel,	Value Outstanding Work [Current Kenya Shilling /month Equivalent]	Estimated Completion Date	Average Monthly Invoicing Over Last Six Months [Kenya Shilling /month]
1					
2					
3					
4					
5					

45. . FORM E-P - 4.1

General Relevant Work Experience

Tende' er's Name: _____

Date: _____

JV Mem' er's Name _____

ITT No. and title: _____

Page _____

Starting Year	Ending Year	Contract Identification	Role of Tenderer
		Contract name: _____ Brief Description of the Works performed by the Tenderer: _____ Amount of contract: _____ Name of Procuring Entity: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Tenderer: _____ Amount of contract: _____ Name of Procuring Entity: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Tenderer: _____ Amount of contract: _____ Name of Procuring Entity: _____ Address: _____	

19.FORM EXP - 4.2 (a)

Specific Work and Management Experience

Tenderer's Name: _____

Date: _____

JV Member's Name _____

ITT No. and title: _____

Similar Contract No.	Information			
Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor "	Member in JV "	Management Contractor "	Sub-contractor "
Total Contract Amount				Kenya Shilling
If member in a JV or sub-contractor, specify participation in total Contract amount				
Procuring Entity's Name:				
Address: Telephone/fax number E-mail:				
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:				
1. Amount				
2. Physical size of required works items				
3. Complexity				
4. Methods/Technology				
5. Construction rate for key activities				
6. Other Characteristics				

20.FORM EXP- 4.2 (b)

Work Experience in Key Activities

Tenderer's Name: _____

Date: _____

Tenderer's JV Member Name: _____

Sub-contractor's Name⁴ (as per ITT 34): _____

ITT No. and title: _____

All Sub-contractors for key activities must complete the information in this form as per ITT 34 and Section III, Evaluation and Qualification Criteria, Sub-Factor 4.2.

1. Key Activity No One:

		Information			
Contract Identification					
Award date					
Completion date					
Role in Contract		Prime Contractor ..	Member in JV ..	Management Contractor ..	Sub-contractor ..
Total Contract Amount				Kenya Shilling	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year		Total quantity in the contract (i)	Percentage participation (ii)		Actual Quantity Performed (i) x (ii)
Year 1					
Year 2					
Year 3					
Year 4					
Procuring Entity's Name:					
Address: Telephone/fax number E-mail:					

2. Activity No. Two

SCHEDULE FORMS

[The Tenderer shall fill in these Forms in accordance with the instructions indicated. The list of line items in column I of the Activity Schedules shall coincide with the List of Maintenance services specified in the Procuring Entity's Requirement]

⁴ *If applicable*

SCHEDULE OF REQUIREMENTS AND COSTS/SPECIAL CHECKLIST

SPECIFICATIONS FOR UPGRADES, MAINTENANCE AND SUPPORT OF SPECTRUM MANAGEMENT SYSTEM AND SPECTRUM MONITORING SYSTEM (SMMS)

1. Introduction

The Communication Authority of Kenya (CA) is the regulatory authority for the ICT industry in Kenya with responsibilities in telecommunications, e-commerce, broadcasting, postal/courier services and cyber security. CA is also responsible for managing the country's numbering and frequency spectrum resources, administering the Universal Service Fund (USF) as well as safeguarding the interests of consumers of ICT services.

CA intends to maintain its existing Spectrum Management & Monitoring System (SMMS) by engaging a suitable supplier to provide upgrades and regular maintenance. The successful supplier shall maintain the system bi-annually and provide licenses for a period of five (5) years. In addition, the successful supplier shall supply wideband portable monitoring receivers with extended warranty.

The existing SMMS consists of two components: spectrum management and spectrum monitoring sub-systems. The Spectrum Management system, ICS manager, is manufactured by ATDI Group of France, while the Spectrum Monitoring system is manufactured by Rohde & Schwarz GmbH of Germany.

1.1 Spectrum Monitoring Sub system

The Authority has an existing Radio Spectrum Monitoring System (Monitoring subsystem) manufactured by Rohde & Schwarz (R&S) providing a platform for the monitoring of radio frequencies, detection of sources of radio emissions and in aid of ensuring compliance to license conditions and resolution of interferences.

The Monitoring System comprises of Fixed, Mobile and Portable equipment as follows:

- i. Eight Fixed VHF/UHF stations and associated infrastructures located in Nairobi (3), Mombasa (2), Nakuru, Kisumu and Eldoret (20 MHz to 3 GHz);
- ii. Three Fixed HF stations and associated infrastructures located in Nairobi, Kitale and Garissa (9 kHz to 30 MHz);
- iii. Four Mobile Monitoring Stations in Nairobi, Mombasa, Kisumu and Eldoret (20 MHz to 6 GHz) and associated infrastructures;
- iv. Portable monitoring equipment (radio receivers and spectrum analyzers);
- v. Control centres in Nairobi and Mombasa;
- vi. Auxiliary support infrastructure comprising Antenna towers, Antennas, equipment shelter, cabling, switching devices and alarm detection, network and network devices; and
- vii. Spectrum Monitoring softwares.

The Fixed and Mobile monitoring equipment is based on the R&S UMS300 system and Argus 6.1 software, while the portable tools comprise the R&S PR100 monitoring receivers and the R&S FSH18 and R&S FSH20 Spectrum Analyzers.

The equipment shelters are equipped with sensors for monitoring of alarms from Access control, smoke and intrusion detection, temperature and humidity detection, and mains power failure detection. In case of the occurrence of any of the above alarms, the system should log and send notifications to the National Control Centre; email and short messages services (sms) to designated users.

The supplier shall supply wideband portable monitoring receivers with extended warranty whose specifications are provided.

1.2 Spectrum Management Sub system

The spectrum management sub-system comprises the following systems: ICS Web Portal, ICS Manager and ICS Telecom, providing a platform for frequency license application and processing. The bidder shall also

provide maintenance and support for the 4 servers that host ICSM bi-annually.

2. Scope of Works for the SMMS

The scope of maintenance requirements is as follows:-

1. Supply two wideband portable monitoring receivers;
2. Maintenance of the existing Spectrum Monitoring subsystem for five years;
3. Upgrade of the existing Spectrum Management subsystem.
4. Maintenance of the existing Spectrum Management subsystem for five years;
5. User training for:
 - a. At least 15 users on the spectrum management sub-system 10 days (5 days initial training and 5 days final training).
 - b. At least 15 users on the spectrum monitoring sub-system for 5 days.
6. The maintenance will entail preventive maintenance to be performed on a bi-annual basis; corrective maintenance as and when required, maintenance support and, firmware and software updates, upgrades and enhancements when available.

2.1. Supply of Wideband Portable Monitoring Receiver+-

The Wideband Portable Monitoring Receivers to be supplied should meet the requirements presented in Table 1 below.

Table 1: Technical Specifications for Wideband Portable Monitoring Receivers

#	FEATURE	MINIMUM SPECIFICATIONS
1.	Frequency Range	9 kHz to 7.5 GHz
2.	Real-Time Bandwidth	40 MHz and above
3.	Scanning Speed	47 GHz/s and above
4.	Scanning Modes	User Select Frequency Scan, Memory Scan, Panorama Scan
5.	Controls	Gain Control: Automatic Gain Control (AGC) and Main Gain Control (MGC)
		Frequency Control: Automatic Frequency Control (AFC)
		Squelch
6.	Analysis	Frequency domain analysis
		Time domain analysis
8.	Measurements	Level measurements (dBm)
		Field strength measurements (dB μ V/m)
		Center Frequency
		Bandwidth (x dB and β %)
		Interference Analysis
9.	Display	Colour Display
		Multiple markers on screen
		Display of IF spectrum and spectrogram (waterfall display)
		Polychrome Spectrum display of time behavior (frequency of occurrence) of pulsed signals using colour coding (for all realtime bandwidths)
		Operating language: English.
10.	Demodulation modes	AM, USB, FM, LSB, PULSE, CW
11.	Weight	Less than 4 kg

#	FEATURE	MINIMUM SPECIFICATIONS
12.	Battery Run Time	At least 3.5 hours.
13.	Probes/Antenna	Appropriate directional antennas/probes required to cover the entire band of coverage 9 kHz to 7.5 GHz
14.	Audio output	Inbuilt speakers and headphones output provision
15.	Interfaces	LAN Port and USB port
16.	Data Logging	Internal and external data logger for long-term recording
17.	Power Supply	Plug in AC power supply 240 ±10 V (50/60Hz) with appropriate adapters, in-built high-performance battery pack.
		External DC input by automotive cigarette DC adaptor
18.	Case	Soft carrying bag and Holster with suitable straps or mechanism to facilitate portability
		Appropriate Hard Carrier case for proper portability and equipment storage of both the monitoring receiver and antennas
19.	Map	Open street maps or any license free maps
20.	Accessories	Provide
21.	Warranty	1 Year
22.	Extended Warranty	4 Years

2.2. Spectrum Monitoring Sub-system

2.2.1. Preventative Maintenance Services

The Supplier shall carry out bi-annual preventive maintenance support services are to be provided to keep the system in proper condition to ensure efficient operation.

- i. The Preventive Maintenance Services shall be performed twice a year by one (1) specialist from the Supplier/manufacturer for the duration of the Maintenance. For the execution of the services, a duration of 1 working day (travelling time excluded) per visit and site is scheduled.
- ii. The exact date of execution will be agreed upon between the representatives of the Client and the Supplier four (4) weeks in advance.
- iii. The maintenance shall, inter alia, include preventative assessment on the conditions of all elements of the monitoring subsystem including the following:-
 - a) Fixed and Mobile Monitoring Stations and associated auxiliary equipment;
 - b) Fixed and Mobile Monitoring Receivers and switching devices;
 - c) Antenna Tower, Aircraft warning lights, and grounding (earth resistance to be below 2Ω);
 - d) Antennas mounted on the Tower (mast);
 - e) Cabling and connectors;
 - f) Software(s);
 - g) Shelters, power, racks, computers;
 - h) Portable monitoring tools;
 - i) Control centres;
 - j) Network devices and networking; and
 - k) Alarms;
- iv. The maintenance shall also include the performance of functional tests of all elements of the monitoring system.
- v. Upon completion of the preventative maintenance routine, the supplier shall prepare a report and

submit to the Client.

2.2.2. Corrective Maintenance Services

The Supplier shall provide Corrective Maintenance as and when required.

- i. For system components which cannot be repaired on-site with the spare parts and measuring equipment available, the supplier shall provide for factory repair during the maintenance period.
- ii. Where factory repair is required, the Supplier shall provide for shipment of equipment to/from the manufacturer for factory repairs
- iii. Upon receipt of the equipment for factory repair, an assessment shall be performed by the Supplier and on this basis, a cost estimate shall be submitted to the Client for approval indicating the expected repair time. Upon approval of cost estimates, the Supplier shall undertake the repair.
- iv. For factory repair, the average repair time will be as follows.
 - a. for repair of modules, 30 working days, excluding Transport time
 - b. for the repair of system components , 60 working days, excluding Transport time if long lead spares are not concerned.

2.2.3. Location of Maintenance Services

The maintenance and support services for the Fixed, Mobile and Portable Stations will be carried out at the Client's premises in different locations, as tabulated below

Table 1. Location of Maintenance Services

#	Type of Facility		Quantity	Location	Description of Location
1.	Fixed Monitoring Stations	V/UHF	1	Nairobi (CA HQ)	Approximately 10km from Nairobi CBD on Waiyaki way
2.			1	Nairobi (Kahawa)	Approximately 5km from Nairobi Githurai area in Nairobi.
3.			1	Nairobi (Railway)	In Nairobi South B area, approximately 5km from Nairobi CBD.
4.			1	Mombasa	Social Security House premises within Mombasa CBD
5.			1	Mombasa (Mazeras)	Off the Nairobi –Mombasa highway approximately 30km away from Mombasa city.
6.			1	Kisumu	Kiboswa area approximately 15km from Kisumu City, off Kisumu- Kakamega road
7.			1	Nakuru	Approximately 6km from Nakuru CBD, off the Nairobi-Nakuru highway
8.			1	Eldoret	Approximately 6km from Eldoret CBD, off the Eldoret-Kisumu road
9.	Mobile Monitoring Stations	HF	1	Nairobi (Kahawa)	Approximately 5km from Nairobi Githurai area in Nairobi.
10.			1	Kitale	Sabwani area approximately 15km from Kitale town off the Kitale- Endebess road
11.			1	Garissa	Modicar area approximately 15 km from Garissa town off the Garissa – Modicar road
12.	Mobile Monitoring Stations		1	Nairobi (CA HQ)	Approximately 10km from Nairobi CBD on Waiyaki way

#	Type of Facility	Quantity	Location	Description of Location
13.		1	Mombasa	Social Security House premises within Mombasa CBD
14.		1	Kisumu	Within Kisumu CBD
15.		1	Eldoret	Within Eldoret CBD
16.	Portable	PR100	Nairobi (CA HQ)	Approximately 10km from Nairobi CBD on Waiyaki way
17.	Monitoring	FSH18		
18.	Tools	FSH20		

2.3. Spectrum Management Sub-System

The supplier shall provide maintenance for the existing Spectrum Management Sub-System which shall require upgrade of various components to the current industry standards.

The successful bidder shall maintain redundancy of the spectrum management sub-system. The 4 servers of the system are virtualized to host the application and the databases for both the primary data centre and disaster recovery. The application and database are configured to have two (2) nodes; these nodes are in two (2) separate servers i.e., physical server 1 hosts application 1 and database 1; physical server 2 hosts application 2 and database 2, physical server three (3) hosts the disaster recovery application and database, physical server four (4) hosts the test/development environment.

The scope of the maintenance shall include the fail-over to enable fail-over mode in case of a failure in one node or a physical server. The configuration is done at the system/ node level as well as the physical server level. The application and database servers are configured to communicate and sync with each other to allow business continuity in case of a node or physical server failure. These application and database servers / nodes should run in high availability.

The Authority has a Disaster Recovery centre. The successful bidder shall maintain the disaster recovery server to ensure replication of the application and the database between the primary data centre to the Disaster Recovery centre.

a) ICS Manager (ICSM)

The supplier shall upgrade and maintain the ICSM to continue meeting the specifications provided in the table below.

Table 3: Specifications of the ICS Manager (ICSM)

	SPECIFICATIONS	DESCRIPTION
1.	Database	<p>The management sub system is based on a web-based client-server architecture with a stable version of enterprise Microsoft SQL Server or Oracle database system.</p> <p>The database is structured with relational data tables and database procedures for storage, access and administration of data for spectrum management.</p>

	SPECIFICATIONS	DESCRIPTION
2.	Spectrum License Application Processing	<p>The system supports the data entry of an application for licenses for radiocommunication services in accordance with the spectrum license application workflow process at CA.</p> <p>The system supports application for a new license, modification to an existing licence, or modification to a pending application. The applications are processed sequentially, to check that all the requirements pertaining to the application are provided and issues standard correspondences reports to the applicant The system supports progression of an application to a licence and allows issuance of a licence embedded with security features, and allows printing.</p> <p>The system supports submission of request for international coordination, including all the data required by ITU or by bilateral agreements with neighbouring administrations in the cases where it is required.</p>
3.	Licence processing	<p>The system supports preparation and issuance of licenses for the following Radiocommunication services, but not limited to:</p> <ul style="list-style-type: none"> i. Stations in Aeronautical Service; ii. Stations in Maritime Service; iii. Stations in the Land Mobile Service; iv. Stations in the Fixed Service (Terrestrial Fixed microwave stations (radio links)); v. Stations in the Mobile Service; vi. Stations in the Fixed-Wireless Service; vii. Stations in the Space Service; viii. Stations in Broadcasting Service; ix. Stations in the Amateur Service; x. Stations in Citizen Band.
4.		<p>The system performs the following licence management activities, but not limited to:</p> <ul style="list-style-type: none"> i. Assignment of frequencies; ii. Assignment of sets of pre-determined frequencies for stations in the aeronautical and maritime mobile services; iii. Issuance of licences; iv. Modification of parameters of existing licences; v. Generation renewal invoices for licences due for renewal; vi. Renewal of existing licences; vii. Adjustment of fees for existing licences; viii. Termination of licences; ix. Control and track the processing of licence applications to ensure that the correct applications are available at each stage of the workflow cycle, and that applications are automatically progressed to the next stage as each process is completed; x. Querying of database for technical and license information; xi. Extensive management reporting capabilities; xii. Provides a convenient manner for printing of licenses.

	SPECIFICATIONS	DESCRIPTION
5.	Frequency and Channelization Administration Plan	<p>The system supports the following:</p> <ol style="list-style-type: none"> i. Creation and maintenance of the Kenya National Table of Frequency Allocation (TOFA) plan and ITU Table of Frequency Allocation including the relevant parts of the ITU Radio Regulations; ii. Creation and maintenance of channelization plans, including utility for the automated generation of channelization plans with user entered parameters; iii. Management, storage and retrieval of TOFA footnotes; iv. Retrieval of frequency channel pairs and applicable footnotes with user specified parameters; v. Access to the TOFA, channelization plans, footnotes, and other system-maintained databases on user supplied parameters; vi. Provide the allocation table in a user-friendly colour coded graphical display; vii. Utility that a frequency assignment can be checked against the plan to ensure assignment for specified services.
6.	Spectrum License Fee processing	<p>The system provides for the management of spectrum related financial tasks, such as production of invoices, recording of payments and production of financial statements in statistical and or individual format.</p> <p>The system provides for recording of fee payments against any number of invoices, payment status associated with licensing functions and integrated with the licensing process.</p> <p>The system produces billing data conforming with specified formats that shall integrate with the accounting software. Billing amounts shall be automatically calculated by the system based on the current spectrum fee schedule.</p> <p>The system provides functions to query invoices and payment records and include a payment reminder function shall be provided to send reminders to applicants or licence holders for overdue invoices, reprint function.</p> <p>The system supports extensive management reporting.</p> <p>It also allows the maintenance and modification of</p> <ul style="list-style-type: none"> • spectrum fee schedule; • fee calculations for all transactions with charges and automatic interface to invoice generation. <p>It also includes the capability of entering new fee schedules into the system, while the existing schedule remains in force, and switching over to a user specified new schedule on a user selected date.</p>

	SPECIFICATIONS	DESCRIPTION
7.	Report Generation	<p>The system provides for extensive dynamic querying of the database and production of statistics and reports in graphic or text form.</p> <p>The system has a number of standard reports and standard letters and supports the production of customized reports as specified by the Client.</p> <p>The system allows analysis of technical status data and process history data. The reports include, but are not limited to;</p> <ul style="list-style-type: none"> i. List of assigned frequency(ies) and licensees ii. List of licenses in a particular area iii. List of licences assigned to a licensee iv. Technical information of the licenses, stations, antennas v. Status of specific license application, licence validity, fee payment status vi. List of applications pending issuance vii. List of licences approved, modified, cancelled within a particular time frame, area viii. Frequency allocation report ix. Licensed station report x. Engineering analysis results xi. Notices xii. Database records xiii. Licence general reports xiv. Frequency assignment report xv. Record summary xvi. Transaction activity reports xvii. Expiration and renewal notice xviii. Summary status reports xix. Status reports xx. Custom reports <p>The system is capable of exporting reports to other formats, such as: Microsoft Excel, pdf, html.</p>
8.	Complaint processing	<p>The system shall support the efficient processing of interference complaints in accordance with the Client's procedures and be able to generate a monitoring task for the technical verification of stations and review the monitoring results and production of complaint resolution reports</p>
9.	Reference tables processing	<p>The system supports the review, update and printing of all reference tables used by the system with the appropriate user rights to enable viewing or modification.</p>
10.	Transaction Processing	<p>The system allows creation of a record in the database, registering the date, time and identity of staff who performs each transaction, such as administrative approval of a licence, for instance.</p> <p>The system is capable of preventing a staff from repeating a transaction that has already been completed once. The transaction processing implemented by the system guarantees the integrity, reliability and recoverability of the database.</p>

	SPECIFICATIONS	DESCRIPTION
11.	Record keeping	<p>The system supports a database containing frequency assignment data and information concerning individual licence holders. The data is in conformity with the data requirements specified in the ITU-R Recommendation ITU-R SM.1413 to ensure compatibility of definitions and formats for future coordination and notification purposes.</p> <p>The system includes a high performance, Relational Database Management System (RDBMS) for distributed client server operation. It has a built-in audit trail function for database transactions, and it allows multilevel security access protection.</p> <p>The RDBMS software provides the following features:</p> <ol style="list-style-type: none"> i. Security: Defined roles for authorized persons to read, write or modify each database object. ii. Replication/scalability: The RDBMS engine supports replication in order to increase the availability of the database in remote locations in order to handle future growth. iii. Reliability/integrity: The RDBMS engine guarantees that every transaction that is executed successfully will not be lost despite a system failure. iv. Multi-user: The RDBMS engine allows multiple users to be connected to the database and handle concurrent access to the information. A large number of users can be connected to the system.
12.	Engineering analysis	The system includes an engineering analysis application to perform various analysis including electromagnetic compatibility (EMC), interference analysis, broadcasting analysis, and to process the results before frequency assignment
13.	Equipment approval/registry process	The system can integrate with equipment authorization, certification, type acceptance, or type approval processes of the Client. It provides for equipment registry functionality used to ensure that new applications entered into the system for approval are using approved or acceptable equipment
14.	Integration with Monitoring System	<p>The system integrates with and provides spectrum management data to the spectrum monitoring system and processes information from the monitoring system.</p> <p>The integration includes, but is not limited to;</p> <ol style="list-style-type: none"> i. verification of technical and operational characteristics of transmitters; ii. the detection and location of unauthorized transmitters; iii. the identification and resolution of interference problems; iv. the validation of propagation and sharing models; v. support for inspection function to vi. produce inventory report of all equipment (transmitters, receivers, antenna, filters, etc.) and frequency assignments expected to be found (on the basis of the licence granted) for each station inspected; vii. record inspection results of the station; viii. record future inspection requirements for the station; ix. produce reports of stations requiring inspection according to planned inspection date and geographic area.

	SPECIFICATIONS	DESCRIPTION
15.	Submission of notices to the BR	<p>The system is capable of automatically identifying applications requiring the submission of notices to the ITU BR in accordance with current relevant Radio Regulation procedures (e.g. Articles in RR Chapter III, Appendices 30, 30A and 30B, Resolutions 49 and 552) and creating appropriate coordination/notification data information suitable for the preparation of notices to the ITU BR</p> <p>The system is capable of printing on request the frequency assignments for internal verification and draw the notice to the attention of the spectrum manager if the request is inappropriate. It shall create appropriate entries in the system for tracking notification progress.</p>
16.	International frequency coordination	<p>The system is capable of identifying licence applications requiring international frequency coordination and automatically creates a coordination document to be used for coordination with other administrations.</p> <p>The system is capable of checking if the area of interference is crossing a border using the ITU-Digitized World Map (IDWM) and ITU BR IFIC.</p> <p>The system supports the identification of licence applications requiring border coordination. For this purpose, the field strength coverage in the neighbouring countries' transmitters is calculated, as well as interference. In this manner, the system supports interference calculations and forms for coordination.</p> <p>The system can automatically identify whether stations under evaluation are located in a coordination zone using a GIS-based map.</p> <p>It also provides a query function to enable online viewing of coordination data and status of selected coordination records using user specified parameters.</p>
17.	Graphical User interface and Operating System	<p>The graphical user interfaces is in English language. The user interface is graphical with extensive use of user-friendly toolbars, help features, customizable menus to aid a user perform all functionalities based on their roles. The Client's staff use standard computer workstations (PCs), with Microsoft windows platform in a networked environment to access and use the management subsystem.</p>
18.	System customization	<p>The system is customized to meet the needs of the Client such as, but not limited to;</p> <ol style="list-style-type: none"> i. geographic definitions – regions, province and cities; ii. license forms and system letter templates; iii. migration of data from existing database; iv. tariffs and fees' calculation. v. spectrum plan; vi. licensing processes and procedures workflows; vii. reports;

	SPECIFICATIONS	DESCRIPTION
19.	Geographic map display functions	<p>The system interfaces with Digital Map used by the engineering analysis tools for the storage, processing and display of geographic data and terrain/topography data in standard formats.</p> <p>The spectrum management system is capable of storing, processing and displaying the digital map data with no degradation in accuracy.</p> <p>The system enables the placing of transmitters on the map and viewing of transmitters within selected geographical area.</p>

b) ICS Telecom

The supplier shall upgrade and maintain the ICS Telecom to continue meeting the specifications provided in the table below.

Table 4: Specifications of the ICS Telecom

No	Technical Specifications
1.	Licenses & support for four (4) concurrent standalone users.
2.	Calculation of coverage area, field strength, field strength contour & network coverage.
3.	Advanced simulation - creating 3D coverage and traffic and interference analysis.
4.	GIS data conversion – Efficient data conversion to and from ArcGIS formats.
5.	Automated frequency assignment and optimisation.
6.	Cartographic library – for import or export to other formats.
7.	Antenna design system - for simulating 3D antenna patterns.
8.	Network modelling - to simulate dynamic scenarios.
9.	Propagation model tuning to modify analysis between predictions & actual measurements.
10.	Capability to display additional vectors, including infrastructure, Clutter, Land usage Model and buildings.
11.	Electromagnetic compatibility analysis & service co-existence management.
12.	Mobile Network design, planning and optimisation, including 2G-GSM, GSM-R, 3G UMTS, 4G-LTE, 5G and IoT.
13.	Planning for digital broadcasting services: DTT and DAB.
14.	Planning for Trunk Radio Network Planning for VHF-PMR and TETRA.
15.	Import of ITU frequency plans, allocations, channel allotments & frequency assignments.
16.	Population/subscriber and network traffic analysis.
17.	White space spectrum availability calculations.
18.	Planning for dynamic spectrum allocations/frequency sharing management.
19.	Capability for technical analysis for international coordination of terrestrial (mobile, broadcasting) and satellite plans.
20.	Include propagation models ITU-R, 3GPP, and Cost.
21.	Full universal deterministic propagation model [30 MHz – 300 GHz]
22.	Provision of high-resolution maps of at least 30m & 90m (countrywide) or better.
23.	Provision of maps for neighboring countries/areas close to the border (x-km from the border line) to facilitate cross-border coordination.
24.	Terrain classification data 30m & 90 m resolution (countrywide) or better for Digital Terrain Model (DTM) and Digital Elevation Model (DEM).
25.	Interference analysis including intermodulation: The solution shall enable EMC electromagnetic

	<p>compatibility analysis for radio communication services, including the following interference criteria:</p> <ol style="list-style-type: none"> C/I (Carrier Over Interference) C/N+I (Carrier Over Interference + Noise) S/N (Signal over Noise) DL / UL SNIR (Signal over Noise and interference) IRF (Interference Rejection factor) TD (Threshold degradation) Protection Margin TIL (Threshold Interference Level ITU-R ISR.847) PFD (Power Flux Density) I/N (Interference over Noise) UFS (Usable Field Strength) NFS (Nuisance Field Strength) $\Delta T/T$ (p' gt' gr) / KLT - ITU Radio Regulations Appendix 8) $E_c/I_0, E_b/N_0, E_c/N_t$
26.	Feature to compute the shortest path between two given stations in terms of line of sight and free ellipsoid, using existing stations and/or automatically added new stations.
27.	Ability to model multiple technologies (operating in HF to SHF bands) concurrently in a single project.
28.	Provide a database of cartography.
29.	Raster and vector layers, Bing, Google, Open Street Map, World topography.
30.	Multi-map/multi-resolution integrated GIS.
31.	Seamless Integration with Spectrum Management system
32.	Seamless Integration with GIS Software
33.	Support direct import and export of shapefiles.

c) ICS Web Portal

The supplier shall upgrade and maintain the ICS Web portal to meet the specifications provided in the table below.

Table 5: Specifications for ICS Web Portal

No.	SPECIFICATIONS	DESCRIPTION
1.	General Features	The Web portal should enable online license submission and synchronise with the ICS Manager database to manage functions such as end-to-end workflows and processes for license applications and processing.
2.		The system architecture should feature a three-tiered, client-server layout, with each tier being logically separate. The application should interface with the users and should have no direct links to the DB for security and scalability.
3.		The application server is the middle or link layer, which features the business logic, license processing and technical capabilities. This layer should deploy multiple instances of the solution to provide horizontal scaling for improved performance.
4.		The database server (or data layer) should store data with connections provided at the application server level. The supplier should deploy a fault-tolerant, high-performance configuration that enables the system to operate without fault.

No.	SPECIFICATIONS	DESCRIPTION
5.		The portal should feature a customisable user interface, to allow modification to suit the Authority's needs
6.	Spectrum License Application Processing	<p>The portal shall support the data entry of an application for licences for radiocommunication services in accordance with the spectrum licence application workflow process at CA.</p> <p>This includes application for new licence, modification to an existing licence, or modification to a pending application. The applications shall be processed sequentially, to check that all the requirements pertaining to the application are provided and issue standard reports to the applicant, if any of the checks fail, the system must indicate the nature of the problem and/ or what is missing.</p> <p>Once all necessary requirements are met, the system should allow the progression of an application to a license and allow the issuance of the license embedded with security features and allow printing.</p>
7.	Licence processing	<p>The upgraded web portal should have the capability to support spectrum administration and license processing, including the following features.</p> <p>General Features:</p> <ul style="list-style-type: none"> • Device Models. • Type Approvals. • Equipment Configurations & Antennas. <p>Fixed Services</p> <ul style="list-style-type: none"> • Fixed Microwave Links. <p>Satellite Services</p> <ul style="list-style-type: none"> • VSAT. • Fixed Satellite Earth Stations. <p>Terrestrial:</p> <ul style="list-style-type: none"> • Mobile, Land Mobile, BFWA /ALARM /PRN / Trunk Stations. • Aircraft Stations. • Ship/Maritime Stations. • Amateur Stations. <p>Broadcast Services:</p> <ul style="list-style-type: none"> • DVB-T Stations. • FM Sound Broadcasting Stations. • T-DAB stations.

No.	SPECIFICATIONS	DESCRIPTION
8.		<p>The portal shall have capability to perform the following licence management activities, but not limited to:</p> <ul style="list-style-type: none"> i. Assignment of frequencies; ii. Assignment of sets of pre-determined frequencies for stations in the aeronautical and maritime mobile services; iii. Issuance of licences; iv. Modification of parameters of existing licences; v. Renewal an existing licence; vi. Termination of licences; vii. Control and track the processing of licence applications to ensure that the correct applications are available at each stage of the workflow cycle, and that applications are automatically progressed to the next stage as each process is completed; viii. Querying of database for technical and license information; ix. Should have capability of inserting digital signatures on generated documents. The ICS web portal must be supplied with security features to enable processing of a secure license and all other documents
9.	Interference reporting	The portal shall support the submission of frequency interference complaints and viewing of status of resolution.
10.	Submission of returns	The portal shall support submission of network returns
11.	International Frequency Coordination	The portal shall be support production of requests for international coordination, including all the data required by ITU or by bilateral agreements with neighbouring administrations in the cases where it is required.
12.	Report Generation	<p>The portal shall have the capability to query the ICS Manager, display dashboards to internal CA users and extensive management reporting capabilities.</p> <p>The portal shall have a number of standard reports and standard letters and shall also support the production of customized reports as specified by the Client. The system shall allow analysis of technical status data and process history data.</p> <p>The system shall be capable of exporting reports to other formats, such as: Microsoft Excel, pdf, html.</p>
13.	User Alerts	The portal shall support notification alerts to users
14.	Multiple User Access and Roles	<p>The portal shall allow for authenticated log on by authorized persons based on their roles which shall be built into the design. Access rights shall be configurable by the system administrator.</p> <p>Based on their roles, the portal shall be capable of restricting update access to only those staff who have the proper security access role.</p>
15.	Equipment approval/ registry process	The portal shall integrate with equipment authorization, certification, type acceptance, or type approval processes. It shall provide for equipment registry functionality that shall be used to ensure that new applications entered into the system for approval are using approved or acceptable equipment
16.	Graphical User interface	The graphical user interfaces shall be in English language. The user interface shall be graphical with extensive use of user-friendly toolbars, help features, customizable menus to aid a user perform all functionalities based on their roles.
17.	Portal customization	The portal should be customized to meet the needs of the Authority

No.	SPECIFICATIONS	DESCRIPTION
18.	Geographic map display functions	<p>The portal shall interface with existing Digital Maps and display geographic data and terrain/topography data in standard formats.</p> <p>The spectrum management system shall be capable of storing, processing and displaying the digital map data with no degradation in accuracy. The system shall enable the placing of transmitters on the map and viewing of transmitters within selected geographical area.</p>
19.	Online help facilities	The portal must allow for online support and Frequently Asked Questions (FAQs) to assist users.
20.	Integration	Integration with the following existing systems (ICS Manager, Licensing Management System, Email system, Authentication system, engineering analysis tools) and future systems such as Customer Relationship Module, Document Management System, Business Intelligence & Analytics.
21.	Documentation	A complete user's manuals (hard, soft and online) shall be supplied in English. The manual shall include a description of all operating features of the ICS web portal in sufficient detail.

3. Maintenance Support

The Supplier shall maintain manned telephone support services, monitored email support services, and a manned online portal to enable fault reporting and possible fault diagnosis.

i. Manned Telephone Support

The services shall be available from Monday to Friday from 08:00 to 17:00 (Kenyan time).

ii. Monitored Email Support

The services shall be available from Monday to Friday from 08:00 to 17:00 (Kenyan time).

iii. Monitored Online Portal

The services shall be available from Monday to Friday from 08:00 to 17:00 (Kenyan time).

4. Service Levels

The Supplier must submit a detailed Service Level Agreement (SLA) incorporating the following: -

- a. Scope of the service (Preventive Maintenance, corrective maintenance, upgrades and updates, manned support);
- b. Factory repair during the maintenance period;
- c. Reporting Requirements;
- d. Contractors' obligation;
- e. Clients' obligations;
- f. Payments terms;
- g. Warranty and Liabilities of the suppliers;
- h. Proposed training of client's staff and training schedule covering the training period;
- i. Proposed test and quality assurance sub-plan; and
- j. Proposed warranty defect Repair and technical support services sub-plan

1. The Specifications and Priced Activity Schedules

Date: _____,
ITT No: _____,
Alternative No: _____

1 Service Line/ Package	2 Description of Services	3 Units	4 Delivery Date	5 Quantity and physical unit	6 Unit price	7 Total Price per Service (Col. 5*6)
<i>[insert number of the Service]</i>	<i>[insert name and full description of the services required]</i>	<i>[Insert number of such services required].</i>	<i>[insert delivery date at place of final destination per Service]</i>	<i>[insert number of units]</i>	<i>[insert unit price per unit]</i>	<i>[insert total price per unit]</i>
E.g. Service Line No. 1	Servicing of Motor vehicles for the NBC Hospital. For each vehicle, the service will include changing engine, diff and Gearbox oils, greasing all moving parts, changing oil filters, fuel filters, cleaning the engines, checking and making good wheel alignments, balancing wheels, changing plugs or servicing injectors as the case may be -----etc.	Each vehicle will need service every three months on the average.	The service will commence March 01, 2019 to June 30, 2021, the last date of service.	The service will involve: (i) 10 petrol engine Saloon cars, (ii) 5 diesel engine Pickups, (iii) 4 minibus size diesel engine ambulances, (iv) 2 Number- ton diesel engine lorries; and (v) one 60 horsepower tractor. There will be 6 (six) services each involving all vehicles described above.		
No 2						
No 3						
No 4						
Service Package No 1						

1	2	3	4	5	6	7
Service Line/ Package	Description of Services	Units	Delivery Date	Quantity and physical unit	Unit price	Total Price per Service (Col. 5*6)
Service Package No 2						
Service Package No 3						
Service Package No 4						
Total Tender Price						

Name of Tenderer *[insert complete name of Tenderer]* Signature of Tenderer *[signature of person signing the Tender]* Date *[insert date]*

2. Method Statement

[Procuring Entity shall provide main features of the expected method of carrying out the contract, including indicating the material, personnel and equipment inputs].

3. Others – Time Schedule

(to be used by Tenderer when alternative Time for Completion is invited in ITT 14.2)

4. NOTIFICATION OF INTENTION TO AWARD

[This Notification of Intention to Award shall be sent to each Tenderer that submitted a Tender.] [Send this Notification to the Tenderer's Authorized Representative named in the Tenderer Information Form]

1) For the attention of Tenderer's Authorized Representative Name:*[insert Authorized Representative's name]*
 Address:*[insert Authorized Representative's Address]*
 Telephone numbers:*[insert Authorized Representative's telephone/fax numbers]*
 Email Address:*[insert Authorized Representative's email address]*

[IMPORTANT: insert the date that this Notification is transmitted to Tenderers. The Notification must be sent to all Tenderers simultaneously. This means on the same date and as close to the same time as possible.]

DATE OF TRANSMISSION: This Notification is sent by: *[email/fax]* on *[date]* (local time)

Procuring Entity:*[insert the name of the Procuring Entity]*

Contract title:*[insert the name of the contract]*

ITT No:*[insert ITT reference number from Procurement Plan].*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may: a)

Request a debriefing in relation to the evaluation of your Tender, and/or

b) Submit a Procurement-related Complaint in relation to the decision to award the contract.

(i) The successful Tenderer

Name:	<i>[insert name of successful Tenderer]</i>
Address:	<i>[insert address of the successful Tenderer]</i>
Contract price:	<i>[insert contract price of the successful Tender]</i>

(ii) Other Tenderers [INSTRUCTIONS: insert names of all Tenderers that submitted a Tender. If the Tender's price was evaluated include the evaluated price as well as the Tender price as read out.]

Name of Tenderer	Tender price	Evaluated Tender price (if applicable)
<i>[insert name]</i>	<i>[insert Tender price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert Tender price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert Tender price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert Tender price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert Tender price]</i>	<i>[insert evaluated price]</i>

2) How to request a debriefing.

DEADLINE: The deadline to request a debriefing expires at midnight on *[insert date]* (local time). You may request a debriefing in relation to the results of the evaluation of your Tender. If you decide to request

a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Tenderer, contact details; and address the request for debriefing as follows:

Attention:[insert full name of person, if applicable]

Title/position:[insert title/position]

Agency:[insert name of Procuring Entity]

Email address:[insert email address]

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

3) How to make a complaint?

Period: Procurement-related Complaint challenging the decision to award shall be submitted by [insert date and time].

Provide the contract name, reference number, name of the Tenderer, contact details; and address the Procurement-related Complaint as follows:

Attention: [insert full name of person, if applicable]

Title/position:[insert title/position]

Agency: [insert name of Procuring Entity]

Email address: [insert emailaddress]

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

In summary, there are four essential requirements:

1. You must be an 'interested party'. In this case, that means a Tenderer who submitted a Tender in this tendering process, and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the period stated above.
4. You must include, in your complaint, all of the information required to support the complaint.
5. The application must be accompanied by the fees set out in the Procurement Regulations, which shall not be refundable (information available from the Public Procurement Authority at complaints@ppra.go.ke or info@ppra.go.ke)

4) Standstill Period

DEADLINE: The

Standstill Period is

due to end at midnight on [insert date] (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended as stated in Section 4 above. If you have any questions regarding this Notification please don't hesitate to contact us. On behalf of the Procuring Entity:

Signature: _____

Name: _____

Title/position: _____

Telephone: _____

Email: _____

5. REQUEST FOR REVIEW

FORM FOR REVIEW (r.203(1))

PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD

**APPLICATION NO..... OF.....20.....
BETWEEN**

..... **APPLICANT**

AND

.....**RESPONDENT (Procuring Entity)**

Request for review of the decision of the..... (Name of the Procuring Entity ofdated the...day of20.....in the matter of Tender No.....of20..... for(Tender description).

REQUEST FOR REVIEW I/We....., the above named Applicant(s), of address: Physical address..... P. O. Box No..... Tel. No.....Email, hereby request the Public Procurement Administrative Review Board to review the whole/part of the above mentioned decision on the following grounds, namely:

- 1.
- 2.

By this memorandum, the Applicant requests the Board for an order/orders that:

- 1.
- 2.

SIGNED (Applicant) Dated on..... day of/...20.....

FOR OFFICIAL USE ONLY Lodged with the Secretary Public Procurement Administrative Review Board on.....day of20.....

SIGNED

Board Secretary

6. LETTER OF AWARD

[Form head paper of the Procuring Entity] [date] To:[name and address of the Service Provider]

This is to notify you that your Tender dated *[date]* for the execution of the *[name of the Contract and identification number, as given in the Special Conditions of Contract]* for the Contract Price of the equivalent of *[amount in numbers and words] [name of currency]*, as corrected and modified in accordance with the Instructions to Tenderers are hereby accepted by us (Procuring Entity).

You are requested to furnish the Performance Security within 28 days in accordance with the Conditions of Contract, using, for that purpose, one of the Performance Security Forms included in Section X, Contract Forms, of the tender document.

Please return the attached Contract dully signed Authorized Signature:

Name and Title of Signatory:

Name of Agency:

Attachment: Contract

7. FORM OF CONTRACT

LUMP-SUM REMUNERATION

This CONTRACT (herein after called the "Contract") is made the [day] day of the month of [month], [year], between, on the one hand, [name of Procuring Entity] (herein after called the "Procuring Entity") and, on the other hand, [name of Service Provider] (herein after called the "Service Provider").

[*Note: In the text below text in brackets is optional; all notes should be deleted in final text. If the Service Provider consist of more than one entity, the above should be partially amended to read as follows: "... (herein after called the "Procuring Entity") and, on the other hand, a joint venture consisting of the following entities, each of which will be jointly and severally liable to the Procuring Entity for all the Service Provider's obligations under this Contract, namely, [name of Service Provider] and [name of Service Provider] (herein after called the "Service Provider").*]

WHEREAS

- a) the Procuring Entity has requested the Service Provider to provide certain Services as defined in the General Conditions of Contract attached to this Contract (herein after called the "Services");
- b) the Service Provider, having represented to the Procuring Entity that they have the required professional skills, and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract at a contract price of.....;

NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents shall be deemed to form and be read and construed as part of this Agreement, and the priority of the documents shall be as follows:
 - a) The Form of Acceptance;
 - b) The Service Provider's Tender
 - c) The Special Conditions of Contract;
 - d) The General Conditions of Contract;
 - e) The Specifications and the Priced Activity Schedule; and
 - f) The following Appendices: [*Note: If any of these Appendices are not used, the words "Not Used" should be inserted below next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.*]

Appendix A: Description of the Services

Appendix B: Schedule of Payments

Appendix C: Subcontractors Appendix D:

Breakdown of Contract Price

2. The mutual rights and obligations of the Procuring Entity and the Service Provider shall be as set forth in the Contract, in particular:
 - a) The Service Provider shall carry out the Services in accordance with the provisions of the Contract; and
 - b) The Procuring Entity shall make payments to the Service Provider in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in the irrespective names as of the day and year first above written.

For and on behalf of [name of Procuring

Entity] [Authorized Representative]

For and on behalf of *[name of Service*

Provider] [Authorized Representative]

[Note: If the Service Provider consists of more than one entity, all these entities should appear as signatories, e.g., in the following manner:]

For and on behalf of each of the Members of the Service Provider

[name of member]

[Authorized Representative]

[name of member]

[Authorized Representative]

SECTION VII - ACTIVITY SCHEDULE

1. Objectives

The objectives of the Activity Schedule are:-

- (a) to provide sufficient information on the quantities of Services to be performed to enable Tenders to be prepared efficiently and accurately; and
- (b) when a Contract has been entered into, to provide a priced Activity Schedule for use in the periodic valuation of Services executed.

In order to attain these objectives, Services should be itemized in the Activity Schedule insufficient detail to distinguish between the different classes of Services, or between Services of the same nature carried out in different locations or in other circumstances which may give rise to different considerations of cost. Consistent with these requirements, the layout and content of the Activity Schedule should be as simple and brief as possible.

2. Day work Schedule

A Day work Schedule should be included only if the probability of unforeseen work, outside the items included in the Activity Schedule, is high. To facilitate checking by the Procuring Entity of the realism of rates quoted by the Tenderers, the Day work Schedule should normally comprise the following:

- a) A list of the various classes of Services, labor, materials, and plant for which basic day work rates or prices are to be inserted by the Tenderer, together with a statement of the conditions under which the Service Provider will be paid for services delivered on a day work basis.
- b) Nominal quantities for each item of Day work, to be priced by each Tenderer at Day work rates as Tender. The rate to be entered by the Tenderer against each basic Day work item should include the Service Provider's profit, overheads, supervision, and other charges.

3. Provisional Sums

The estimated cost of specialized services to be carried out, or of special goods to be supplied, by other Service Providers should be indicated in the relevant part of the Activity Schedule as a particular provisional sum with an appropriate brief description. A separate procurement procedure is normally carried out by the Procuring Entity to select such specialized Service Providers. To provide an element of competition among the Tenderers in respect of any facilities, amenities, attendance, etc., to be provided by the successful Tenderer as prime Service Provider for the use and convenience of the specialist contractors, each related provisional sum should be followed by an item in the Activity Schedule inviting the Tenderer to quote a sum for such amenities, facilities, attendance, etc.

These Notes for Preparing an Activity Schedule are intended only as information for the Procuring Entity or the person drafting the tendering document. They should not be included in the final documents.

PERFORMANCE SPECIFICATIONS AND DRAWINGS

(Describe Outputs and Performances, rather than Inputs, wherever possible)

Notes on Specifications

A set of precise and clear specifications is a prerequisite for Tenderers to respond realistically and competitively to the requirements of the Procuring Entity without qualifying or conditioning their Tenders. In the context of international competitive Tendering, the specifications must be drafted to permit the widest possible competition and, at the same time, present a clear statement of the required standards of workmanship, materials, and performance of the goods and services to be procured. Only if this is done will the objectives of economy, efficiency, and fairness in procurement be realized, responsiveness of Tenders be ensured, and the subsequent task of Tender evaluation facilitated. The specifications should require that all goods and materials to be incorporated in the Services be new, unused, of the most recent or current models, and incorporate all recent improvements in design and materials unless provided otherwise in the Contract.

Samples of specifications from previous similar projects are useful in this respect. The use of metric units is encouraged. Most specifications are normally written specially by the Procuring Entity to suit the Contract in hand. There is no standard set of Specifications for universal application in all sectors, but there are established principles and practices, which are reflected in this document

There are considerable advantages in standardizing General Specifications for repetitive Services in recognized public sectors, such as education, health, sanitation, social and urban housing, roads, ports, railways, irrigation, and water supply, in the same country or region where similar conditions prevail. The General Specifications should cover all classes of workmanship, materials, and equipment commonly involved in the provision of Services, although not necessarily to be used in a particular Services Contract. Deletions or addenda should then adapt the General Specifications to the particular Services.

