

CA/F/FSM/FREQ/02 2021

APPLICATION FORM FOR FREQUENCY SPECTRUM TRANSFER

A: This application should be completed in English.

B: The application shall be submitted with the following:-

- 1. Provide a copy(ies) of Operating and frequency licence(s) that relate to the frequency to be transferred
- 2. A copy of company CR 12, for both the transferor and transferee, indicating the shareholding and nationalities of the directors
- 3. A copy of contract between the transferor and the transferee relevant to the spectrum transfer.
- 4. A report or a documentation analysing the effects of the transfer on competition.
- 5. A copy of compliance certificate awarded to the transferor by the Authority
- 6. Provide resolutions passed by approving authority of transferor and transferee consenting to the transaction
- 7. Indicate (or provide separately) the detailed pricing terms on which the transfer is made.
- 8. An explanation about current and post transfer capacity utilization of the spectrum transferred and network investment plan for the spectrum band associated with the transfer.

NB: Copies of documents originating from Kenya should be certified by a Commissioner of Oaths while copies of documents originating from abroad should be notarized by a Notary Public. Further, any submitted document not in English must be accompanied by a certified translation (by Notary Public) as a true English translation.

9. Payment of on-refundable application fee. Information regarding the application fee is contained in the frequency fee schedule.

C: How we use your data

We require this information in order to carry out our mandate under the Kenya Information and Communications (Radiocommunication and Frequency Spectrum) Regulations, 2010.

D: Point of contact

CA prefers to communicate electronically. Please provide email addresses wherever possible. Note that as the licensee you can opt out of email correspondence in the declaration section. All other contacts whose emails are provided will receive communications electronically. If you have any queries about the form please contact: info@ca.go.ke

E: The completed form should be submitted to:

Director General

Communications Authority of Kenya 1st Floor, CA Centre, Waiyaki Way P. O. Box 14448 Nairobi 00800 Tel: +254-20- 4242000 / +254 703 042000 E-mail: info@ca.go.ke



Section A SECTION I: DETAILS OF THE APPLICANT / TRANSFEROR

A.1 Please provide your details and update the	Business Name	
following sections.	Account No.	

A.2 Licensee official address & details	Applicant/Licensee Name	
	Physical Address	
	P.O. Box	
	Postcode	Post Office
	City & Country	
	Tel / Mobile	
	E-mail	

A.3 Official representative address & details	Representative Name	
	Official Title	
	P.O. Box	
	Postcode	Post Office
	City & Country	
	Tel / Mobile	
	E-mail	

A.4 Licence Details

List type of operational license(s) and license number(s) for all licenses

Licence	Licence No.

List the spectrum license(s) other than the one being transferred:

Frequency	In use/not in use	location (s) utilized	Type of Service (s) offered

List type of operational license(s) and license number(s) that relate to the spectrum to be transferred:

Licence	Licence No.

SECTION B **DETAILS OF THE TRANSFEREE**

B.1 Applicant official details	Applicant/Licensee Name	
	Physical Address	
	P.O. Box	
	Postcode	Post Office
	City & Country	
	Tel / Mobile	
	E-mail	
B.2 Official representative address & details	Representative Name	
B.2 Official representative address & details	Representative Name Official Title	
B.2 Official representative address & details		
B.2 Official representative address & details	Official Title	Post Office
B.2 Official representative address & details	Official Title P.O. Box	Post Office
B.2 Official representative address & details	Official Title P.O. Box Postcode	Post Office

B.3 Licence Details	
List type of operational license(s) and license number(s) for	
Licence	Licence No.

Do You hold any spectrum license(s)? If yes fill the table below:

:

Frequency	In use/not in use	location (s) utilized	Type of Service (s) offered

SECTION C DETAILS OF THE TRANSFER

	ions under a frequency spectrum licence
C.1 Transfer Details	
Indicate the duration	of the spectrum transfer
Permanent	Specify Duration
Temporary	Specify Duration
Type of transfer prope	osed:
	the entire bundle of rights and obligations
	partial rights and obligations
	Acquisition of controlling shares
Describe the scope of	f the proposed spectrum transfer

Indicate the licence obligations relating to the spectrum to be transferred that will be assumed by the transferee:

SECTION D DECLARATION

TRANSFEROR

We hereby certify that the information included in this form is complete and correct. We understand that it is an offense under the penal code to give false information in support of any application. therefore request approval to a transfer of the frequencies specified in the licence in accordance with this form.

TRANSFEREE

We hereby certify that the information included in this form is complete and correct. We understand that it is an offense under the penal code to give false information in support of any application. We We hereby undertake to comply with the radio obligations and conditions of the spectrum license being transferred.

Name:	Name:	
Designation:	Designation:	
Sign:	Sign:	
Date:	Date:	
Stamp	Stamp	

The completed form should be submitted to:

The Director General Communications Authority of Kenya 1st Floor, CA Centre, Waiyaki Way P. O. Box 14448 Nairobi – 00800, Nairobi Tel: 254-20- 4242000

SECTION E: FOR OFFICIAL USE ONLY

E.1 Documents Check List		
Spectrum License	Competition Report	
Compliance Certificate	Pricing Details	
CR 12	Resolution	
Operating license	Capacity Utilisation	
Transfer Contract		

E.2. Authority's Comments

	AUTHORITY'S COMMENTS
Not Recommended	Recommended
Comments:	Comments:
Name:	Name
Designation	Designation:
Signature:	Signature:
Date:	Date: