

APPLICATION FOR AUTHORITY TO ESTABLISH AND OPERATE A RADIO COMMUNICATION NETWORK

CA/F/FSM/01

OCTOBER 2023

Version C 2023



SECTION 1: INSTRUCTIONS TO APPLICANT

- a. This application should be completed in English and any document in foreign language must be translated into English.
- b. All fields are mandatory unless stated otherwise.
- c. Incomplete or unreadable applications will be returned.
- d. After a licence is granted, it is the licensee's responsibility to ensure that the contact details held by Authority are accurate and any changes should be communicated immediately.
- e. It is your responsibility to remain licensed for the entire time that your equipment is installed and/or used.
- f. The Authority prefers to communicate electronically. It is mandatory to provide an active email address. If you have any queries about the form please contact: freqlicensingfsm@ca.go.ke
- g. The completed form should be submitted to:

Director

Frequency Spectrum Management Communications Authority of Kenya 1st Floor, CA Centre, Waiyaki Way P. O. Box 14448 Nairobi 00800

Tel: +254-20- 4242000 / +254 703 042000

E-mail: freqlicensingfsm@ca.go.ke

h. How we use your data:

We require this information in order to carry out our mandate under the Kenya Information and Communications Act, 1998 and adhere to the Data Protection Act, 2019.

SECTION 2: REQUIRED DOCUMENTATION

This application shall be accompanied by: -

- a. A letter stating the purpose for which the network is required
- b. A sworn affidavit submitting the documents listed below (a template of the affidavit is attached as Annex 1): Note: The advocate drawing up the affidavit should not belong to the same law firm as the commissioner of oaths commissioning the affidavit.
- c. Copies of:
 - i. Certificate of Incorporation/Registration or its equivalent
 - ii. Valid business licence/Certificate.
 - iii. Tax Compliance Certificate.
 - iv. Company P.I.N. Certificate.
 - v. Work Permit for Foreign Directors residing in Kenya.
 - vi. Current CR12 or its equivalent issued within the last two months.
 - vii. Copies of Kenyan National Identity Cards (ID) or Kenyan/Foreign passports for all Directors and Shareholders of the Applicant: Both sides of the ID should be copied onto the same side of an A4 size paper, and Passport copies should include pages showing the nationality, date of issue and expiry, name and photograph of holder. In case of foreigners, passport copies should be notarized.

Notes

- 1. Diplomatic Missions and Organizations accredited to Kenya may channel their application through the Ministry of Foreign Affairs
- 2. Foreign entities not based in Kenya applying for Private Radio Network Licenses should notarize all their documents
- 3. Some parts in this application form require a technically qualified person to complete. Please request the assistance of your service provider to fill in the required information.
- 4. For construction companies seeking to establish temporary Private Radio Networks, submit supporting documents indicating duration of the project.



SECTION 3: APPLICATION AND LICENCE FEE

- a) Payment of a non-refundable application fee of KES 1,000.00. (payable when submitting application form)
- b) Annual frequency fees (payable before 30th June of each year).

The table below shows fees payable for the respective stations:

Type of station	HF (KES)	VHF/UHF (KES)
Fixed/Base/Repeater	18,700.00	5,000.00
Mobile	5,610.00	2,900.00
Portable	N/A	2,900.00
Alarm	N/A	1,250 per unit, but specific charges for each particular customer is determined by using the maximum value in each grouping of five i.e 5 for 1-5, 10 for 6-10 etc



1. APPLICATION ACCEPTANCE Name of Company/Organization: Applied Network Configuration.

NO	APPLICATION REQUIREMENTS	APPLICANT CHECKLIST	RECEIVING OFFICER	CHECKING OFFICER
1.	Duly signed Cover letter on applicant's letterhead			
2.	Duly completed Application form CA/F/FSM/01			
3.	Duly completed form CA/F/FSM/03 for voice network			
4.	If applicable, duly completed form CA/F/FSM/03 for alarm network			
5.	Declaration by the applicant with name, designation, signature and date			
6.	Application fees paid			
7.	A sworn affidavit			
	following copies of documents should be ctors unless the applicant is exempted	listed on an affid	avit sworn by or	ne of the
8.	Certificate of Incorporation/Registration Certificates or equivalent and similar documents for other shareholder companies where there is chain ownership in the applicant. Where the Applicant is a Government Agency/body, a copy of the Act, Gazette Notice or other relevant legal instrument creating the Agency.			
9.	Valid Business licence/certificate			
10.	Valid Tax Compliance Certificate			
11.	Clear Copies of ID/passports for Directors and shareholders or notarized copies of passports in case of foreigners			
12.	Company P.I.N. Certificate			



13.	Work Permit for Foreign Directors residing in Kenya			
14.	Copy of the current CR12 or equivalent issued within the last 2 months indicating names of the shareholders, their addresses, their nationalities, shares held by each, name of directors and whether directors are nominees or not or whether non-shareholder directors Copy of the current CR12 or equivalent, issued within the last 2 months for other shareholder companies where there is chain ownership for the applicant, indicating all the details above until shares are attributed to individuals For societies, Community Based Organisations a copy of the minutes of the last AGM or document indicating the officials			
Le	gend: C = Complied NC= Not Compl	lied N/A=	= Not Applicable	
ap _l Re Re	ne Receiving Officer MUST CHECK All plication eceiving Officer's Comments:eceiving Officer's Name:			an
Th	ne Checking Officer MUST tick ALL the box	oves above before	recommending th	e application
for	r further consideration. necking Officer's Comments:		_	е аррисацоп
Ch	necking Officer's Name:	,		

Signature: Date: Date:



2. <i>A</i>	PPLICANT'S DETAILS
2.1 N	nme of Applicant
2.2 N	ature of business
2.3 P	estal Address
2.4 B	siness Telephone:Mobile No
2.5 E	nail Address (es)
2.6 P	ysical Address – BuildingStreet
2.7 L	R. Number
2.8 N	nme/s of Company Director/s Nationality ID/Passport No.
3. 7	ECHNICAL DETAILS
3.1.1 □Hal 3.1.2	equency band and type of service proposed: tick the applicable frequency band HF
the be Code Name Desig	nereby certify the information we have provided in this application is true and correct to st of my/ our knowledge. I/We also understand that it is an offence under the Penal to provide false information in support of any application. nation
	rage 3 or 14

REPUBLIC OF KENYA

IN THE MATTER OF OATHS AND STATUTORY DECLARATIONS ACT **CHAPTER 15, LAWS OF KENYA**

AND

IN THE MATTER OF AN APPLICATION FOR LICENSE FROM THE COMMUNICATIONS AUTHORITY OF KENYA

	<u>AFFIDAVIT</u>
[,	of Post Office Box Number
	(Town)(Postcode) do hereby make oath and state as follows:
1.	THAT I am an adult of sound mind and
	ion/ status in the applicant entity) of (name of the cant entity) and hence competent to swear this Affidavit.
2.	THAT I am a citizen of the and holder of
Natio	nal Identity Card No. (or Passport No.)
3.	THAT (name of the applicant entity) has resolved to make an application to the Authority for a (name of the licence in accordance with the Authority's Market Structure) licence.
	THAT I have submitted the following copies of our documents in support of the said application: 1. Registration and ownership status: 4.1.1. For an applicant registered under the Companies Act (Cap 486): 4.1.1.1. Copy of Certificate of Incorporation or its equivalent
	4.1.1.2. Copy of current CR/12 or its equivalent issued within the last two

- months 4.1.2. Copy of Business Name, or
- Copy of Registration Certificate etc. 4.1.3.
- 4.1.4. Copies of Kenyan National Identity Cards (ID) or Kenyan/Foreign passports for all Directors and Shareholders of the Applicant:
- 4.1.4.1. Both sides of the ID copied onto the same side of an A4 size paper, and
- 4.1.4.2. Passport copies including pages showing the nationality, date of issue and expiry, name and photograph of holder.
- 4.1.4.3. Work Permit for Foreign Directors (if the Directors are not Kenyan Citizens and are residing in the country)
- 4.1.5. Copy of a valid business licence/ certificate

4.2.1. Copy of Personal Identificate4.2.2. Copy of Valid Tax Compliant	
5. THAT I swear that the documents listed in 4 documents issued by the relevant authoritie	
6. THAT this Affidavit is sworn in support applicant entity) application for accordance with the Authority's Market Str	license (name of the licence in
7. THAT what is deponed to herein above is t	rue and within my own knowledge.
SWORN atby the said))
(Deponent's name))
Thisday of in the year) (Deponent's signature)
BEFORE ME)))
COMMISSIONER FOR OATHS)
Drawn by:	
()	Law Firm)
(Pk	nysical Address)
P. O. Box(Town)	(Postal Code)

4.2. Compliance with Kenya Revenue Authority rules:

Note: The advocate drawing up the affidavit should not belong to the same law firm as the commissioner of oaths commissioning the affidavit.

Version C



ANNEX 2: Application for Frequency Assignment and License in the Fixed and Mobile Radio Communication Service CA/F/FSM/03

a)	Name of the organisation (or individual)	
	Nationality ID / PP No	
	Postal address	
	Business Telephone	
1. \	Physical location: Road/street	
b)	Purpose for which this service is required	
c)	Name of person / organisation responsible for payment of bills	
<u>Trai</u>	nsmitter site details:	
	i) Name	
	ii) Site Code or L.R. No	
	iii) Road/Area	
	iv) Geographical co-ordinates:	
	Latitude: degsec(N/S)	
	Longitude: degminsec(E)	
	Longitude. deg(L)	
	v) Altitude above sea level (in metres)	
Asso	v) Altitude above sea level (in metres)	
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a)	v) Altitude above sea level (in metres)	
a)	v) Altitude above sea level (in metres)	
a) Miso a)	v) Altitude above sea level (in metres)	
a) Miso a)	v) Altitude above sea level (in metres)	•••••
a) Miso a) b)	v) Altitude above sea level (in metres)	
a) Miso a) b) c)	v) Altitude above sea level (in metres)	



5. **Equipment Details**

i) Station Configuration: Fixed / Mobile (delete as appropriate) Make	a)	Transn	nitter details:
Serial number ii) Name and postal address of the local supplier (if any) Postal address. Business Telephone. Email. iii) Channel capacity. iv) Carrier output power (Watts). v) Channel spacing. vi) Transmit Power to antenna vii) Polarisation (H/V). viii) Modulation Technique. ix) Bit error rate. x) Operating frequency band xi) RF bandwidth. xii) RF bandwidth. xii) Duplex spacing. b) Feeder: i) Feeder (type ii) Feeder length (m) iii) Attenuation per metre. iv) Total feeder loss. c) Transmit antenna details: i) Type of transmit antenna (Make/Model). ii) Antenna height above ground level. iii) Antenna height above ground level. iii) Antenna adiameter (m). v) Polarisation (H/V). vi) Antenna gain in dBi. vi) Directivity. vii) Azimuth of the main lobe. ix) Angular beam width of the main lobe at the 3 dB point. d) Receiving antenna details (if different from transmitting antenna): i) Type of receiving antenna (Make/Model). ii) Antenna height above ground level. iii) Antenna diameter (m). vi) Artenna gain in dBi. vii) Directivity. vii) Azimuth of the main lobe. ix) Angular beam width of the main lobe at the 3 dB point. d) Receiving antenna details (if different from transmitting antenna): ii) Type of receiving antenna (Make/Model). iii) Antenna height above ground level. iii) Antenna diameter (m).			
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v) Azimuth of the main lobe			
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I declare that the above information is true to the best of my knowledge Contact Person: Designation:	A	aala4°	
Ç			
Date: Signature:	Contact Person	n:	Designation:
	Date:		Signature:



Confirmation by your Radio Vendor/Local Supplier: I confirm that the above information is true to the best of my knowledge

Radio Vendor	. Technical Personnel's Name
P.O. Box	. Technical Personnel's Licence No
Mobile No	. Email
Date	. Signature
Official Stamp	••••



NOTE 1 NOTE 2

CLASS OF STATION NATURE OF THE SERVICE ΑL Aeronautical radio navigation land station CO Station open to official correspondence exclusively CP AM Aeronautical radio navigation mobile station Station open to public correspondence ΑT Amateur station CR Station open to limited public correspondence BC Broadcasting station, sound CVStation open exclusively to correspondence of a private agency BTBroadcasting station, television FS Land station established solely for the safety of life EA space station in the amateur-satellite service MX Fixed station used for transmission of meteorological information OT EC space station in the fixed-satellite service Station open exclusively to operational traffic of the service concerned FA PX Aeronautical station Fixed station used for press transmission FΒ Base station RG Non-directional radio beacon FC Coast station RT Revolving radio beacon FP Port station ZZOther (if different from above) FX Fixed station MA Aircraft station MLLand mobile station MS Ship station XXOther (if different from above) TASpace operation earth station in the amateur-

satellite service



ANNEX 3: PROCEDURE AND PROCESS

The process leading to the issuance of a radio licence is as outlined below:

- 1. An applicant completes and submits to the Authority the application form CA/F/FSM/01 providing their administrative details and the documentation required to process the application, the technical details of the radiocommunication equipment (Annex 2: Form CA/F/FSM/03) and a sworn affidavit.
- 2. The Authority accepts the completed application and raises an application fee invoice.
- 3. Once the application fee invoice is paid, the Authority considers the application.
- 4. In the case of an unfavourable decision, the Authority informs the applicant that the application was unsuccessful.
- 5. In the case of a favourable decision, the Authority issues an offer letter to the applicant which requires an applicant to make frequency fee payment and obtain a letter of undertaking from a licensed radio vendor listing the radios that the vendor is selling to the applicant.
- 6. Once the frequency fee has been paid, the applicant has signed an acceptance of the offer letter and submitted the letter of undertaking from a licensed radio vendor, the applicant will be issued a frequency assignment letter and a frequency radio network licence.
- 7. The licensee will be required to declare their network configuration by submitting a declaration form **CA/F/FSM/06A** endorsed by a radio vendor by 1st June of every year. This information will be used by the Authority to inform billing in the subsequent financial year. The completed form to be submitted to freqlicensingfsm@ca.go.ke.
- 8. Frequency fee for the network is to be paid annually until a written cancellation request is received by the Authority.



ANNEX 4: GUIDANCE NOTES

A portable radio, commonly known as a walkie talkie is a compact and portable communication device that allows users to transmit and receive audio signals over short distances.

A mobile station is a two-way radio installed in a vehicle, ship or aircraft. Mobile radios have more power than portable radios which give people on the move, and their users, a better range for communication.

A fixed/base station is a two-way radio installed in a control room at a fixed location and used to communicate as part of a push-to-talk two-way radio system.

Alarm transmitters refers to VHF transmitters fixed in the customer premises and communicate to the service provider's decoder.

Half Duplex refers to a radiocommunication system where transmission is bidirectional but communication is only one way at a go.

Duplex refers to a radiocommunication system where transmission is bidirectional at all times enabling the devices to communicate simultaneously.

Frequency Bands: Radiocommunication equipment operate on specific radio frequencies. Different types of radios may operate on different frequency ranges, such as VHF (Very High Frequency) or UHF (Ultra High Frequency) or HF (High Frequency).

HF refers to High Frequency which when deployed provides countrywide coverage.

VHF refers to Very High Frequency which when deployed provides coverage in a region of approximately 30km radius depending on the terrain.

UHF refers to Ultra High Frequency which is favourable for providing coverage around buildings.



FOR OFFICIAL USE ONLY

Deferments				
CLC No.	. Reason for deferment Name and signature			

Name of Applicant:
Subject To:
The reasons for not approving the application are as follows: -
Name Designation
SignatureDate
CLC Number Official stamp