Care must be taken in drafting specifications to ensure that they are not restrictive. In the specification of standards for goods, materials, Services, and workmanship, recognized international standards should be used as much as possible. Where other particular standards are used, whether national standards of Kenya or other standards, the specifications should state that goods, materials, Services and workmanship that meet other authoritative standards, and which ensure substantially equal or higher quality than the standards mentioned will also be acceptable.

If technical alternatives for parts of the Services are permitted in the tendering document, these parts shall be described in this Section.

These Notes for Preparing Specifications are intended only as information for the Procuring Entity or the person drafting the tendering document.

SECTION VIII - GENERAL CONDITIONS OF CONTRACT

1. General Provisions

1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- a) The Adjudicator is the person appointed jointly by the Procuring Entity and the Service Provider to resolve disputes in the first instance, as provided for in Sub-Clause 8.2 hereunder.
- b) “Activity Schedule” is the priced and completed list of items of Services to be performed by the Service Provider forming part of his Tender;
- d) “Completion Date” means the date of completion of the Services by the Service Provider as certified by the Procuring Entity
- e) “Contract” means the Contract signed by the Parties, to which these General Conditions of Contract (GCC) are attached, together with all the documents listed in Clause 1 of such signed Contract;
- f) “Contract Price” means the price to be paid for the performance of the Services, in accordance with Clause 6;
- g) “Day works” means varied work inputs subject to payment on a time basis for the Service Provider's employees and equipment, in addition to payments for associated materials and administration.
- h) “Procuring Entity” means the Procuring Entity or party who employs the Service Provider
- i) “Foreign Currency” means any currency other than the currency of Kenya;
- j) “GCC” means these General Conditions of Contract;
- k) “Government” means the Government of Kenya;
- l) “Local Currency” means Kenya shilling;
- m) “Member,” in case the Service Provider consist of a joint venture of more than one entity, means any of these entities; “Members” means all these entities, and “Member in Charge” means the entity specified in the SC to act on their behalf in exercising all the Service Provider' rights and obligations towards the Procuring Entity under this Contract;
- n) “Party” means the Procuring Entity or the Service Provider, as the case may be, and “Parties” means both of them;
- o) “Personnel” means persons hired by the Service Provider or by any Subcontractor as employees and assigned to the performance of the Services or any part thereof;
- p) “Service Provider” is a person or corporate body whose Tender to provide the Services has been accepted by the Procuring Entity;
- q) “Service Provider's Tender” means the completed Tendering Document submitted by the Service Provider to the Procuring Entity
- r) “SCC” means the Special Conditions of Contract by which the GCC may be amended or supplemented;
- s) “Specifications” means the specifications of the service included in the Tendering Document submitted by the Service Provider to the Procuring Entity
- t) “Services” means the work to be performed by the Service Provider pursuant to this Contract, as described in Appendix A; and in the Specifications and Schedule of Activities included in the Service Provider's Tender.
- u) “Subcontractor” means any entity to which the Service Provider subcontracts any part of the Services in accordance with the provisions of Sub-Clauses 3.5 and 4;
- v) “Public Procurement Regulatory Authority (PPRA)” shall mean the Government Agency responsible for oversight of public procurement.

- w) “Project Manager” shall the person appointed by the Procuring Entity to act as the Project Manager for the purposes of the Contract and named in the Particular Conditions of Contract, or other person appointed from time to time by the Procuring Entity and notified to the Contractor.
- x) “Notice of Dissatisfaction” means the notice given by either Party to the other indicating its dissatisfaction and intention to commence arbitration.

1.2 Applicable Law

The Contract shall be interpreted in accordance with the laws of Kenya.

1.3 Language

This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

1.4 Notices

Any notice, request, or consent made pursuant to this Contract shall be in writing and shall be deemed to have been made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, hand delivery, or email to such Party at the address **specified in the SCC**.

1.5 Location

The Services shall be performed at such locations as are specified in Appendix A, in the specifications and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as the Procuring Entity may approve.

1.6 Authorized Representatives

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Procuring Entity or the Service Provider may be taken or executed by the officials **specified in the SCC**.

1.7 Inspection and Audit by the PPRA

Pursuant to paragraph 2.2 e. of Attachment 1 to the General Conditions, the Service Provider shall permit and shall cause its subcontractors and sub-consultants to permit, PPRA and/or persons appointed by PPRA to inspect the Site and/or the accounts and records relating to the procurement process, selection and/or contract execution, and to have such accounts and records audited by auditors appointed by PPRA. The Service Provider's and its Subcontractors' and sub-consultants' attention is drawn to Sub-Clause 3.10 which provides, *inter alia*, that acts intended to materially impede the exercise of PPRA's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to PPRA's prevailing sanctions procedures).

1.8 Taxes and Duties

The Service Provider, Subcontractors, and their Personnel shall pay such taxes, duties, fees, and other impositions as may be levied under the Applicable Law, the amount of which is deemed to have been included in the Contract Price.

2. Commencement, Completion, Modification, and Termination of Contract

2.1 Effectiveness of Contract

This Contract shall come into effect on the date the Contract is signed by both parties or such other later date as may be **stated in the SCC**.

2.2 Commencement of Services

The Service Provider shall start carrying out the Services thirty (30) days after the date the Contract becomes effective, or at such other date as may be **specified in the SCC**.

2.3 Intended Completion Date

Unless terminated earlier pursuant to Sub-Clause 2.6, the Service Provider shall complete the activities by the Intended Completion Date, as is **specified in the SCC**. If the Service Provider does not complete the activities

by the Intended Completion Date, it shall be liable to pay liquidated damage as per Sub-Clause 3.8. In this case, the Completion Date will be the date of completion of all activities.

2.4 Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services or of the Contract Price, may only be made by written agreement between the Parties.

2.5 Value Engineering

2.5.1 The Service Provider may prepare, at its own cost, a value engineering proposal at any time during the performance of the contract. The value engineering proposal shall, at a minimum, include the following;

- a) The proposed change(s), and a description of the difference to the existing contract requirements;
- b) a full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle costs, if applicable) the Procuring Entity may incur in implementing the value engineering proposal; and
- c) a description of any effect(s) of the change on performance/functionality.

2.5.2 The Procuring Entity may accept the value engineering proposal if the proposal demonstrates benefits that:

- a) accelerates the delivery period; or
- b) reduces the Contract Price or the lifecycle costs to the Procuring Entity; or
- c) improves the quality, efficiency, safety or sustainability of the services; or
- d) yields any other benefits to the Procuring Entity, without compromising the necessary functions of the Facilities.

2.5.3 If the value engineering proposal is approved by the Procuring Entity and results in:

- a) a reduction of the Contract Price; the amount to be paid to the Service Provider shall be the percentage specified in the SCC of the reduction in the Contract Price; or
- b) an increase in the Contract Price; but results in a reduction in lifecycle costs due to any benefit described in (a) to (d) above, the amount to be paid to the Service Provider shall be the full increase in the Contract Price.

2.6 Force Majeure

2.6.1 Definition

For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party and which makes a Party's performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances.

2.6.2 No Breach of Contract

The failure of a Party to fulfill any of its obligations under the contract shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event (a) has taken all reasonable precautions, due care and reasonable alternative Measures in order to carry out the terms and conditions of this Contract, and (b) has informed the other Party as soon as possible about the occurrence of such an event.

2.6.3 Extension of Time

Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

2.6.5 Payments

During the period of their inability to perform the Services as a result of an event of Force Majeure, the Service Provider shall be entitled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by them during such period for the purposes of the Services and in reactivating the Service after the end of such period.

2.7 Termination

2.7.1 By the Procuring Entity

The Procuring Entity may terminate this Contract, by not less than thirty (30) days' written notice of termination to the Service Provider, to be given after the occurrence of any of the events specified in paragraphs (a) through (d) of this Sub-Clause 2.6.1:

- a) If the Service Provider does not remedy a failure in the performance of its obligations under the Contract, within thirty (30) days after being notified or within any further period as the Procuring Entity may have subsequently approved in writing;
- b) If the Service Provider become insolvent or bankrupt;
- c) if, as the result of Force Majeure, the Service Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- d) if the Service Provider, in the judgment of the Procuring Entity has engaged in Fraud and Corruption, as defined in paragraph 2.2 a. of Attachment 1 to the GCC, in competing for or in executing the Contract

2.7.2 By the Service Provider

The Service Provider may terminate this Contract, by not less than thirty (30) days' written notice to the Procuring Entity, such notice to be given after the occurrence of any of the events specified in paragraphs (a) and

(b) of this Sub-Clause 2.6.2:

- a) If the Procuring Entity fails to pay any monies due to the Service Provider pursuant to this Contract and not subject to dispute pursuant to Clause 7 within forty-five (45) days after receiving written notice from the Service Provider that such payment is overdue; or
- b) if, as the result of Force Majeure, the Service Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days.

2.7.3 Payment upon Termination

Upon termination of this Contract pursuant to Sub-Clauses 2.6.1 or 2.6.2, the Procuring Entity shall make the following payments to the Service Provider:

- a) remuneration pursuant to Clause 6 for Services satisfactorily performed prior to the effective date of termination;
- b) except in the case of termination pursuant to paragraphs (a), (b), (d) of Sub-Clause 2.6.1, reimbursement of any reasonable cost incident to the prompt and orderly termination of the Contract, including the cost of the return travel of the Personnel.

3. Obligations of the Service Provider

3.1 General

The Service Provider shall perform the Services in accordance with the Specifications and the Activity Schedule, and carry out its obligations with all due diligence, efficiency, and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe methods. The Service Provider shall always act, in respect of any matter relating to this Contractor to the Services, as faithful adviser to the Procuring Entity, and shall at all times support and safeguard the Procuring Entity's legitimate interests in any dealings with Subcontractors or third parties.

3.2 Conflict of Interests

3.2.1 Service Provider Not to Benefit from Commissions and Discounts.

The remuneration of the Service Provider pursuant to Clause 6 shall constitute the Service Provider's sole remuneration in connection with this Contract or the Services, and the Service Provider shall not accept for their own benefit any trade commission, discount, or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of their obligations under the Contract, and the Service

Provider shall use their best efforts to ensure that the Personnel, any Subcontractors, and agents of either of them similarly shall not receive any such additional remuneration.

3.2.2 Service Provider and Affiliates Not to be Otherwise Interested in Project

The Service Provider agree that, during the term of this Contract and after its termination, the Service Provider and its affiliates, as well as any Subcontractor and any of its affiliates, shall be disqualified from providing goods, works, or Services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

3.2.3 Prohibition of Conflicting Activities

Neither the Service Provider nor its Subcontractors nor the Personnel shall engage, either directly or indirectly, in any of the following activities:

- a) During the term of this Contract, any business or professional activities in Kenya which would conflict with the activities assigned to them under this Contract;
- b) during the term of this Contract, neither the Service Provider nor their Subcontractors shall hire public employees in active duty or on any type of leave, to perform any activity under this Contract;
- c) after the termination of this Contract, such other activities as may be **specified in the SCC**.

3.3 Confidentiality

The Service Provider, its Subcontractors, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract, or the Procuring Entity's business or operations without the prior written consent of the Procuring Entity.

3.4 The Service Provider

- (a) shall take out and maintain, and shall cause any Subcontractors to take out and maintain, at its (or the Subcontractors', as the case may be) own cost but on terms and conditions approved by the Procuring Entity, insurance against the risks, and for the coverage, as shall be **specified in the SCC**; and
- (b) at the Procuring Entity's request, shall provide evidence to the Procuring Entity showing that such insurance has been taken out and maintained and that the current premiums have been paid.

3.5 Service Provider's Actions Requiring Procuring Entity's Prior Approval

The Service Provider shall obtain the Procuring Entity's prior approval in writing before taking any of the following actions:

- a) entering into a subcontract for the performance of any part of the Services,
- b) appointing such members of the Personnel not listed by name in Appendix C ("Key Personnel and Subcontractors"),
- c) changing the Program of activities; and
- d) any other action that may be **specified in the SCC**.

3.6 Reporting Obligations

The Service Provider shall submit to the Procuring Entity the reports and documents specified in Appendix B in the form, in the numbers, and within the periods set forth in the said Appendix.

3.7 Documents Prepared by the Service Provider to Be the Property of the Procuring Entity

All plans, drawings, specifications, designs, reports, and other documents and software submitted by the Service Provider in accordance with Sub-Clause 3.6 shall become and remain the property of the Procuring Entity, and the Service Provider shall, not later than upon termination or expiration of this Contract, deliver all such documents and software to the Procuring Entity, together with a detailed inventory thereof. The Service Provider may retain a copy of such documents and software. Restrictions about the future use of these documents, if any, shall be **specified in the SCC**.

3.8 Liquidated Damages

3.8.1 Payments of Liquidated Damages

The Service Provider shall pay liquidated damages to the Procuring Entity at the rate per day **stated in the SCC** for each day that the Completion Date is later than the Intended Completion Date. The total amount of liquidated damages shall not exceed the amount **defined in the SCC**. The Procuring Entity may deduct liquidated damages from payments due to the Service Provider. Payment of liquidated damages shall not affect the Service Provider's liabilities.

3.8.2 Correction for Over-payment

If the Intended Completion Date is extended after liquidated damages have been paid, the Procuring Entity shall correct any overpayment of liquidated damages by the Service Provider by adjusting the next payment certificate. The Service Provider shall be paid interest on the overpayment, calculated from the date of payment to the date of repayment, at the rates specified in Sub-Clause 6.5.

3.8.3 Lack of performance penalty

If the Service Provider has not corrected a Defect within the time specified in the Procuring Entity's notice, a penalty for Lack of performance will be paid by the Service Provider. The amount to be paid will be calculated as a percentage of the cost of having the Defect corrected, assessed as described in Sub-Clause 7.2 and **specified in the SCC**.

3.9 Performance Security

The Service Provider shall provide the Performance Security to the Procuring Entity no later than the date specified in the Form of acceptance. The Performance Security shall be issued in an amount and form and by a bank or surety acceptable to the Procuring Entity, and denominated in the types and proportions of the currencies in which the Contract Price is payable. The performance Security shall be valid until a date 28 day from the Completion Date of the Contract in case of a bank guarantee, and until one year from the Completion Date of the Contract in the case of a Performance Bond.

3.10 Fraud and Corruption

The Procuring Entity requires compliance with the Government's Anti-Corruption laws and its prevailing sanctions. The Procuring Entity requires the Service Provider to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the tendering process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

3.11 Sustainable Procurement

The Service Provider shall conform to the sustainable procurement contractual provisions, if and as specified in the SCC.

4. Service Provider's Personnel

4.1 Description of Personnel

The titles, agreed job descriptions, minimum qualifications, and estimated periods of engagement in the carrying out of the Services of the Service Provider's Key Personnel are described in Appendix C. The Key Personnel and Subcontractors listed by title as well as by name in Appendix C are hereby approved by the Procuring Entity.

4.2 Removal and/or Replacement of Personnel

- a) Except as the Procuring Entity may otherwise agree, no changes shall be made in the Key Personnel. If, for any reason beyond the reasonable control of the Service Provider, it becomes necessary to replace any of the Key Personnel, the Service Provider shall provide as a replacement a person of equivalent or better qualifications.

- b) If the Procuring Entity finds that any of the Personnel have (i) committed serious misconduct or have been charged with having committed a criminal action, or (ii) have reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Service Provider shall, at the Procuring Entity's written request specifying the grounds thereof, provide as a replacement a person with qualifications and experience acceptable to the Procuring Entity.
- c) The Service Provider shall have no claim for additional costs arising out of or incidental to any removal and/or replacement of Personnel.

5. Obligations of the Procuring Entity

5.1 Assistance and Exemptions

The Procuring Entity shall use its best efforts to ensure that the Government shall provide the Service Provider such assistance and exemptions as **specified in the SCC**.

5.2 Change in the Applicable Law

If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost of the Services rendered by the Service Provider, then the remuneration and reimbursable expenses otherwise payable to the Service Provider under this Contract shall be increased or decreased accordingly by agreement between the Parties, and corresponding adjustments shall be made to the amounts referred to in Sub-Clauses 6.2 (a) or (b), as the case may be.

5.3 Services and Facilities

The Procuring Entity shall make available to the Service Provider the Services and Facilities listed under Appendix F.

6. Payments to the Service Provider

6.1 Lump-Sum Remuneration

- 6.1.1 The Service Provider's remuneration shall not exceed the Contract Price and shall be a fixed lump-sum including all Subcontractors' costs, and all other costs incurred by the Service Provider in carrying out the Services described in Appendix A. Except as provided in Sub-Clause 5.2, the Contract Price may only be increased above the amounts stated in Sub-Clause 6.2 if the Parties have agreed to additional payments in accordance with Sub-Clauses 2.4 and 6.3.
- 6.1.2 Where the contract price is different from the corrected tender price, in order to ensure the contract or is not paid less or more relative to the contract price (*which would be the tender price*), any part payment valuation and variation orders on omissions and additions valued based on rates in the schedule of rates in the Tender, will be adjusted by a plus or minus percentage. The percentage already worked out during tender evaluation is worked out as follows: $(\text{corrected tender price} - \text{tender price}) / \text{tender price} \times 100$.

6.2 Contract Price

- a) The price payable is **set forth in the SCC**.
- b) No price will be payable in foreign currency.

6.3 Payment for Additional Services, and Performance Incentive Compensation

6.3.1 For the purpose of determining the remuneration due for additional Services as may be agreed under SubClause 2.4, a breakdown of the lump-sum price is provided in Appendices D and E.

6.3.2 If the SCC so specify, the service provider shall be paid performance incentive compensation as set out in the Performance Incentive Compensation appendix.

6.4 Terms and Conditions of Payment

Payments will be made to the Service Provider according to the payment schedule **stated in the SCC**. **Unless otherwise stated in the SCC**, the advance payment (Advance for Mobilization, Materials and Supplies) shall be made against the provision by the Service Provider of a bank guarantee for the same amount, and shall be valid for the period **stated in the SCC**. Any other payment shall be made after the conditions **listed in the SCC** for such payment have been met, and the Service Provider have submitted an invoice to the Procuring Entity specifying the amount due.

6.5 Interest on Delayed Payments

If the Procuring Entity has delayed payments beyond thirty (30) days after the due date stated in the SCC, interest shall be paid to the Service Provider for each day of delay at the rate stated in **the SCC**.

6.6 Price Adjustment

- 6.6.1 Prices shall be adjusted for fluctuations in the cost of inputs only if **provided for in the SCC**. If so provided, the amounts certified in each payment certificate, after deducting for Advance Payment, shall be adjusted by applying the respective price adjustment factor to the payment amounts due in each currency. A separate formula of the type indicated below applies to each Contract currency:

$$P_c = A_c + B_c \frac{L_{mc}}{L_{oc}} + C_c \frac{I_{mc}}{I_{oc}}$$

Where:

P_c is the adjustment factor for the portion of the Contract Price payable in a specific currency “c”.

A_c , B_c and C_c are coefficients specified in the SCC, representing: A_c the non-adjustable portion; B_c the adjustable portion relative to labor costs and C_c the adjustable portion for other inputs, of the Contract Price payable in that specific currency “c”; and

L_{mc} is the index prevailing at the first day of the month of the corresponding invoice date and L_{oc} is the index prevailing 28 days before Tender opening for labor; both in the specific currency “c”.

I_{mc} is the index prevailing at the first day of the month of the corresponding invoice date and I_{oc} is the index prevailing 28 days before Tender opening for other inputs payable; both in the specific currency “c”.

If a price adjustment factor is applied to payments made in a currency other than the currency of the source of the index for a particular indexed input, a correction factor Z_o/Z_n will be applied to the respective component factor of p_n for the formula of the relevant currency. Z_o is the number of units of Kenya Shillings of the index, equivalent to one unit of the currency payment on the date of the base index, and Z_n is the corresponding number of such currency units on the date of the current index.

- 6.6.2 If the value of the index is changed after it has been used in a calculation, the calculation shall be corrected and an adjustment made in the next payment certificate. The index value shall be deemed to take account of all changes in cost due to fluctuations in costs.

6.7 Day works

- 6.7.1 If applicable, the Day work rates in the Service Provider's Tender shall be used for small additional amounts of Services only when the Procuring Entity has given written instructions in advance for additional services to be paid in that way.
- 6.7.2 All work to be paid for as Dayworks shall be recorded by the Service Provider on forms approved by the Procuring Entity. Each completed form shall be verified and signed by the Procuring Entity representative as indicated in Sub-Clause 1.6 within two days of the Services being performed.
- 6.7.3 The Service Provider shall be paid for Dayworks subject to obtaining signed Dayworks forms as indicated in Sub-Clause 6.7.2

7. Quality Control

7.1 Identifying Defects

The principle and modalities of Inspection of the Services by the Procuring Entity shall be as **indicated in the SCC**. The Procuring Entity shall check the Service Provider's performance and notify him of any Defects that are found. Such checking shall not affect the Service Provider's responsibilities. The Procuring Entity may instruct the Service Provider to search for a Defect and to uncover and test any service that the Procuring Entity considers may have a Defect. Defect Liability Period is as **defined in the SCC**.

7.2 Correction of Defects, and Lack of Performance Penalty

- a) The Procuring Entity shall give notice to the Service Provider of any Defects before the end of the Contract. The Defects liability period shall be extended for as long as Defects remain to be corrected.
- b) Every time notice a Defect is given, the Service Provider shall correct the notified Defect within the length of time specified by the Procuring Entity's notice.

- c) If the Service Provider has not corrected a Defect within the time specified in the Procuring Entity's notice, the Procuring Entity will assess the cost of having the Defect corrected, the Service Provider will pay this amount, and a Penalty for Lack of Performance calculated as described in Sub-Clause 3.8.

8. Settlement of Disputes

8.1 Contractor's Claims

- 8.1.1 If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall give notice to the Project Manager, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.
- 8.1.2 If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Procuring Entity shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this SubClause shall apply.
- 8.1.3 The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.
- 8.1.4 The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Project Manager. Without admitting the Procuring Entity's liability, the Project Manager may, after receiving any notice under this Sub-Clause, monitor the recordkeeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Project Manager to inspect all these records, and shall (if instructed) submit copies to the Project Manager.
- 8.1.5 Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Project Manager, the Contractor shall send to the Project Manager a fully detailed claim which includes full Supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:
- a) This fully detailed claim shall be considered as interim;
 - b) The Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Project Manager may reasonably require; and
 - c) The Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Project Manager.
- 8.1.6 Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Project Manager and approved by the Contractor, the Project Manager shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall never the less give his response on the principles of the claim within the above defined time period.
- 8.1.7 Within the above defined period of 42 days, the Project Manager shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with Sub-Clause 8.4 [Extension of Time for Completion], and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.
- 8.1.8 Each Payment Certificate shall include such additional payment for any claim as has been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.
- 8.1.9 If the Project Manager does not respond within the time frame defined in this Clause, either Party may consider that the claim is rejected by the Project Manager and any of the Parties may refer to Arbitration in accordance with Sub-Clause 8.2 [Matters that may be referred to arbitration].
- 8.1.10 The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented

or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub- Clause.

8.2 Matters that may be referred to arbitration

8.2.1 Notwithstanding anything stated herein the following matters may be referred to arbitration before the practical completion of the Services or abandonment of the Services or termination of the Contract by either party:

- a) The appointment of a replacement Project Manager upon the said person ceasing to act.
- b) Whether or not the issue of an instruction by the Project Manager is empowered by these Conditions.
- c) Whether or not a certificate has been improperly withheld or is not in accordance with these Conditions.
- e) Any dispute arising in respect of war risks or war damage.
- f) All other matters shall only be referred to arbitration after the completion or alleged completion of the Services or termination or alleged termination of the Contract, unless the Procuring Entity and the Contractor agree otherwise in writing.

8.3 Amicable Settlement

Where a Notice of Dissatisfaction has been given, both Parties shall attempt to settle the dispute amicably before the commencement of arbitration. However, unless both Parties agree otherwise, the Party giving a Notice of Dissatisfaction in accordance with Sub-Clause 8.1 above should move to commence arbitration after the fifty- sixth day from the day on which a Notice of Dissatisfaction was given, even if no attempt at an amicable settlement has been made.

8.4 Arbitration

8.4.1 Any claim or dispute between the Parties arising out of or in connection with the Contract not settled amicably in accordance with Sub-Clause 8.3 shall be finally settled by arbitration. Arbitration shall be conducted in accordance with the Arbitration Laws of Kenya.

8.4.2 The arbitrators shall have full power to open up, review and revise any certificate, determination, instruction, opinion or valuation of the Project Manager, relevant to the dispute. Nothing shall disqualify representatives of the Parties and the Project Manager from being called as a witness and giving evidence before the arbitrators on any matter whatsoever relevant to the dispute.

8.4.3 Party shall be limited in the proceedings before the arbitrators to the evidence, or to the reasons for dissatisfaction given in its Notice of Dissatisfaction.

8.4.5 Arbitration may be commenced prior to or after completion of the services. The obligations of the Parties, and the Project Manager shall not be altered by reason of any arbitration being conducted during the progress of the services.

8.4.6 The terms of the remuneration of each or all the members of Arbitration shall be mutually agreed upon by the Parties when agreeing the terms of appointment. Each Party shall be responsible for paying one-half of this remuneration.

8.5 Arbitration with proceedings

8.5.1 In case of any claim or dispute, such claim or dispute shall be notified in writing by either party to the other with a request to submit it to arbitration and to concur in the appointment of an Arbitrator within thirty days of the notice. The dispute shall be referred to the arbitration and final decision of a person to be agreed between the parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed, on the request of the applying party, by the Chairman or Vice Chairman of any of the following professional institutions;

- i) Law Society of Kenya or ii) Chartered Institute of Arbitrators (Kenya Branch)

8.5.2 The institution written to first by the aggrieved party shall take precedence overall other institutions.

8.5.3 The arbitration may be on the construction of this Contract or on any matter or thing of whatsoever nature arising there under or in connection there with, including any matter or thing left by this Contract to the discretion of the Project Manager, or the withholding by the Project Manager of any certificate to which the Contractor may claim to be entitled to or the measurement and valuation referred to in clause 23.0 of these conditions, or the rights and liabilities of the parties subsequent to the termination of Contract.

- 8.5.4 Provided that no arbitration proceedings shall be commenced on any claim or dispute where notice of a claim or dispute has not been given by the applying party within ninety days of the occurrence or discovery of the matter or issue giving rise to the dispute.
- 8.5.5 Notwithstanding the issue of a notice as stated above, the arbitration of such a claim or dispute shall not commence unless an attempt has in the first instance been made by the parties to settle such claim or dispute amicably with or without the assistance of third parties. Proof of such attempt shall be required.
- 8.5.6 The Arbitrator shall, without prejudice to the generality of his powers, have powers to direct such measurements, computations, tests or valuations as may in his opinion be desirable in order to determine the rights of the parties and assess and award any sums which ought to have been the subject of or included in any certificate.
- 8.5.7 The Arbitrator shall, without prejudice to the generality of his powers, have powers to open up, review and revise any certificate, opinion, decision, requirement or notice and to determine all matters in dispute which shall be submitted to him in the same manner as if no such certificate, opinion, decision requirement or notice had been given.
- 8.5.8 The award of such Arbitrator shall be final and binding upon the parties.

8.6 Failure to Comply with Arbitrator's Decision

- 8.6.1 In the event that a Party fails to comply with a final and binding Arbitrator's decision, then the other Party may, without prejudice to any other rights it may have, refer the matter to a competent court of law.

9. The Adjudicator

- 9.1. Should the Adjudicator resign or die, or should the Procuring Entity and the Service Provider agree that the Adjudicator is not functioning in accordance with the provisions of the Contract, a new Adjudicator will be jointly appointed by the Procuring Entity and the Service Provider. In case of disagreement between the Procuring Entity and the Service Provider, within 30 days, the Adjudicator shall be designated by the Appointing Authority **designated in the SCC** at the request of either party, within 14 days of receipt of such request.
- 9.2 The Adjudicator shall be paid by the hour at the rate **specified in the TDS and SCC**, together with reimbursable expenses of the types **specified in the SCC**, and the cost shall be divided equally between the Procuring Entity and the Service Provider, whatever decision is reached by the Adjudicator. Either party may refer a decision of the Adjudicator to an Arbitrator within 28 days of the Adjudicator's written decision. If neither party refers the dispute to arbitration within the above 28 days, the Adjudicator's decision will be final and binding.

SECTION IX - SPECIAL CONDITIONS OF CONTRACT

The following Special Conditions of Contract (SCC) shall supplement or amend the General Conditions of Contract (GCC). Whenever there is a conflict, the provisions of the SCC shall prevail over those in the General Conditions of Contract. For the purposes of clarity, any referenced GCC clause numbers are indicated in the left column of the SCC.

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.1(a)	The Adjudicator is THE PERSON APPOINTED BY THE LAW SOCIETY OF KENYA TO RESOLVE DISPUTES AS CONTEMPLATED UNDER GCC 9.
1.1(w)	The project Manager is DIRECTOR/ FREQUENCY SPECTRUM MANAGEMENT DEPARTMENT
1.1(e)	The contract name is PROVISION OF UPGRADES, MAINTENANCE AND SUPPORT SERVICES FOR SPECTRUM MANAGEMENT SYSTEM AND SSPECTRUM MONITORING SYSTEM
1.1(h)	The Procuring Entity is the COMMUNICATIONS AUTHORITY OF KENYA
1.1(m)	The Member in Charge is the SERVICE PROVIDER
1.1(p)	The Service Provider is A PERSON OR CORPORATE BODY WHOSE TENDER TO PROVIDE THE SERVICES HAS BEEN ACCEPTED BY THE PROCURING ENTITY.
1.4	<p>The address for the Procuring Entity:</p> <p>DIRECTOR GENERAL COMMUNICATIONS AUTHORITY OF KENYA ATTN: DIRECTOR/ FINANCE AND ACCOUNTS P.O BOX 14448 NAIROBI – 00800, KENYA CA CENTRE, WAIYAKI WAY, 2ND FLOOR, WING A</p> <p>The address for the Service Provider: SHALL BE PROVIDED UPON AWARD OF TENDER</p>
1.6	<p>The Authorized Representatives are:</p> <p>For the Procuring Entity: DIRECTOR/ FREQUENCY SPECTRUM MANAGEMENT DEPARTMENT</p> <p>For the Service Provider: SHALL BE PROVIDED UPON AWARD OF TENDER</p>
2.1	The date on which this Contract shall come into effect is the. SHALL BE INDICATED IN THE CONTRACT
2.2.2	The Starting Date for the commencement of Services is : SHALL BE INDICATED IN THE CONTRACT
2.3	The Intended Completion Date: SHALL BE FIVE (5) YEARS FROM CONTRACT SIGNING
2.5.3	If the value engineering proposal is approved by the Procuring Entity the amount to be paid to the Service Provider shall be ___% (insert appropriate percentage. The percentage is normally up to 50%) of the reduction in the Contract Price. NOT APPLICABLE
3.2.3	Activities prohibited after termination of this Contract are: NO ADDITIONAL ACTIVITIES ARE PROHIBITED
3.4	The risks and coverage by insurance shall be: THE SERVICE PROVIDER SHALL TAKE OUT INSURANCE COVERAGE NECESSARY FOR THE PERFORMANCE OF THE SERVICE PROVIDERS OBLIGATIONS UNDER THIS CONTRACT, INCLUDING INSURANCE COVERAGE FOR ITS STAFF, EQUIPMENTS AND AGAINST THIRD PARTY LIABILITIES THAT MAY ARISE IN THE IMPLEMENTATION OF THIS CONTRACT.
3.5(d)	The other actions are NO ADDITIONAL ACTIONS
3.7	Restrictions on the use of documents prepared by the Service Provider are:

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
	ANY USE OF DOCUMENTS PREPARED BY THE SERVICE PROVIDER SHALL ONLY BE UPON PROCURING AN APPROVAL OF THE PROCURING AUTHORITY
3.8.1	The liquidated damages rate is 0.5% per week. The maximum amount of liquidated damages for the undelivered services is 10% percent of the final Contract Price.
3.8.3	The percentage to be used for the calculation of Lack of performance Penalty(ies) is _ 0.5% per week of the undelivered services.
3.9	The Performance security shall be structured as follows: <i>THE AMOUNT OF PERFORMANCE SECURITY SHALL BE 5% OF THE CONTRACT PRICE, IN FORM OF A BANK GUARANTEE AND BE FROM A FINANCIAL INSTITUTION RECOGNIZED BY THE CENTRAL BANK OF KENYA. PERFORMANCE SECURITY FROM INSURANCE COMPANIES NOT ALLOWED.</i> The Performance security shall be denominated in IN KENYA SHILLINGS (KES) Discharge of the Performance Security shall take place: NOT LATER THAN THIRTY (30) DAYS FOLLOWING THE DATE OF COMPLETION OF THE SERVICE PROVIDERS OBLIGATION UNDER THE CONTRACT.
5.1	The assistance and exemptions provided to the Service Provider are: NOT APPLICABLE
6.2(a)	The amount in Kenya Shillings is 5% of the contract price.
6.3.2	The performance incentive paid to the Service Provider shall be: NOT APPLICABLE
6.4	Payments shall be made in Kenya shillings according to the following schedule: <ul style="list-style-type: none"> I. The Authority shall pay 100% of the cost for supply and delivery of wideband portable monitoring receivers, and upon inspection and acceptance. II. The amounts with respect to the Upgrade of Spectrum Management shall be paid upon inspection and acceptance. III. The amounts with respect to the Maintenance of Spectrum Management shall be paid on a bi annual basis and in arrears. IV. The amounts with respect to the Maintenance of Spectrum Monitoring Subsystem shall be paid on a bi annual basis and in arrears. V. The amounts with respect to training shall be paid upon completion of training for each stage of the system <p>All payments contemplated above shall be made in KENYA SHILLINGS, not later than 30 days of receipt of a certificate for the amount claimed and/or expended during the stipulated period from the Project Manager. The Project Manager shall receive all payment applications, certify the same and forward for payment.</p> <p>Price variation, if any, will be based on the prevailing consumer price index as provided by Kenya National Bureau of Statistics and inflation rate as provided by the Central Bank of Kenya or any other condition as may be imposed by the Public PPADA, 2015 and the PPADR, 2020, provided that any variation within the foregoing parameters shall not exceed fifteen percent (15%) of the original contract price as stipulated under GCC 11.2.</p> <p>Advance for Mobilization, Materials and Supplies: 0% percent of the Contract Price shall be paid on the commencement date against the submission of a bank guarantee for the same. NOT APPLICABLE</p>
Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract

6.5	Payment shall be made within 30 days of receipt of the invoice and the relevant documents specified in Sub-Clause 6.4, and within 30 days in the case of the final payment. The interest rate is AS PER THE PUBLIC FINANCE MANAGEMENT ACT .
6.6.1	Price adjustment is SHALL NOT BE APPLICABLE
7.1	The principle and modalities of inspection of the Services by the Procuring Entity are as follows: _____
9.1	The designated Appointing Authority for a new Adjudicator is <i>the LAW SOCIETY OF KENYA</i>
9.2	The Adjudicator will be paid at a rate to be mutually agreed between the Adjudicator, the Procuring Entity and the Service Provider. The applicable reimbursable expenses shall be the costs of a stenographer if one is used.
	The successful tenderer shall complete the BENEFICIAL OWNERSHIP DISCLOSURE FORM provided in the tender document pursuant to Regulation 13 (2A) and 13 (6) of the Companies (Beneficial Ownership Information) Regulations, 2020 to provide to the procuring entity the Beneficial Ownership Information in conformity with the Beneficial Ownership Disclosure Form upon receipt of notification of intention to enter into a contract

Appendices

Appendix A - Description of the Services

Give detailed descriptions of the Services to be provided, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by Procuring Entity, etc.

Appendix B - Schedule of Payments and Reporting Requirements

List all milestones for payments and list the format, frequency, and contents of reports or products to be delivered; persons to receive them; dates of submission, etc. If no reports are to be submitted, state here "Not applicable."

Appendix C - Subcontractors

The list under: C-1 List of approved Subcontractors (if already available); same information with respect to their Personnel as in C-1.

Appendix D – Breakdown of Contract Price

List here the elements of cost used to arrive at the breakdown of the lump-sum price. This appendix will exclusively be used for determining remuneration for additional Services.

Appendix E-Services and Facilities Provided by the Procuring Entity

Section X - Contract Forms

Table of Forms

1. PERFORMANCE SECURITY OPTION 1– (Unconditional Demand Bank Guarantee)
2. PERFORMANCE SECURITY OPTION 2– (Performance Bond)
3. ADVANCE PAYMENT SECURITY [Demand Bank Guarantee]
4. BENEFICIAL OWNERSHIP DISCLOSURE FORM

FORM NO. 1 - PERFORMANCE SECURITY - (Unconditional Demand Bank Guarantee)

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[insert name and Address of Procuring*

Entity] **Date:** _____ *[Insert date of issue]*

PERFORMANCE GUARANTEE No.: _____

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

1. We have been informed that __ (herein after called" the Applicant") has entered into Contract No. *[dated]* _____ with the Beneficiary, for the execution of (herein after called" the Contract").
2. Furthermore, ~~we understand that, according to the conditions of the Contract, a performance guarantee is required.~~
3. At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of_(),¹ such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.
4. This guarantee shall expire, no later than the.....Day of.....², and any demand for payment under it must be received by us at this office indicated above on or before that date.
5. The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed *[six months] [one year]*, in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.”

[Name of Authorized Official, signature(s) and seals/stamps]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

FORM No. 2 - PERFORMANCE SECURITY OPTION 2 - (Performance Bond)

[Note: Procuring Entities are advised to use Performance Security – Unconditional Demand Bank Guarantee instead of Performance Bond due to difficulties involved in calling Bond holder to action]

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[insert name and Address of Procuring Entity]*
Date: _____ *[Insert date of issue]*

PERFORMANCE BOND No.: _____

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

1. By this Bond _____ as Principal (hereinafter called “the Contractor”) and _____] as Surety (hereinafter called “the Surety”), are held and firmly bound unto _____] as Obligee (herein after called “the Procuring Entity”) in the amount of _____ for the payment of which sum well and truly to be made in the types and proportions of currencies in which the Contract Price is payable, the Contractor and the Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.
2. WHEREAS the Contractor has entered into a written Agreement with the Procuring Entity dated the _____ Day of _____, 20, for _____ in accordance with the documents, plans, specifications, and amendments thereto, which to the extent herein provided for, are by reference made part hereof and are herein after referred to as the Contract.
3. NOW, THEREFORE, the Condition of this Obligation is such that, if the Contractor shall promptly and faithfully perform the said Contract (including any amendments thereto), then this obligation shall be null and void; otherwise, it shall remain in full force and effect. Whenever the Contractor shall be, and declared by the Procuring Entity to be, in default under the Contract, the Procuring Entity having performed the Procuring Entity's obligations thereunder, the Surety may promptly remedy the default, or shall promptly:
 - 1) Complete the Contract in accordance with its terms and conditions; or
 - 2) Obtain a tender or tenders from qualified tenderers for submission to the Procuring Entity for completing the Contract in accordance with its terms and conditions, and upon determination by the Procuring Entity and the Surety of the lowest responsive Tenderers, arrange for a Contract between such Tenderer, and Procuring Entity and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the Balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term “Balance of the Contract Price,” as used in this paragraph, shall mean the total amount payable by Procuring Entity to Contractor under the Contract, less the amount properly paid by Procuring Entity to Contractor; or
 - 3) Pay the Procuring Entity the amount required by Procuring Entity to complete the Contract in accordance with its terms and conditions up to a total not exceeding the amount of this Bond.
4. The Surety shall not be liable for a greater sum than the specified penalty of this Bond.
5. Any suit under this Bond must be instituted before the expiration of one year from the date of the issuing of the Taking-Over Certificate. No right of action shall accrue on this Bond to or for the use of any person or corporation other than the Procuring Entity named herein or the heirs, executors, administrators, successors, and assigns of the Procuring Entity.
6. In testimony whereof, the Contractor has hereunto set his hand and affixed his seal, and the Surety has caused these presents to be sealed with his corporate seal duly attested by the signature of his legal representative, this day _____ of 20_____.

SIGNED ON

on behalf of

By

_____ in the capacity

of In the presence of

_____ SIGNED ON

on behalf of

By

in the capacity of

In the presence of

-

FORM NO. 3 - ADVANCE PAYMENT SECURITY [Demand Bank Guarantee]

[Guarantor letterhead or SWIFT identifier code] [Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ [Insert name and Address of Procuring Entity] **Date:** _____ [Insert date of issue]

ADVANCE PAYMENT GUARANTEE No.: [Insert guarantee reference number]

Guarantor: [Insert name and address of place of issue, unless indicated in the letterhead]

1. We have been informed that _____ (herein after called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (herein after called "the Contract").
2. Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum () is to be made against an advance payment guarantee.
3. At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum
or sums not exceeding in total an amount of ()⁵ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:
 - a) Has used the advance payment for purposes other than the costs of mobilization in respect of the Works;
or
 - b) Has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.
4. A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above has been credited to the Applicant on its account number at _____.
5. The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90) percent of the Accepted Contract Amount, less provisional sums, has been certified for payment, or on the day of, 2,⁶ whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.
6. The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.

[Name of Authorized Official, signature(s) and seals/stamps]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

⁵ The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency (ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Procuring Entity.

⁶ Insert the expected expiration date of the Time for Completion. The Procuring Entity should note that in the event of an extension of the time for completion of the Contract, the Procuring Entity would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Procuring Entity might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for

FORM NO. 4 BENEFICIAL OWNERSHIP DISCLOSURE FORM

(Amended and issued pursuant to PPRA CIRCULAR No. 02/2022)

INSTRUCTIONS TO TENDERERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful tenderer pursuant to Regulation 13 (2A) and 13 (6) of the Companies (Beneficial Ownership Information) Regulations, 2020. In case of joint venture, the tenderer must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Tenderer is any natural person who ultimately owns or controls the legal person (tenderer) or arrangements or a natural person on whose behalf a transaction is conducted, and includes those persons who exercise ultimate effective control over a legal person (Tenderer) or arrangement.

Tender Reference No.: _____ [insert identification
no] Name of the Tender Title/Description: _____ [insert name of the assignment] to: _____ [insert
complete name of Procuring Entity]

In response to the requirement in your notification of award dated [insert date of notification of award] to furnish additional information on beneficial ownership: [select one option as applicable and delete the options that are not applicable]

I) We here by provide the following beneficial ownership information.

Details of beneficial ownership

	Details of all Be	Beneficial	% of	% of voting	Whether a person	Whether a
		Owners	shares a	rights a	directly or	person directly
			person	person	indirectly holds a	or indirectly
			holds in	holds in the	right to appoint	exercises
			the	company	or remove a	significant
			company		member of the	influence or
			Directly		board of directors	control over the
			or		of the company or	Company
			indirectly		an	(tenderer) (Yes /
					equivalent	No)
					governing body of	
					the Tenderer (Yes	
					/	
					No)	
1.	Full Name		Directly--	Directly...	1.Having the right	1.Exercises
	National identity card number or Passport number		----- % of shares% of voting rights	to appoint a majority of the board of the directors or an equivalent governing body of the Tenderer:	significant influence or control over the Company body of the Company (tenderer)
	Personal Identification Number (where applicable)		Indirectl y----- % of shares	Indirectly--- % of voting rights	Yes -	Yes -----No----
	Nationality				----No----	

	Date of birth [dd/mm/yyyy]				2.Is this right held directly or indirectly?: Direct.....	2.Is this influence or control exercised directly or indirectly? Direct.....
	Postal address					
	Residential address					
	Details of all Beneficial Owners	% of shares a person holds in the company Directly or indirectly	% of voting rights a person holds in the company	Whether a person directly or indirectly holds a right to appoint or remove a member of the board of directors of the company or an equivalent governing body of the Tenderer (Yes / No)	Whether a person directly or indirectly exercises significant influence or control over the Company (tenderer) (Yes / No)	
	Telephone number				Indirect.....	Indirect.....
	Email address			
	Occupation or profession					...
2.	Full Name		Directly-- ----- % of shares	Directly...% of voting rights	1.Having the right to appoint a majority of the board of the directors or an equivalent governing body of the Tenderer: Yes - ---No---	1.Exercises significant influence or control over the Company body of the Company (tenderer) Yes ----No----
	National identity card number or Passport number					
	Personal Identification Number (where applicable)		Indirectly-- ----- % of shares	Indirectly--- -----% of voting rights		
	Nationality(ies)				2.Is this right held directly or indirectly?: Direct.....	2.Is this influence or control exercised directly or indirectly? Direct.....
	Date of birth [dd/mm/yyyy]					
	Postal address					
	Residential address					
	Telephone number					
	Email address					
	Occupation or profession				Indirect.....	Indirect..... ...
3.						

e.t.c						

- II) Am fully aware that beneficial ownership information above shall be reported to the Public Procurement Regulatory Authority together with other details in relation to contract awards and shall be maintained in the Government Portal, published and made publicly available pursuant to Regulation 13(5) of the Companies (Beneficial Ownership Information) Regulations, 2020.(Notwithstanding this paragraph Personally Identifiable Information in line with the Data Protection Act shall not be published or made public). *Note that Personally Identifiable Information (PII) is defined as any information that can be used to distinguish one person from another and can be used to deanonymize previously anonymous data. This information includes National identity card number or Passport number, Personal Identification Number, Date of birth, Residential address, email address and Telephone number.*
- III) In determining who meets the threshold of who a beneficial owner is, the Tenderer must consider a natural person who in relation to the company:
- (a) holds at least ten percent of the issued shares in the company either directly or indirectly;
 - (b) exercises at least ten percent of the voting rights in the company either directly or indirectly;
 - (c) holds a right, directly or indirectly, to appoint or remove a director of the company; or
 - (d) exercises significant influence or control, directly or indirectly, over the company.
- IV) What is stated to herein above is true to the best of my knowledge, information and belief.

Name of the Tenderer:[insert complete name of the Tenderer]_____*

*Name of the person duly authorized to sign the Tender on behalf of the Tenderer: ** [insert complete name of person duly authorized to sign the Tender]*

Designation of the person signing the Tender: [insert complete title of the person signing the Tender]

Signature of the person named above: [insert signature of person whose name and capacity are shown above]

Date this [insert date of signing] day of..... [Insert month], [insert year]

Bidder Official Stamp